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KENTUCKY LEGISLATIVE ETHICS COMMISSION

STATEMENT OF FINANCIAL DISCLOSURE (KRS 6.787)

To be filed by: *All members of the General Assembly, all candidates and nominees for election to the General Assembly, and major management personnel in the legislative branch of state government.*

☐ Check here and attach additional sheets if necessary. ____ Number of sheets attached.

Please Include The Following Information For The Preceding Calendar Year:

Name Stephen Douglas Riley
Business address
Business telephone
Home address 189 Blue Sky Dr. (270) 646-6821
Title of public position, or office sought House of Representatives 23rd District
Other occupations of filer Retired Part-time DTS transportation
Occupations of spouse Registered Nurse - Clinical Relations Liasion

NOTE: The Following Sections Do Not Require Disclosure Of Specific Dollar Amounts.

Positions held by filer in any business, partnership, corporation for profit, or corporation not for profit from which the filer receives compensation, and the name of the business, partnership, or corporation
DTS - medical transportation part time

Positions held by filer's spouse in any business, partnership, corporation for profit, or corporation not for profit from which the filer's spouse receives compensation, and the name of the business, partnership, or corporation
Signature Health Care - clinical relations liasion

Names and addresses of all businesses, investments, or securities in which the filer, filer's spouse, or filer's minor children had at any time during the reporting year an interest of $10,000 at fair market value, or 5% ownership interest or more
Steve American Funds 403 B Steve CB+T C/F 403 B Capital World Growth & Income
Steve RBC Roth IRA Steve RIDC X Barren Co. Board of Education
Steve Hartford Life 457 Capital World Growth & Income & Income Fund of America

Lisa RBC IRA IPI Managed 401 K Lisa Hartford Annuity
Lisa RBC IRA Riverfront Global Allocation Lisa John Hancock Venture Annuity
Lisa RBC Roth IRA

Sources and form of gross income of the filer (list sources by name)

KTRS Teacher Retirement
DTS Medical Transportation part time
Dividends & Capital Gains from Roth IRA
Dividends & Capital Gains from 403 B
Dividends & Capital " from 457 Dividends & Capital Gains from
Barren County Board of Education RBC JTWROS

Sources and form of gross income of the filer's spouse (list sources by name)

Signature Health Care salary
Dividends & Capital Gains from 401 K
Elmcroft Health Care salary
Dividends & Capital Gains from Hartford Annuity
Dividends & Capital Gains from John Hancock Annuity

Positions of a fiduciary nature in a business

Board of Directors - Glasgow/Barren County Chamber of Commerce
Board of Control - Kentucky High School Athletic Association

A designation as commercial, residential, or rural, and the location of all real property other than the filer's primary residence, in which there is an interest of $10,000 or more held by the filer, filer's spouse, or filer's minor children

Condo - 743 N. Hite Ave, Louisville, KY.

Sources of gifts of money or property with a retail value of more than $200 to the filer or the filer's immediate family, except those from a member of the filer's family. (Family means spouse, parent, sibling, child, mother-in-law, father-in-law, son-in-law, daughter-in-law, grandparent, or grandchild. Immediate family means unemancipated child residing in an individual's household, spouse, or a person claimed by the filer's spouse as a dependent for tax purposes.)

The name of any creditor owed more than $10,000 **except** debts arising from the purchase of consumer goods. (Goods used or bought for use primarily for personal, family, or household purposes)

South Central Bank

Edmonton State Bank

The name of any legislative agent who is:

1. A member of the filer's immediate family;
2. A partner of the filer, or a partner of a member of the filer's immediate family;
3. An officer or director of the filer's employer;
4. An employer of the filer or an employer of a member of the filer's immediate family;
5. A business associate of the filer or a business associate of a member of the filer's immediate family

The names of any of the filer's clients who are legislative agents or employers

If you have held a professional license during the filing period, has a properly licensed partner of yours engaged in the practice of cases or other matters which you are prohibited from practicing under KRS 6.744? ☐ Yes ☑ No ☐ Not Applicable

If yes, list the names of the clients represented and list the agencies before which the partner made an appearance. The filer need not identify which client was represented before a specific agency.

Clients

_____
_____
_____
_____
_____.

State Agency

_____
_____
_____
_____
_____.

NOTICES

1. Upon receipt by the Commission, a statement of financial disclosure shall be a public record available for copying.

2. Any person who fails to file a statement of financial disclosure or who fails to remedy a deficiency identified by the Commission in a timely manner may be fined an amount not to exceed $100 per day up to a maximum total fine of $1000.

3. Any person who files a statement of financial interests which they know to contain false information, or to omit required information, shall be guilty of a class A misdemeanor.

2-9-16
Date

Filer

Send completed statements to: The Kentucky Legislative Ethics Commission
22 Mill Creek Park
Frankfort, Kentucky 40601
FAX (502) 573-2929

If you have questions please call us at (502) 573-2863.