

KENTUCKY LEGISLATIVE ETHICS COMMISSION

STATEMENT OF FINANCIAL DISCLOSURE (KRS 6.787)

To be filed by: All members of the General Assembly, all candidates and nominees for election to the General Assembly, and major management personnel in the legislative branch of state government.

Check here and attach additional sheets if necessary. 3 Number of sheets attached.

Please Include The Following Information For The Preceding Calendar Year:

Name **Jim DeCesare**

Business address **116 East College Street, Glasgow, KY 42142**

Business telephone **270-651-8848**

Home address **544 McIntyre Street, Bowling Green, KY 42101**

Title of public position, or office sought **State Representative**

Other occupations of filer **Director of Business Development/ Public Relations, State Representative**

Occupations of spouse **Coordinator, Broadcast Services, University Relations**

NOTE: The Following Sections Do Not Require Disclosure Of Specific Dollar Amounts.

Positions held by filer in any business, partnership, corporation for profit, or corporation not for profit from which the filer receives compensation, and the name of the business, partnership, or corporation **Business Development / Public Relations Director for Alliance Corporation, State Representative for the Commonwealth of Kentucky, Contract Musician**

Positions held by filer's spouse in any business, partnership, corporation for profit, or corporation not for profit from which the filer receives compensation, and the name of the business, partnership, or corporation **University Relations at Western Kentucky University, Partner in the Bingham Family Limited Partnership**

Names and addresses of all businesses, investments, or securities in which the filer, filer's spouse, or filer's minor children had at any time during the reporting year an interest of \$10,000 at fair market value, or 5% ownership interest or more **See Attached**

Sources and form of gross income of the filer (list sources by name) **See Attached**

Sources and form of gross income of the filer's spouse (list sources by name) **See attached**

Positions of a fiduciary nature in a business **Kentucky House of Representatives: Member**

A designation as commercial, residential, or rural, and the location of all real property other than the filer's primary residence, in which there is an interest of \$10,000 or more held by the filer, filer's spouse, or filer's minor children **Bingham Limited Family Partnership: Spouse/2 Minors**

Sources of gifts of money or property with a retail value of more than \$200 to the filer or the filer's immediate family, except those from a member of the filer's family. (Family means spouse, parent, sibling, child, mother-in-law, father-in-law, son-in-law, daughter-in-law, grandparent, or grandchild. Immediate family means unemancipated child residing in an individual's household, spouse, or a person claimed by the filer's spouse as a dependent for tax purposes.) **N/A**

The name of any creditor owed more than \$10,000 **except** debts arising from the purchase of consumer goods. (Goods used or bought for use primarily for personal, family, or household purposes)

The name of any legislative agent who is:

1. A member of the filer's immediate family;
2. A partner of the filer, or a partner of a member of the filer's immediate family;
3. An officer or director of the filer's employer;
4. An employer of the filer or an employer of a member of the filer's immediate family;
5. A business associate of the filer or a business associate of a member of the filer's immediate family

N/A

The names of any of the filer's clients who are legislative agents or employers N/A

If you have held a professional license during the filing period, has a properly licensed partner of yours engaged in the practice of cases or other matters which you are prohibited from practicing under KRS 6.744? Yes No Not Applicable

If yes, list the names of the clients represented and list the agencies before which the partner made an appearance. The filer need not identify which client was represented before a specific agency.

Clients

State Agency

NOTICES

1. Upon receipt by the Commission, a statement of financial disclosure shall be a public record available for copying.
2. Any person who fails to file a statement of financial disclosure or who fails to remedy a deficiency identified by the Commission in a timely manner may be fined an amount not to exceed \$100 per day up to a maximum total fine of \$1000.
3. Any person who files a statement of financial interests which they know to contain false information, or to omit required information, shall be guilty of a class A misdemeanor.



2/16/2016
Date

Filer

Send completed statements to: The Kentucky Legislative Ethics Commission
22 Mill Creek Park
Frankfort, Kentucky 40601
FAX (502) 573-2929

If you have questions please call us at (502) 573-2863.

Names and addresses of all businesses, investments, or securities in which the filer, filer's spouse, or filer's minor children had at any time during the reporting year an interest of \$10,000 at fair market value, or 5% ownership interest or more

Amy & Jim DeCesare:

American Balanced Fund CI A, P.O. Box 7650, One Market, Steuart Tower San Francisco, CA 94120
Calamos Growth Fund CI C, 2020 Calamos Court, Naperville, IL 60563
Capital Income Builder Fund CI A, P.O. Box 7650, One Market, Steuart Tower San Francisco, CA 94120
Capital World Grw & Inc Fund A, P.O. Box 7650, One Market, Steuart Tower San Francisco, CA 94120
Federated Strategic Value Dividend Fund Class C, P.O. Box 8600, Boston, MA 02266
Fidelity Select Health Care, P.O. Box 770001, Cincinnati, OH 45277
Franklin Income Fund Class C, P.O. Box 33030, St. Petersburg, FL 33733
Fundamental Investors Fund A, P.O. Box 6007, Indianapolis, IN 46206-6007
Hartford Cap Appreciation CI C, 30 Dan Road, Suite 55022, Canton, MA 02021
Hartford Dividend & Growth A, 30 Dan Road, Suite 55022, Canton, MA 02021
Income Fund of America Fund CI A, P.O. Box 7650, One Market, Steuart Tower San Francisco, CA 94120
MFS Moderate Allocation Fund A, P.O. Box 55824, Boston, MA 02205
South Central Bank, 1757 Campbell Lane, Bowling Green, KY 42101
State Auto Financial Corporation Stock, 518 East Broad Street, Columbus, OH 43215
Thornburg Investment Income Builder C, 2300 North Ridgetop Road, Santa Fe, NM 87506

Jim DeCesare:

Kentucky Legislators Retirement Plan, Whitaker Bank Building Suite 302, 305 Ann St., Frankfort, KY 40601

Amy DeCesare (Spouse):

American Balanced Fund CI A, P.O. Box 7650, One Market, Steuart Tower San Francisco, CA 94120
Bingham Limited Family Partnership, 1850 Sharon Grove Road, Elkton, KY 42220
Capital Income Builder Fund CI A, P.O. Box 7650, One Market, Steuart Tower San Francisco, CA 94120
Federated International Strategic Value Dividend Fund Class CL, P.O. Box 8600, Boston, MA 02266
Federated Strategic Value Dividend Fund Class C, P.O. Box 8600, Boston, MA 02266
Fidelity Select Health Care, P.O. Box 770001, Cincinnati, OH 45277
Franklin Income Fund Class C, P.O. Box 33030, St. Petersburg, FL 33733
Franklin Income Fund Class C M/F, P.O. Box 33030, St. Petersburg, FL 33733
Franklin Income Fund Class C, P.O. Box 33030, St. Petersburg, FL 33733
Fundamental Investors Fund A, P.O. Box 6007, Indianapolis, IN 46206-6007
Hartford Capital Appreciation Fund CI A, 30 Dan Road, Suite 55022, Canton, MA 02021
Hartford Dividend & Growth A, 30 Dan Road, Suite 55022, Canton, MA 02021
Hartford Dividend & Growth Fund CI A, 30 Dan Road, Suite 55022, Canton, MA 02021
Henderson Global Equity Income Fund Class C M/F, 201 Bishopsgate, London, EC2M 3AE, United Kingdom
Income Fund of America Fund CI A, P.O. Box 7650, One Market, Steuart Tower San Francisco, CA 94120
Lewisburg Banking Company, PO Box 278, Lewisburg, KY 42256
Principal Glb Dvrsf CI P, 30 Dan Road, Canton, MA 02021-2809
Raymond James Bank, 710 Carillon Parkway, St. Petersburg, FL 33716
State Auto Financial Corporation, 518 East Broad Street, Columbus, OH 43215
Thornburg Investment Income Builder C, 2300 North Ridgetop Road, Santa Fe, NM 87506
VY T Rowe Price Capital Appreciation Pt Srv, 1475 Dunwoody Dr., Westchester, PA 19380

Brooke DeCesare (Minor Child):

Bingham Limited Family Partnership, 1850 Sharon Grove Road, Elkton, KY 42220
Lewisburg Banking Company, PO Box 278, Lewisburg, KY 42256

Justin DeCesare (Minor Child):

Bingham Limited Family Partnership, 1850 Sharon Grove Road, Elkton, KY 42220
Lewisburg Banking Company, PO Box 278, Lewisburg, KY 42256

Sources and form of gross income of the filer (list sources by name)

Alliance Corporation, Salary
American Balanced Fund CI A, Dividend
American Mutual Fund CI A, Dividend
Blackrock Equity Dividend, Dividend
Calamos Growth Fund CI C, Dividend
Capital Income Builder Fund CI A, Dividend
Capital World Grw & Inc Fund A, Dividend
Capital World Grw & Inc Fund F2, Dividend
Commonwealth of Kentucky, Salary
Contract Musician, Salary
Europacific Growth Fund CI A, Dividend
Europacific Growth Fund CI F2, Dividend
Fundamental Investors Fund A, Dividend
Gabelli Equity Income Fund I, Dividend
Hartford Cap Appreciation CI C, Dividend
Hartford Midcap Fund CI A, Dividend
Income Fund of America Fund CI A, Dividend
Investment Co of America Fd A, Dividend
MFS Moderate Allocation Fund A, Dividend
MGD Prin Protect Portfolio, Dividen
South Central Bank, Interest
Stadion Managed Growth SATG, Dividend
State Auto Financial Corporation Stock, Dividend

Sources and form of gross income of the filer's spouse (list sources by name)

American Balanced Fund CI A, Dividend
American High Income Trust CI A, Dividend
American Intermediate Bond of America CL, Dividend
American Mutual Fund CI A, Dividend
Bingham Limited Family Partnership, Dividend
Blackrock Equity Dividend, Dividend
Calamos Growth Fund CI C, Dividend
Capital Income Builder Fund CI A, Dividend
Capital World Grw & Inc Fund A, Dividend
Capital World Grw & Inc Fund F2, Dividend
Europacific Growth Fund CI A, Dividend
Europacific Growth Fund CI F2, Dividend
Federated International Strategic Value Dividend FD C, Dividend
Federated International Strategic Value Dividend Fund Class CL, Dividend
Federated Strategic Value Dividend Fund Class C, Dividend
Fidelity Select Health Care, Dividend
Franklin Income Fund Class CI C, Dividend
Fundamental Investors Fund A, Dividend
Gabelli Equity Income Fund I, Dividend
Hartford Cap Appreciation CI C, Dividend
Hartford Capital Appreciation Fund CI A, Dividend
Hartford Dividend & Growth Fund CI A, Dividend
Hartford Midcap Fund CI A, Dividend
Hartford Total Return Bond Fund CI A, Dividend
Henderson Global Equity Income Fund Class C M/F, Dividend
Income Fund of America Fund CI A, Dividend
International Bond Fund of America CI A, Dividend
Invesco High Yeild Fund A, Dividend

Investment Co of America Fd A, Dividend
Kentucky Deferred Compensation, Asset Allocation
Lewisburg Banking Company, Interest
Principal Global Diverse Income C, Dividend
Raymond James Bank, Interest
South Central Bank, Interest
State Auto Financial Corporation, Dividend
Thornburg Investment Income Builder C, Dividend
Treasury Fund – Capital Reserves CL, Dividend
VY T Rowe Price Capital Appreciation Pt Srv, Dividend
Western Kentucky University, Salary