

KENTUCKY LEGISLATIVE ETHICS COMMISSION

STATEMENT OF FINANCIAL DISCLOSURE (KRS 6.787)

To be filed by: All members of the General Assembly, all candidates and nominees for election to the General Assembly, and major management personnel in the legislative branch of state government.

Please Include The Following Information For The Preceding Calendar Year:

Name: Ralph Alvarado

Business address: 475 Shoppers Drive Winchester Kentucky 40391

Business telephone: (859) 744-5111

Home address: 3250 McClure Road Winchester Kentucky 40391

Title of public position, or office sought: State Senator - 28th district

Other occupations of filer: Medical director

Occupation of spouse: Occupational therapist, Housewife

NOTE: The Following Sections Do Not Require Disclosure Of Specific Dollar Amounts.

Positions held by filer in any business, partnership, corporation for profit, or corporation not for profit from which the filer receives compensation, and the name of the business, partnership, or corporation:

Regional medical director/Physician for KentuckOne Medical Group, Owner- Winchester Professional Developments, LLC, Medical Director - Signature Healthcare, Member - AUA, LLC, Supervising physician - Integritas, Medical director - Stableview, Medical director - Wesley Village (non-profit nursing home)

Positions held by filer's spouse in any business, partnership, corporation for profit, or corporation not for profit from which the filer's spouse receives compensation, and the name of the business, partnership, or corporation:

Owner - Winchester Professional Developments, LLC, Occupational therapist - Brookdale, Inc.

Names and addresses of all businesses, investments, or securities in which the filer, filer's spouse, or filer's minor children had at any time during the reporting year an interest of \$10,000 at fair market value, or 5% ownership interest or more:

Fidelity, Inc (Stock), Winchester Professional Developments, LLC - 3250 McClure Road, Winchester, KY 40391, AUA, LLC - 505 Shoppers Drive, Winchester, KY 40391, American Funds (stock), Alliance Banking Company (stock), Essence Group Holding Corporation (stock)

Sources and form of gross income of the filer (list sources by name):

Equity from AUA < LLC, Dividend/capital gains from American funds & Fidelity, Inc. Salary from KentuckyOne Medical Group, Income from: 1) Medical director for Signature Healthcare, 2) Supervision for Integritas, LLC, 3) Medical legal work of Quintarios, Prieto, Wood & Boyer (law firm), 4) Winchester Professional Developments, LLC, 5) Medical director - Wesley Village (nursing home)

Sources and form of gross income of the filer's spouse (list sources by name):

Salary from Brookdale, Inc, Income from Winchester Professional Developments, LLC

Positions of a fiduciary nature in a business:

Board of directors - WealthMD, Inc., Board of directors/finance chairman - KentuckyOne Medical Group

A designation as commercial, residential, or rural, and the location of all real property other than the filer's primary residence, in which there is an interest of \$10,000 or more held by the filer, filer's spouse, or filer's minor children:

2 duplexes in Clark County (residential), Rural land in Clark county (joint), Residential land in Russell County (joint), Professional office building in Clark County (commercial), Professional office development in Clark County (commercial).

Sources of gifts of money or property with a retail value of more than \$200 to the filer or the filer's immediate family, except those from a member of the filer's family. (Family means spouse, parent, sibling, child, mother-in-law, father-in-law, son-in-law, daughter-in-law, grandparent, or grandchild. Immediate family means unemancipated child residing in an individual's household, spouse, or a person claimed by the filer's spouse as a dependent for tax purposes.):

The name of any creditor owed more than \$10,000 **except** debts arising from the purchase of consumer goods. (Goods used or bought for use primarily for personal, family, or household purposes):

US Bank, Franklin D. Franklin, Winchester Professional Savings

The name of any legislative agent who is:

1. A member of the filer's immediate family;
2. A partner of the filer, or a partner of a member of the filer's immediate family;
3. An officer or director of the filer's employer;
4. An employer of the filer or an employer of a member of the filer's immediate family;
5. A business associate of the filer or a business associate of a member of the filer's immediate family

The names of the filer's clients who are legislative agents or employers:

If you have held a professional license during the filing period, has a properly licensed partner of yours engaged in the practice of cases or other matters which you are prohibited from practicing under KRS 6.744? : Yes X No Not Applicable

If yes, list the names of the clients represented and list the agencies before which the partner made an appearance. The filer need not identify which client was represented before a specific agency:

Clients

State Agency

NOTICES

1. Upon receipt by the Commission, a statement of financial disclosure shall be a public record available for copying.
2. Any person who fails to file a statement of financial disclosure or who fails to remedy a deficiency identified by the Commission in a timely manner may be fined an amount not to exceed \$100 per day up to a maximum total fine of \$1000.
3. Any person who files a statement of financial interests which they know to contain false information, or to omit required information, shall be guilty of a class A misdemeanor.

01/11/2016

Date

Ralph Alvarado

Filer

Send completed statements to: The Kentucky Legislative Ethics Commission
22 Mill Creek Park
Frankfort, Kentucky 40601
FAX (502) 573-2929

If you have questions please call us at (502) 573-2863.