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HAND DELIVERED
FEB 15 2016

KENTUCKY LEGISLATIVE ETHICS COMMISSION

STATEMENT OF FINANCIAL DISCLOSURE (KRS 6.787)

To be filed by: All members of the General Assembly, all candidates and nominees for election to the General Assembly, and major management personnel in the legislative branch of state government.

☐ Check here and attach additional sheets if necessary. 1 Number of sheets attached.

Please Include The Following Information For The Preceding Calendar Year:

Name Ray S. Jones, II
Business address P.O. Box 3850, Pikeville, KY 40502
Business telephone (606) 432-5777
Home address 2371 Left Fork of Island Creek, Pikeville, Ky 41501
Title of public position, or office sought State Senator 31st District
Other occupations of filer Attorney
Occupations of spouse Physician

NOTE: The Following Sections Do Not Require Disclosure Of Specific Dollar Amounts.

Positions held by filer in any business, partnership, corporation for profit, or corporation not for profit from which the filer receives compensation, and the name of the business, partnership, or corporation
President, Jones and Hickman PSC
President, R & P Real Estate Development, Inc.
Member of Jones Legal Holdings
President, Law Offices of Ray S. Jones, PSC

Positions held by filer's spouse in any business, partnership, corporation for profit, or corporation not for profit from which the filer receives compensation, and the name of the business, partnership, or corporation
NA

Names and addresses of all businesses, investments, or securities in which the filer, filer's spouse, or filer's minor children had at any time during the reporting year an interest of \$10,000 at fair market value, or 5% ownership interest or more
SEE ATTACHED SHEET

Sources and form of gross income of the filer (list sources by name) _____

General Assembly Salary

Law Practice (Salary/Distributions)

Jones Legal Holdings (Rental Income/Distributions)

Interest Income

Dividends and Distributions from Investments (see attached)

Sale of real estate in Pikeville, KY

Sources and form of gross income of the filer's spouse (list sources by name) _____

Pikeville Medical Center – Salary (Physician)

Cut-Through Hydrocarbon – Distributions

Positions of a fiduciary nature in a business _____

See Page 1

A designation as commercial, residential, or rural, and the location of all real property other than the filer's primary residence, in which there is an interest of \$10,000 or more held by the filer, filer's spouse, or filer's minor children _____

Residence – KY Rt 610, Virgie KY (1/2 interest)

Commercial Property – 43 Tollie Lane, Pikeville, KY

Residence – 2371 Left Fork of Island Creek, Pikeville, KY 41501

Sources of gifts of money or property with a retail value of more than \$200 to the filer or the filer's immediate family, except those from a member of the filer's family. (Family means spouse, parent, sibling, child, mother-in-law, father-in-law, son-in-law, daughter-in-law, grandparent, or grandchild. Immediate family means unemancipated child residing in an individual's household, spouse, or a person claimed by the filer's spouse as a dependent for tax purposes.) _____

NONE

The name of any creditor owed more than \$10,000 **except** debts arising from the purchase of consumer goods. (Goods used or bought for use primarily for personal, family, or household purposes) _____

Community Trust Bank

U S Bank

BBT Bank

The name of any legislative agent who is:

1. A member of the filer's immediate family;
2. A partner of the filer, or a partner of a member of the filer's immediate family;
3. An officer or director of the filer's employer;
4. An employer of the filer or an employer of a member of the filer's immediate family;
5. A business associate of the filer or a business associate of a member of the filer's immediate family

NA

The names of any of the filer's clients who are legislative agents or employers _____

NA

If you have held a professional license during the filing period, has a properly licensed partner of yours engaged in the practice of cases or other matters which you are prohibited from practicing under KRS 6.744? Yes No Not Applicable

NOT TO MY KNOWLEDGE

If yes, list the names of the clients represented and list the agencies before which the partner made an appearance. The filer need not identify which client was represented before a specific agency.

Clients

NA

State Agency

NOTICES

1. Upon receipt by the Commission, a statement of financial disclosure shall be a public record available for copying.
2. Any person who fails to file a statement of financial disclosure or who fails to remedy a deficiency identified by the Commission in a timely manner may be fined an amount not to exceed \$100 per day up to a maximum total fine of \$1000.
3. Any person who files a statement of financial interests which they know to contain false information, or to omit required information, shall be guilty of a class A misdemeanor.

2/10/16

Date

Filer



Send completed statements to:

The Kentucky Legislative Ethics Commission
 22 Mill Creek Park
 Frankfort, Kentucky 40601
 FAX (502) 573-2929

If you have questions please call us at (502) 573-2863.

JONES ASSET LIST AS OF FEBRUARY, 2016

EDWARD JONES ACCOUNT

Coca Cola stock
BP stock
CSX Corporation stock
AT&T Stock
Cenovus Energy stock
Transocean LTD stock
Franklin, Kentucky Tax Free Income Fund
Hartford Balanced Income Fund
Hartford Capital Appreciation Fund
Hartford Dividend & Growth Fund
Hartford Equity Income Fund
MFS Diversified Income Fund
MFS International Diversification Fund
MI State Building Authority bonds
Newark CA School District Bonds
Anaheim City School District General Obligation Bond
San Ysidoro School District General Obligation Bond
Chicago, Illinois General Obligation Bond
First Trust Capitol Strength Unit Trust
First Trust Dividend Strength Unit Trust
First Trust Global Dividend Unit Trust
Invesco Central Equity Trust (Formerly Van Kampen)
Invesco International Dividend Unit Trust
Invesco International Dividend Sustainability Unit Trust
Hartford Life Insurance policy
Allianz Large Cap Value Fund Institutional Class
Blackrock Equity Dividend Fund
Dodge & Cox International Stock Fund
Eaton Vance Atlanta Cap SMID Fund
Franklin Federal Intermediate Fund
Franklin Mutual Global Fund
Fundamental Investors Fund
Harbor Capital Appreciation Fund
Hotchkis & Wiley Mid Cap Value Fund
Invesco Comstock Fund

Invesco International Growth Fund
Invesco Investment Grade Mun Trust
Manning & Napier World Opportunities Fund
MFS Growth Fund
Tax Exempt Bond Fund of America Fund
Oakridge Small Cap Growth Fun
Neuberger & Berman Midcap Growth Fund
Thornburg Limited Term Municipal Fund
Bridge Builder Municipal Bond Fund
Columbia Acorn International Fund
Delaware Select Growth Fund
Dodge & Cox Stock Fund

UBS ACCOUNT

Invesco Divrs Div FD
AQR International Equity Fund
Aberdeen Small Cap Fund
AB Large Cap Growth Fund
Henderson International Opportunities Fund
Hennessy Focus Fund
Ishares Russell Top 200 Growth ETF
John Hancock Disc. Mid Cap Fund
SPDR S&P 500 ETF
Undiscovered MG BH VL SL
Vanguard FTSE Developed
Wisdomtree Trust Europe
Invesco High Yield Mun Fund Class Y
Dreyfus AMT-FR Muni Bd I
Nuveen High Yield Bond Fund I
Pioneer AMT_Free Municipal Class Fund Class Y
Thornburg Limited Term Municipal Fund Class I
AQR Managed Futures
CBRE Clarion Long Short Fund
Blackrock Global
John Hancock Global Absolute RETN Strategs
Nuveen Equity LG/SH
Boston Partners Global Long Short Fund
Alphabet Inc Cl A
Ameriprise Financial INC
Apple
Boeing

Accenture PLC Ireland
CVS
Cognizant Tech Solutions
Colgate Palmolive
Comcast
Danaher Corp
Ecolab Inc.
Facebook
Hilton Worldwide
Home Depot
Honeywell Intl, Inc.
Illinois Tool Works
Intercontinental Exchange
Invesco LTD
McKesson Corp
Mylan N V Eur
Medtronic
O Reilly Automotive
Parexel Intl Corp.
Red Hat, Inc.
Rockwell Automation
Starbucks
TJX Cos Inc New
Thermo Fisher Scien
Timer Warner Inc.
UNTD Technologies
Invesco High Yield
Nuvenn High Yield
Prudential Muni High Fd
Invesco Divrs Div Fd
Blackrock Total Return Fd
Ishares Treas Bond ETF
Nuveen HY MUN Bd fd
Prudential Short Term Corp Bd Fd
AQR Managed Futures
CBRE Clarion Long Short Fund
Blackrock Global
John Hancock Global Absolute RETN Strat CL I
Nuveen Equity
Boston Partners Global Long Short Fd

Gas Well Partnerships (Gold Rush Partners, Foxfire Partners, Medicine Bow Partners, Mallie Partners, Highlander Partners, Falcon Partners, Condor Partners, Threadfin Partners, Sunlight Partners, Quick Silver Partners, Pushback Partners, Pigman Branch Partners, Moonlight Partners, Monopoly Partners, Highview Partners)

Basin Energy gas well partnership

Real Property and residence at Virgie, KY (1101 Hwy 610)

Real Property and residence located at 2371 Left Fork of Island Creek, Pikeville, Ky

Real Property and structure located at 43 Tollie Lane, Pikeville, KY

Wife's retirement plan at PMC