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HAND DELIVERED
FEB 13 2009

KENTUCKY LEGISLATIVE ETHICS COMMISSION

STATEMENT OF FINANCIAL DISCLOSURE (KRS 6.787)

To be filed by: *All members of the General Assembly, all candidates and nominees for election to the General Assembly, and major management personnel in the legislative branch of state government.*

☐ Check here and attach additional sheets if necessary. ____ Number of sheets attached.

Please Include The Following Information For The Preceding Calendar Year:

Name Joseph Allen Pendleton
Business address 905 Hurst Dr. - Hopkinsville, KY 42240
Business telephone 502 564-8100 x 622 / 270 348-3622
Home address 905 Hurs Dr. - Hopkinsville, KY 42240
Title of public position, or office sought State Senator
Other occupations of filer Farmer & Livestock Consultant
Occupations of spouse Revenue Agent - Internal Revenue Service

NOTE: The Following Sections Do Not Require Disclosure Of Specific Dollar Amounts.

Positions held by filer in any business, partnership, corporation for profit, or corporation not for profit from which the filer receives compensation, and the name of the business, partnership, or corporation
Co-owner - Greenland Farms - Hopkinsville, KY 42240

Positions held by filer's spouse in any business, partnership, corporation for profit, or corporation not for profit from which the filer receives compensation, and the name of the business, partnership, or corporation
Co-owner - Greenland Farms - Hopkinsville, KY 42240

Names and addresses of all businesses, investments, or securities in which the filer, filer's spouse, or filer's minor children had at any time during the reporting year an interest of $10,000 at fair market value, or 5% ownership interest or more
Greenland Farms - Hopkinsville, KY 42240 (Joint)
CD's BB+T Bank - Hopkinsville, KY 42240 (Joint)
Thrift Savings Plan - US Government (Spouse)
Heritage Cash Trust - Raymond James Financial Services (Spouse)
John Hancock Mid Cap Growth Fund (Spouse)
American Funds New Perspective (Spouse)
Van Kamper Senior Loan Funds (Spouse)
Hartford Insurance Co. - Putnam Capital Manager (Spouse)
BB+T Corp (Spouse), Ford Motor Co. (Spouse), Touchstone Family Funds (Filer)

Sources and form of gross income of the filer (list sources by name)

Salary - State Senator
Income from Farm Operations + Livestock Consulting
Income from National Tobacco Settlement
Income from Rental Property
Dividends from Southern States Coop + Petroleum
Interest on Bank Accounts + CDs - BB&T + Bank of Cadiz CRP Incentives

Sources and form of gross income of the filer's spouse (list sources by name)

Salary - Internal Revenue Service
Income from Farm Operations
Income from National Tobacco Settlement
Income from Rental Property
Interest on Bank Accounts + CDs - BB+T + Bank of Cadiz
Interest, Dividends + Capital Gains on Investments with Raymond James

Positions of a fiduciary nature in a business

None

A designation as commercial, residential, or rural, and the location of all real property other than the filer's primary residence, in which there is an interest of $10,000 or more held by the filer, filer's spouse, or filer's minor children

Rural - Farm in Christian County - Hopkinsville, KY (Joint)
Rural - Lake lot in Marshall County - Benton, KY (Spouse)
Residential - House in Franklin County - Frankfort, KY (Filer)

Sources of gifts of money or property with a retail value of more than $200 to the filer or the filer's immediate family, except those from a member of the filer's family. (Family means spouse, parent, sibling, child, mother-in-law, father-in-law, son-in-law, daughter-in-law, grandparent, or grandchild. Immediate family means unemancipated child residing in an individual's household, spouse, or a person claimed by the filer's spouse as a dependent for tax purposes.)

None

The name of any creditor owed more than $10,000 **except** debts arising from the purchase of consumer goods. (Goods used or bought for use primarily for personal, family, or household purposes)

FmHA - Hopkinsville, KY 42240
BB&T - Hopkinsville, KY 42240
Huntington National Bank - Columbus, OH 43213
GMAC - Louisville, KY 40290
Fifth Third Bank - Cincinnati, OH 45263

The name of any legislative agent who is:

1. A member of the filer's immediate family;
2. A partner of the filer, or a partner of a member of the filer's immediate family;
3. An officer or director of the filer's employer;
4. An employer of the filer or an employer of a member of the filer's immediate family;
5. A business associate of the filer or a business associate of a member of the filer's immediate family

None

The names of any of the filer's clients who are legislative agents or employers

None

If you have held a professional license during the filing period, has a properly licensed partner of yours engaged in the practice of cases or other matters which you are prohibited from practicing under KRS 6.744? ☐ Yes ☐ No ☒ Not Applicable

If yes, list the names of the clients represented and list the agencies before which the partner made an appearance. The filer need not identify which client was represented before a specific agency.

Clients

N/A

State Agency

N/A

NOTICES

1. Upon receipt by the Commission, a statement of financial disclosure shall be a public record available for copying.

2. Any person who fails to file a statement of financial disclosure or who fails to remedy a deficiency identified by the Commission in a timely manner may be fined an amount not to exceed $100 per day up to a maximum total fine of $1000.

3. Any person who files a statement of financial interests which they know to contain false information, or to omit required information, shall be guilty of a class A misdemeanor.

02/07/09
Date

[redacted]
Filer

Send completed statements to: The Kentucky Legislative Ethics Commission
22 Mill Creek Park
Frankfort, Kentucky 40601

If you have questions please call us at (502) 573-2863.