



KENTUCKY LEGISLATIVE ETHICS COMMISSION

STATEMENT OF FINANCIAL DISCLOSURE (KRS 6.787)

To be filed by: All members of the General Assembly, all candidates and nominees for election to the General Assembly, and major management personnel in the legislative branch of state government.

Check here and attach additional sheets if necessary. | Number of sheets attached

Please include the following information for the preceding calendar year:

Name: Charles David Yates
Business Address: 111 W Washington Street, Ste 200
Business Telephone: (502) 882-5179
Home Address: 8101 Bella Woods Drive Louisville KY 40214
Title of Public Position, or Senator office sought:
Other occupations of filer: Attorney, Senator District 37
Occupations of spouse: Office Manager

NOTE: The following sections do not require disclosure of specific dollar amounts.

1. Positions held by filer in any business, partnership, corporation for profit, or corporation not for profit from which the filer receives compensation, and the name of the business, partnership, or corporation:

- Law Offices of David Yates, PLLC, Owner
C David Yates LLC, Owner
111 W Washington Street LLC, Member
SMHP I, LLC, Member
JasmineLindsey LLC, Member
University of Housing Partners, LLC, Member

2. Positions held by filer's spouse in any business, partnership, corporation for profit, or corporation not for profit from which the filer's spouse receives compensation, and the name of the business, partnership, or corporation:

NA

3. Names and addresses of all businesses, investments, or securities in which the filer, filer's spouse, or filer's minor children had at any time during the reporting year an interest of \$10,000 at fair market value, or 5% ownership interest or more:

see attached

4. Sources and form of gross income of the filer (list sources by name):

Law Offices of David Yates, PLLC - salary
C. David Yates LLC
111 W Washington LLC
Morgan Stanley - stock/retirement accounts
Republic Bank - interest on accounts
Pershing LLC - dividends
JasmineLindseyLLC
SMHP, LLC
University of Housing Partners, LLC

5. Sources and form of gross income of the filer's spouse (list sources by name):

NA

6. Positions of a fiduciary nature held by the filer in a business:

Law Offices of David Yates, PLLC, Owner
Senator, District 37, Member

7. A designation as commercial, residential, or rural, and the location of all real property other than the filer's primary residence, in which there is an interest of \$10,000 or more held by the filer, filer's spouse, or filer's minor children:

see attached

8. Sources of gifts of money or property with a retail value of more than \$200 to the filer or the filer's immediate family, except those from a member of the filer's family. (Family means spouse, parent, sibling, child, mother-in-law, father-in-law, son-in-law, daughter-in-law, grandparent, or grandchild, or dependent member of the filer's household. Immediate family means unemancipated child residing in an individual's household, spouse, or a person claimed by the filer as a dependent for tax purposes.):

NA

9. The name of any creditor owed more than \$10,000 except debts arising from the purchase of consumer goods. (Goods used or bought for use primarily for personal, family, or household purposes):

BB&T, residential mortgage
Forcht Bank, commercial mortgage
Peoples Bank, commercial mortgage

10. The name of any legislative agent who is:

- (a) A member of the filer's immediate family;
- (b) A partner of the filer, or a partner of a member of the filer's immediate family;
- (c) An officer or director of the filer's employer;
- (d) An employer of the filer or an employer of a member of the filer's immediate family;
- (e) A business associate of the filer or a business associate of a member of the filer's immediate family

NA

11. The names of any of the filer's clients who are legislative agents or employers:

NA

12. If you have held a professional license during the filing period, has a properly licensed partner of yours engaged in the practice of cases or other matters which you are prohibited from practicing under KRS 6.744? Yes No Not Applicable

13. If yes, list the names of the clients represented and list the agencies before which the partner made an appearance. The filer need not identify which client was represented before a specific agency.

Clients

State Agency

NOTICES

1. Upon receipt by the Commission, a statement of financial disclosure shall be a public record available for copying.
2. Any person who fails to file a statement of financial disclosure or who fails to remedy a deficiency identified by the Commission in a timely manner may be fined an amount not to exceed \$100 per day up to a maximum total fine of \$1000.
3. Any person who files a statement of financial interests which they know to contain false information, or to omit required information, shall be guilty of a class A misdemeanor.

2/15/2024

Date



Send completed statements to:

The Kentucky Legislative Ethics Commission
22 Mill Creek Park
Frankfort, Kentucky 40601

If you have questions please call us at (502) 573-2863.

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111 W Washington Street, Louisville KY 40202, *commercial*

209 W Kenton Street, Louisville KY 40214, *residential*

5014 Laughlin Avenue, Louisville KY 40214, *residential*

2006 Bradley Avenue, Louisville KY 40217, *residential*

2502 Plantside Drive, *commercial*

4122 Shelbyville Road Louisville KY 40207, *commercial*

4711 Robards Lane, Louisville KY 40218, *commercial*

2520 S 3rd Street, Louisville KY 40208, *residential*

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David Yates is the sole Owner of Law Offices of David Yates, PLLC

111 W Washington Street, Ste 200, Louisville KY 40202

David Yates is the sole Owner of C David Yates LLC
8101 Bella Woods Drive, Louisville, KY 40214

David Yates is a Partner of 111 W. Washington LLC
111 W Washington Street Ste 400 Louisville, KY 40202

David Yates is a Partner of Jasmine-Lindsey LLC
9825 Bluegrass Parkway, Jeffersontown, KY 40299

David Yates is a Partner of SMHP I, LLC
4205 Springhurst Blvd Ste 201, Louisville KY 40241

David Yates is a Partner of University of Housing Partners, LLC
806 Stone Creek Parkway, #2, Louisville KY 40223