candidate 40 2/12/16

(2/99)

HAND DELIVERED
FEB 11 2016

KENTUCKY LEGISLATIVE ETHICS COMMISSION

STATEMENT OF FINANCIAL DISCLOSURE (KRS 6.787)

To be filed by: *All members of the General Assembly, all candidates and nominees for election to the General Assembly, and major management personnel in the legislative branch of state government.*

☐ Check here and attach additional sheets if necessary. ____ Number of sheets attached.

Please Include The Following Information For The Preceding Calendar Year:

Name SAM PIERCE
Business address NONE
Business telephone NONE
Home address 272 RAINTREE DRIVE, CYNTHIANA, KY 41031
Title of public position, or office sought STATE REPRESENTATIVE DISTRICT 78
Other occupations of filer HARRISON COUNTY MAGISTRATE
Occupations of spouse NONE

NOTE: The Following Sections Do Not Require Disclosure Of Specific Dollar Amounts.

Positions held by filer in any business, partnership, corporation for profit, or corporation not for profit from which the filer receives compensation, and the name of the business, partnership, or corporation NONE

Positions held by filer's spouse in any business, partnership, corporation for profit, or corporation not for profit from which the filer's spouse receives compensation, and the name of the business, partnership, or corporation NONE

Names and addresses of all businesses, investments, or securities in which the filer, filer's spouse, or filer's minor children had at any time during the reporting year an interest of $10,000 at fair market value, or 5% ownership interest or more NONE

C.D.'s → FIFTH-THIRD BANK, FARMERS DEPOSIT BANK – BOTH IN CYNTHIANA, KY
AUBURN BANK – AUBURN, ALABAMA IRA's – AUBURN BANK – AUBURN, ALABAMA
CHECKING ACCOUNTS – KENTUCKY BANK, FIFTH-THIRD BANK, FARMERS DEPOSIT BANK – ALL IN CYNTHIANA, KY
KEYSTONE BANK, MAX CREDIT UNION, CHARTER BANK → ALL IN AUBURN, AL
ANNUITY – VALIC – HEADQUARTERED IN AMARILLO, TEXAS

Sources and form of gross income of the filer (list sources by name) SOCIAL SECURITY
PENSION - ALABAMA TEACHER'S RETIREMENT
SALARY FROM MAGISTRATE JOB
INTEREST INCOME FROM C.D.'s, CHECKING ACCOUNTS, IRA's, AND ANNUITY.

Sources and form of gross income of the filer's spouse (list sources by name) SOCIAL SECURITY
PENSION FROM QUANTEGY
RENTAL INCOME
INTEREST INCOME FROM C.D.'s AND CHECKING ACCOUNTS

Positions of a fiduciary nature in a business NONE

A designation as commercial, residential, or rural, and the location of all real property other than the filer's primary residence, in which there is an interest of $10,000 or more held by the filer, filer's spouse, or filer's minor children
RESIDENTIAL - 1167 JENKINS DRIVE, AUBURN, AL 36830 - DAUGHTER AND SON-IN-LAW CURRENTLY RESIDE
RESIDENTIAL RENTAL - 218 REDWOOD COURT, AUBURN, AL 36830
RESIDENTIAL RENTAL - 2807 SOUTHVIEW COURT, OPELIKA, AL 36804
RESIDENTIAL RENTAL - 1224 S. PALMETTO AVENUE, UNIT 2H, DAYTONA BEACH, FL 32114
RESIDENTIAL RENTAL - 1350 S. PALMETTO AVENUE, UNIT 1E, DAYTONA BEACH, FL 32114 → SOLD IN 2015
COMMERCIAL RENTAL - 1514 MULBERRY STREET, MONTGOMERY, AL 36101 → SOLD IN 2015

Sources of gifts of money or property with a retail value of more than $200 to the filer or the filer's immediate family, except those from a member of the filer's family. (Family means spouse, parent, sibling, child, mother-in-law, father-in-law, son-in-law, daughter-in-law, grandparent, or grandchild. Immediate family means unemancipated child residing in an individual's household, spouse, or a person claimed by the filer's spouse as a dependent for tax purposes.) NONE

The name of any creditor owed more than $10,000 **except** debts arising from the purchase of consumer goods. (Goods used or bought for use primarily for personal, family, or household purposes) NONE

The name of any legislative agent who is:

1. A member of the filer's immediate family;
2. A partner of the filer, or a partner of a member of the filer's immediate family;
3. An officer or director of the filer's employer;
4. An employer of the filer or an employer of a member of the filer's immediate family;
5. A business associate of the filer or a business associate of a member of the filer's immediate family

NONE

The names of any of the filer's clients who are legislative agents or employers

NONE

If you have held a professional license during the filing period, has a properly licensed partner of yours engaged in the practice of cases or other matters which you are prohibited from practicing under KRS 6.744? ☐ Yes ☐ No ☑ Not Applicable

If yes, list the names of the clients represented and list the agencies before which the partner made an appearance. The filer need not identify which client was represented before a specific agency.

Clients

DOES NOT APPLY

State Agency

DOES NOT APPLY

NOTICES

1. Upon receipt by the Commission, a statement of financial disclosure shall be a public record available for copying.

2. Any person who fails to file a statement of financial disclosure or who fails to remedy a deficiency identified by the Commission in a timely manner may be fined an amount not to exceed $100 per day up to a maximum total fine of $1000.

3. Any person who files a statement of financial interests which they know to contain false information, or to omit required information, shall be guilty of a class A misdemeanor.

FEBRUARY 10, 2016
Date

Sam Pierce
Filer

Send completed statements to:

The Kentucky Legislative Ethics Commission
22 Mill Creek Park
Frankfort, Kentucky 40601
FAX (502) 573-2929

If you have questions please call us at (502) 573-2863.