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KENTUCKY LEGISLATIVE ETHICS COMMISSION

STATEMENT OF FINANCIAL DISCLOSURE (KRS 6.787)

To be filed by: *All members of the General Assembly, all candidates and nominees for election to the General Assembly, and major management personnel in the legislative branch of state government.*

☐ Check here and attach additional sheets if necessary. _____ Number of sheets attached.

Please Include The Following Information For The Preceding Calendar Year:

Name_____Alice Forgy Kerr_____
Business address_____702 Capitol Avenue, Frankfort, KY 40601
Business telephone_____502 564.8100 EXT. 625
Home address_____3274 Gondola Drive, Lexington, KY 40513
Title of public position, or office sought _____State Senator
Other occupations of filer_____Midway College, Faculty, Director of Advising_____
Occupations of spouse_____N/A

NOTE: The Following Sections Do Not Require Disclosure Of Specific Dollar Amounts.

Positions held by filer in any business, partnership, corporation for profit, or corporation not for profit from which the filer receives compensation, and the name of the business, partnership, or corporation__First Southern Bank, Board Member, Midway College, Director of Student Advising.

Positions held by filer's spouse in any business, partnership, corporation for profit, or corporation not for profit from which the filer receives compensation, and the name of the business, partnership, or corporation_____N/A_____

Names and addresses of all businesses, investments, or securities in which the filer, filer's spouse, or filer's minor children had at any time during the reporting year an interest of $10,000 at fair market value, or 5% ownership interest or more_____

_Savings account/Certificate of Deposit/Checking - First Southern National Bank, Lexington, KY_____

Sources and form of gross income of the filer (list sources by name)
___Kentucky State Senate - Salary, Midway College - Salary, First Southern National Bank Board_Stipend_____
_____
___Savings account/CD/Checking income - First Southern National Bank__
___CSX stock_____

Sources and form of gross income of the filer's spouse (list sources by name)
__First Southern National Bank – Bank Stock_____

Positions of a fiduciary nature in a business_____
_First Southern National Bank Board – Board Member of the Development Board
_____
_____
__
_____.

A designation as commercial, residential, or rural, and the location of all real property other than the filer's primary residence, in which there is an interest of $10,000 or more held by the filer, filer's spouse, or filer's minor children_____
_____
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Sources of gifts of money or property with a retail value of more than $200 to the filer or the filer's immediate family, except those from a member of the filer's family. (Family means spouse, parent, sibling, child, mother-in-law, father-in-law, son-in-law, daughter-in-law, grandparent, or grandchild. Immediate family means unemancipated child residing in an individual's household, spouse, or a person claimed by the filer's spouse as a dependent for tax purposes.)_____None_____
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The name of any creditor owed more than $10,000 **except** debts arising from the purchase of consumer goods. (Goods used or bought for use primarily for personal, family, or household purposes)_____
Mortgage on 3274 Gondola Drive, Lexington, KY held by First Southern National Bank, Lexington, KY _____
_____Loan on vehicle – Morgan Bank, Lorain, Ohio
_____

The name of any legislative agent who is:

1. A member of the filer's immediate family;
2. A partner of the filer, or a partner of a member of the filer's immediate family;
3. An officer or director of the filer's employer;
4. An employer of the filer or an employer of a member of the filer's immediate family;
5. A business associate of the filer or a business associate of a member of the filer's immediate family

_____None_____
_____
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_____.

The names of any of the filer's clients who are legislative agents or employers_____
_____None_____
_____
_____
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_____
_____.

If you have held a professional license during the filing period, has a properly licensed partner of yours engaged in the practice of cases or other matters which you are prohibited from practicing under KRS 6.744? ☐ Yes ☐ No ☒ Not Applicable

If yes, list the names of the clients represented and list the agencies before which the partner made an appearance. The filer need not identify which client was represented before a specific agency.

Clients

N/A

State Agency

N/A

NOTICES

1. Upon receipt by the Commission, a statement of financial disclosure shall be a public record available for copying.

2. Any person who fails to file a statement of financial disclosure or who fails to remedy a deficiency identified by the Commission in a timely manner may be fined an amount not to exceed $100 per day up to a maximum total fine of $1000.

3. Any person who files a statement of financial interests which they know to contain false information, or to omit required information, shall be guilty of a class A misdemeanor.

02.12.09 [redacted]

Date Alice Forgy Kerr Filer

Send completed statements to: The Kentucky Legislative Ethics Commission
22 Mill Creek Park
Frankfort, Kentucky 40601
FAX (502) 573-2929

If you have questions please call us at (502) 573-2863.