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KENTUCKY LEGISLATIVE ETHICS COMMISSION

HAND DELIVERED (2/99)
FEB 15 2016

STATEMENT OF FINANCIAL DISCLOSURE (KRS 6.787)

To be filed by: All members of the General Assembly, all candidates and nominees for election to the General Assembly, and major management personnel in the legislative branch of state government.

XX Check here and attach additional sheets if necessary. 6 Number of sheets attached.

Please Include The Following Information For The Preceding Calendar Year:

Name Dorsey Ridley
Business address 1100 Barrett Blvd Henderson, KY 42420
Business telephone (270) 869-0505
Home address 4030 Hidden Creek Drive Henderson, KY 42420
Title of public position, or office sought Senate 4th District
Other occupations of filer Banker
Occupations of spouse Elementary School Counselor

NOTE: The Following Sections Do Not Require Disclosure Of Specific Dollar Amounts.

Positions held by filer in any business, partnership, corporation for profit, or corporation not for profit from which the filer receives compensation, and the name of the business, partnership, or corporation Banker – Independence Bank
Ridley & Co, LLC – Managing Member
Ridley Properties, LLC – Single Member
Rhoads Ridley, LLC – Member

Positions held by filer's spouse in any business, partnership, corporation for profit, or corporation not for profit from which the filer receives compensation, and the name of the business, partnership, or corporation
Elementary School Counselor
Ridley & Co. LLC – Member

Names and addresses of all businesses, investments, or securities in which the filer, filer's spouse, or filer's minor children had at any time during the reporting year an interest of \$10,000 at fair market value, or 5% ownership interest or more
See EXHIBIT 1

Sources and form of gross income of the filer (list sources by name)
See EXHIBIT 1

Sources and form of gross income of the filer's spouse (list sources by name) _____

See EXHIBIT 1

Positions of a fiduciary nature in a business _____

NONE

A designation as commercial, residential, or rural, and the location of all real property other than the filer's primary residence, in which there is an interest of \$10,000 or more held by the filer, filer's spouse, or filer's minor children _____

See EXHIBIT 1

Sources of gifts of money or property with a retail value of more than \$200 to the filer or the filer's immediate family, except those from a member of the filer's family. (Family means spouse, parent, sibling, child, mother-in-law, father-in-law, son-in-law, daughter-in-law, grandparent, or grandchild. Immediate family means unemancipated child residing in an individual's household, spouse, or a person claimed by the filer's spouse as a dependent for tax purposes.) _____

NONE

The name of any creditor owed more than \$10,000 **except** debts arising from the purchase of consumer goods. (Goods used or bought for use primarily for personal, family, or household purposes) _____

Independence Bank – Henderson, KY

The name of any legislative agent who is:

1. A member of the filer's immediate family;
2. A partner of the filer, or a partner of a member of the filer's immediate family;
3. An officer or director of the filer's employer;
4. An employer of the filer or an employer of a member of the filer's immediate family;
5. A business associate of the filer or a business associate of a member of the filer's immediate family

NONE

The names of any of the filer's clients who are legislative agents or employers _____

NONE

If you have held a professional license during the filing period, has a properly licensed partner of yours engaged in the practice of cases or other matters which you are prohibited from practicing under KRS 6.744? Yes No Not Applicable

If yes, list the names of the clients represented and list the agencies before which the partner made an appearance. The filer need not identify which client was represented before a specific agency.

Clients

N/A

State Agency

N/A

NOTICES

1. Upon receipt by the Commission, a statement of financial disclosure shall be a public record available for copying.
2. Any person who fails to file a statement of financial disclosure or who fails to remedy a deficiency identified by the Commission in a timely manner may be fined an amount not to exceed \$100 per day up to a maximum total fine of \$1000.
3. Any person who files a statement of financial interests which they know to contain false information, or to omit required information, shall be guilty of a class A misdemeanor.

2/10/16
Date


Filer

Send completed statements to: The Kentucky Legislative Ethics Commission
22 Mill Creek Park
Frankfort, Kentucky 40601
FAX (502) 573-2929

If you have questions please call us at (502) 573-2863.

Filer Sources and Form of Gross Income -- Self and Spouse

Rent Ridley and Co. LLC Commercial Building, Broadview, apartments and Dollar General Store Henderson, KY 42420, self and spouse

Rent Ridley Properties, LLC Commercial Bldg. 2214 US 41N, Henderson, KY 42420, self and spouse

Interest Bank Account, Independence Bank, Frederica St., Owensboro, KY, self and spouse

Rental property Barret Two, Inc Barret Blvd. Henderson, KY spouse and self

Rental property Elmhurst Apts. S. Elm Street spouse and self

Farm/ House Rental 8815 US 60 E., Henderson, KY 42420 spouse and self

House 795 Woodspoint Drive, Henderson, KY self and spouse

Farm 3351 Hwy 351E Spring Garden, Henderson spouse

Farm 3501 Hwy 60E Henderson Spouse

Rental property Mill Street Apts. Mill Street, Henderson, KY spouse and self

Salary Independence Bank Henderson, KY self

Salary Commonwealth of Kentucky Senator 4th District self

Coal oil & gas royalty Consol; Sunshine Oil & Gas; Sunshine Coal; Island Creek Coal, Alliance Coal; self and spouse

BP-Petro Springfield, IL spouse

Salary Henderson Co. Board of Education School Counselor spouse

American Mutual Funds - A & B – Roth IRA - Spouse

Sale: Rhoads Ridley, LLC, Apartments, Dawson Springs, Kentucky – Self

Rental property: apartment - West Venice Avenue, Venice, FL – Self and Spouse

Hodge Family Trust spouse

241 Hackberry Street, Henderson, KY –Self

245 Hackberry Street, Henderson, KY – Self

7371 Peters Road, Reed, KY – Self & Spouse

Aggressive Growth Portfolio, Independence Bancshares, Inc., Independence Bank, Frederica Street, Owensboro, KY
self

Dodge and Cox Intl. Independence Bancshares, Inc., Independence Bank, Frederica Street, Owensboro, KY self

Federated Mid-Cap Index , Independence Bancshares, Inc., Independence Bank, Frederica Street, Owensboro, KY
self

Federated Government – Spouse and self

IBT stock Liquidity Fund, Independence Bancshares, Inc., Independence Bank, Frederica Street, Owensboro, KY
self

Independence Bancs, Independence Bancshares, Inc., Independence Bank, Frederica Street, Owensboro, KY self

First Trust Unit

VANGUARD INDEX 500 , Independence Bancshares, Inc., Independence Bank, Frederica Street, Owensboro, KY
self

VANGUARD LIFESTRATEGY GROWHT , Independence Bancshares, Inc., Independence Bank, Frederica Street,
Owensboro, KY self

VANGUARD SMALL CAP GTH INDEX , Independence Bancshares, Inc., Independence Bank, Frederica Street,
Owensboro, KY self

VANGUARD WINDSOR II , Independence Bancshares, Inc., Independence Bank, Frederica Street, Owensboro,
KY self

The Hartford Capital Appreciation Fund and The Hartford Dividend & Growth Fund –
Hartford, Connecticut, self

Hilliard-Lyons Government Fund, Hilliard-Lyons, Louisville, K self and spouse

Independence Bancshares Inc. Class A Restricted, Indep. Bank, Owensboro, KY self and spouse

Mutual Funds AMCAP Fund Inc. CL A, Hilliard Lyons, Louisville, KY self and spouse

SELF

Unit Investment Trusts First Trust Unit 1410 Target Triad July 07 Monthly rein, c/o Hilliard-Lyons, Louisville, KY
self and spouse

Mineral Holdings; known & unknown; Kentucky, Illinois and Mississippi self and spouse

Reverse Raffle Holy Name Catholic Church self

US Treasury Dept of Veteran's Affairs spouse

		Spouse	Self
ATT	AT&T 32 Avenue of the Americans New York, N.Y. 10013-2412	X	X
ABBOTT LABS	2009 Abbott Laboratories. Abbott Park, Illinois, U.S.A.	X	
ABBVIE, Inc		X	
Activision Blizzard Inc.		X	
Allstate Corp		X	
Altria Group Inc			
AMERICA MOVIL S A B D	Lago Alberto 366 Coalonia Anahuac Mexico DF CP 11320	X	
Anadarko Petroleum Corp			
American International	70 Pine Street New York, NY, 10270.	X	
ARCHER DANIELS MIDLAND	Archer Daniels Midland 1001 Brush College Road, Decatur, Illinois 62521	X	
BP PLC		X	
Bank of America	Charlotte, N.C.		X
Bank New York Mellon		X	
Beam, Inc.	Deerfield, IL	X	
BLOCK H & R INC	Block H & R. 6545 De Anza Ave Riverside, CA 92506	X	
Bristol Myers Squibb	345 Park Avenue New York, New York, USA 10154-0037 212-546-4000	X	X
Caterpillar Inc		X	
Century Link, Inc.	Monroe, LA	X	
Chevron Corp		X	
Coca-Cola Company	The Coca-Cola Company P.O. Box 1734 Atlanta, GA 30301	X	
Colgate Palmolive Company	Colgate 300 Park Ave. New York, New York 10022 www.colgate.com	X	
Comcast Corp Class A New		X	
Continental Resources, Inc.	Enid, Oklahoma	X	
Deere & Company		X	
DNP Select Income		X	

EXHIBIT I

Duke Energy Corp New		X	
Du Pont E.I.DE Nemours		X	
EL PASO CORP	1001 Louisiana Street Houston, TX		X
EXXON MOBIL CORP	5959 Las Colinas Boulevard Irving, TX 75039 (972) 444-1000 http://www.exxonmobil.com/	X	
Fairpoint Communications Inc		X	
Fifth Third Bancorp		X	
First Horizon National Corp		X	
First Trust MLP& Energy Income FD		X	
FORTUNE BRAND Home & Sec INC		X	
Frontier Communications		X	
General Electric Co.	3135 Easton Tpke Fairfield, CT 06432-1008	X	
Geomet Inc		X	
Glaxosmithkline PLC	980 Great West Road, Brentford, Middlesex, TW8 9GS, United Kingdom.	X	
Home Oil & Gas Company	Henderson	X	
Hospira Inc			
IDEARC Inc		X	
Industrial Income TR Inc		X	
Intel Corp	2200 Mission College Blvd. Santa Clara, CA 95054-1549 USA (408) 765-8080	X	
INTL Flavor and Frangrances		X	
J P Morgan Chase and Co	270 Park Avenue New York, NY 10017 (212) 270-6000	X	
Johnson and Johnson	One Johnson & Johnson Plaza New Brunswick, NJ 08933 (732) 524-0400 http://www.jnj.com/	X	
Kraft Foods GRP Inc		X	

EXHIBIT I

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Manulife Financial Corp		X	
Mc Cormick and Company Inc Non Voting		X	
Medco Health Solutions Inc	Franklin Lakes, New Jersey	X	
Merck and Company Inc	1 Merck Dr. Whitehouse Station, NJ 08889-0100	X	
Meridian Bioscience Inc		X	
Metlife Inc			
Microsoft Corp	Microsoft Corporation One Microsoft Way Redmond, WA 98052-7329 USA	X	
Mondelez International Inc		X	
Monsanto Company New		X	
Morgan Stanley		X	
Motorola Incorporated	1303 East Algonquin Road Schaumburg, IL 60196	X	
National Health Investors, Inc.	222 Robert Rose Drive Murfreesboro, TN		X
Old National Bancorp Ind.	Evansville, IN	X	
Omega Health Care		X	
PNC Financial Services	Pittsburgh, PA United States	X	
PPG Industries Inc	Pittsburgh, PA	X	X
PhilipMorris Intl Inc		X	
Pfizer Inc	Pfizer, Inc., 182 Tabor Rd., Morris Plains, NJ 07950	X	
Procter and Gamble Co.	Procter and Gamble Co. Cincinnati, Ohio 45201	X	
QUALCOMM, INC		X	
QWEST Communications	1801 California St. Denver, CO 80202. Phone: 800-899-7780. Fax: 303-992-1724 ...	X	
Regions Financial	Birmingham, AL		X
Rite Aid Corp	30 Hunter Land, Camp Hill, PA 17011	X	
Schering Plough Corp	2000 Galloping Hill Rd. Kenilworth, NJ 07033-0530. Phone: 908-298-4000. Fax: 908-298-7653 ...	X	
Sirius XM Radio Inc	New York City, New York	X	
Smuckers JM Company New		X	
TECO Energy, Inc.		X	

Telefonos de Mexico SA	Parque Via 190 Mexico, D.F. 06599, (800) 997-8970	X	
Telmex International	Mexico City, Mexico	X	
3M Company		X	
Thomson Reuters PLC	Thomson Reuters PLC The Thomson Reuters Building South Colonnade Canary Wharf London ENG E14 5EP	X	
Tyson Foods Inc Class A	2210 W. Oaklawn Dr. Springdale, AR 72762-6999. Phone: 479-290-4000. Fax: 479-290-4061 ...	X	
Union Pacific Corp		X	
Universal Display Corp		X	
Vectren Corp		X	
Verizon Communications		X	
Vodafone Group PLC New	Vodafone Group PLC ADR Vodafone House The Connection Newbury, Berkshire, RG14 2FN Phone: 441635 33251. Fax: Web Site: www.vodafone.com ...	X	
Well Fargo & Co new		X	
Williams COS INC DEL	1 Williams Center Tulsa, OK 74172	X	
WPX Energy Inc		X	
Zimmer Holdings Inc		X	
Fifth Third Capital		X	
National City Capital		X	
SY Bancorp Capital		X	
Capital Income BLDR		X	

MUTUAL FUNDS

Spouse ghr

Capital World Growth and Income Fund Inc CL A c/o Hilliard-Lyons	X
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Cash Equivalents

Hilliard-Lyons Government Fund, Inc	c/o Hilliard-Lyons, Louisville, KY	X -spouse	X-self
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First Trust	X spouse	X self
c/o Hilliard -Lyons, Louisville, KY		