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KENTUCKY LEGISLATIVE ETHICS COMMISSION

HAND DELIVERED (2/99)
FEB - 4 2016

STATEMENT OF FINANCIAL DISCLOSURE (KRS 6.787)

To be filed by: All members of the General Assembly, all candidates and nominees for election to the General Assembly, and major management personnel in the legislative branch of state government.

☐ Check here and attach additional sheets if necessary. 2 Number of sheets attached.

Please Include The Following Information For The Preceding Calendar Year:

Name John Schickel
Business address State Capitol, 702 Capitol Avenue, Room 209, Frankfort, KY 40601
Business telephone (502) 564-8100
Home address 2147 Natchez Trace, Union, KY 41091
Title of public position, or office sought State Senator
Other occupations of filer Boone County Transportation Department
Occupations of spouse N/A - Single

NOTE: The Following Sections Do Not Require Disclosure Of Specific Dollar Amounts.

Positions held by filer in any business, partnership, corporation for profit, or corporation not for profit from which the filer receives compensation, and the name of the business, partnership, or corporation None

Positions held by filer's spouse in any business, partnership, corporation for profit, or corporation not for profit from which the filer's spouse receives compensation, and the name of the business, partnership, or corporation None - N/A

Names and addresses of all businesses, investments, or securities in which the filer, filer's spouse, or filer's minor children had at any time during the reporting year an interest of \$10,000 at fair market value, or 5% ownership interest or more

Morgan Stanley Smith Barney
155 Barnwood Drive
Edgewood, KY 41017
ATTN: Mary Ann Pietromonaco

Sources and form of gross income of the filer (list sources by name) _____

Kentucky Retirement System

Morgan Stanley

Boone County School Board

Kentucky State Senator

Sources and form of gross income of the filer's spouse (list sources by name) _____

None

Positions of a fiduciary nature in a business _____

None

A designation as commercial, residential, or rural, and the location of all real property other than the filer's primary residence, in which there is an interest of \$10,000 or more held by the filer, filer's spouse, or filer's minor children _____

None

Sources of gifts of money or property with a retail value of more than \$200 to the filer or the filer's immediate family, except those from a member of the filer's family. (Family means spouse, parent, sibling, child, mother-in-law, father-in-law, son-in-law, daughter-in-law, grandparent, or grandchild. Immediate family means unemancipated child residing in an individual's household, spouse, or a person claimed by the filer's spouse as a dependent for tax purposes.) _____

None

The name of any creditor owed more than \$10,000 **except** debts arising from the purchase of consumer goods. (Goods used or bought for use primarily for personal, family, or household purposes) None

The name of any legislative agent who is:

1. A member of the filer's immediate family;
2. A partner of the filer, or a partner of a member of the filer's immediate family;
3. An officer or director of the filer's employer;
4. An employer of the filer or an employer of a member of the filer's immediate family;
5. A business associate of the filer or a business associate of a member of the filer's immediate family

None

The names of any of the filer's clients who are legislative agents or employers

None

If you have held a professional license during the filing period, has a properly licensed partner of yours engaged in the practice of cases or other matters which you are prohibited from practicing under KRS 6.744? Yes No Not Applicable

If yes, list the names of the clients represented and list the agencies before which the partner made an appearance. The filer need not identify which client was represented before a specific agency.

Clients

N/A

State Agency

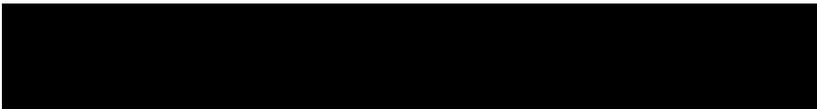
N/A

NOTICES

1. Upon receipt by the Commission, a statement of financial disclosure shall be a public record available for copying.
2. Any person who fails to file a statement of financial disclosure or who fails to remedy a deficiency identified by the Commission in a timely manner may be fined an amount not to exceed \$100 per day up to a maximum total fine of \$1000.
3. Any person who files a statement of financial interests which they know to contain false information, or to omit required information, shall be guilty of a class A misdemeanor.

Date

2/4/16

File 

Send completed statements to:

The Kentucky Legislative Ethics Commission
22 Mill Creek Park
Frankfort, Kentucky 40601
FAX (502) 573-2929

If you have questions please call us at (502) 573-2863.

Investment Positions Over \$10,000

Oppenheimer Sr Floating Rate Y
Oppenheimer Developing Mkts Y
IShares S&P Midcap 400 Index
Honeywell International Inc
Powershares DWA Tech Lead PTF
IShares JP Morgan EM Bond ETF
Liberty Property Trust
Lyondell Basell NV
Xcel Energy Inc.
First Trust Amex Biotech
First Trust DJ Inernet IDX
First Trust Consumer Discret
First Trust Consumer Staples ETF
Fist Trust Hlth Care Alpha ETF
IShares NASDAQ Biotech
ISharesN Amer Tech Soft
IShares S&P 500 Grwth ETF
IShares Dow Jones US ETF
First Trust Cloud Computing
Powershares S&P 500 Low Vola
Vanguard FTSE Emerging Mkts
American Gw Fd of Amer
Delaware Ntl Hi Yld Muni Bd I
Invesco Balanced Risk Alloc Y
Oppenheimer Sr Floating Rate Y
Pimco All Aset P
Third Ave Value Inst
Thornburg Intl Value I
Transparent VL Direct Alloc
Genworth Finl Inc 8.63%
MS CMS Linked Capped & FI Ftr 3.00%

Assets Held at Fidelity Over \$10,000

CSX Corp
Consolidated Edison, Inc
Intel Corp
Philip Morris International, Inc
Union Pacific Corp
Walmart Stores, Inc.
Ford Motor Company
G.M.