

KENTUCKY LEGISLATIVE ETHICS COMMISSION

HAND DELIVERED
JAN 20 2016

STATEMENT OF FINANCIAL DISCLOSURE (KRS 6.787)

To be filed by: All members of the General Assembly, all candidates and nominees for election to the General Assembly, and major management personnel in the legislative branch of state government.

Check here and attach additional sheets if necessary. Number of sheets attached.

Please Include The Following Information For The Preceding Calendar Year:

Name David A. Watkins 2377 Greenview Way
Business address 1015 N. ELM St Henderson, Ky. 42420 Henderson, Ky. 42420
Business telephone 270-212-3219, Cell 1-812-618-7001
Home address 1280 Taransay Dr. Henderson, Ky. 42420
Title of public position, or office sought State Representative District # 11
Other occupations of filer Retired but M.D. work at mom, Rental Properties.
Occupations of spouse Retired, Rental Properties

NOTE: The Following Sections Do Not Require Disclosure Of Specific Dollar Amounts.

Positions held by filer in any business, partnership, corporation for profit, or corporation not for profit from which the filer receives compensation, and the name of the business, partnership, or corporation Partner in mom (minor outpatient Medical Clinic) Part owner Primary Care Physician Office - 20%. Rental Properties

Positions held by filer's spouse in any business, partnership, corporation for profit, or corporation not for profit from which the filer's spouse receives compensation, and the name of the business, partnership, or corporation Retired. Rental Properties

Names and addresses of all businesses, investments, or securities in which the filer, filer's spouse, or filer's minor children had at any time during the reporting year an interest of \$10,000 at fair market value, or 5% ownership interest or more. MOM Clinic, 1419 N. ELM Henderson, Ky. 42420
(2) 4TK, N. Elm Condo, (3) Greenview apt 55%, Meadow apt - 100%
(4) 7 Rental Houses (5) 2 Duplexes, (6) 120 Acres Farm in Henderson County
Charles Schwab IRA, Independence Bank IRA
MOM - 1015 N. ELM Henderson, Ky.
12.5% interest

Sources and form of gross income of the filer (list sources by name)

Social Security, MOM, Dowdness Retirement,
Rental House, Farm, Rent Daphnes /
Ky. Commonwealth - Salary from State Representative

Sources and form of gross income of the filer's spouse (list sources by name)

Rental income, Social Security

Positions of a fiduciary nature in a business

None

A designation as commercial, residential, or rural, and the location of all real property other than the filer's primary residence, in which there is an interest of \$10,000 or more held by the filer, filer's spouse, or filer's minor children

ALL in Henderson County.
Commercial - MOM - 1015 N. ELM, at Kings in Condo - 20% 1413 N ELM.
12 1/2 % 1 House 5600 Tim Ar Lowe.

Residential - Greenview Apt - U.S. 60 East, 3 Houses W 60 East,
1 House Manwood Dr., 1 House Broadview Subd., Manlow Apt - 24 mile (Greenview Road)
Farm 128+ acres + 2 Houses U.S. 41 South, ~~100~~.

Sources of gifts of money or property with a retail value of more than \$200 to the filer or the filer's immediate family, except those from a member of the filer's family. (Family means spouse, parent, sibling, child, mother-in-law, father-in-law, son-in-law, daughter-in-law, grandparent, or grandchild. Immediate family means unemancipated child residing in an individual's household, spouse, or a person claimed by the filer's spouse as a dependent for tax purposes.)

None

The name of any creditor owed more than \$10,000 except debts arising from the purchase of consumer goods. (Goods used or bought for use primarily for personal, family, or household purposes) (mom - Independence Bank 1015 N Elm building)

1413 N Elm - Atkinson Condo - Ohio Valley Name change to Field and Main
5600 Timhon Lane - Old National Bank
1280 Tananogoy Independence Bank
Farm - US 40 - New York Independence Bank

The name of any legislative agent who is:

1. A member of the filer's immediate family;
2. A partner of the filer, or a partner of a member of the filer's immediate family;
3. An officer or director of the filer's employer;
4. An employer of the filer or an employer of a member of the filer's immediate family;
5. A business associate of the filer or a business associate of a member of the filer's immediate family

None

The names of any of the filer's clients who are legislative agents or employers

None

If you have held a professional license during the filing period, has a properly licensed partner of yours engaged in the practice of cases or other matters which you are prohibited from practicing under KRS 6.744? Yes No Not Applicable

If yes, list the names of the clients represented and list the agencies before which the partner made an appearance. The filer need not identify which client was represented before a specific agency.

Clients

None

State Agency

None

NOTICES

1. Upon receipt by the Commission, a statement of financial disclosure shall be a public record available for copying.
2. Any person who fails to file a statement of financial disclosure or who fails to remedy a deficiency identified by the Commission in a timely manner may be fined an amount not to exceed \$100 per day up to a maximum total fine of \$1000.
3. Any person who files a statement of financial interests which they know to contain false information, or to omit required information, shall be guilty of a class A misdemeanor.

1-12-2016
Date


Filer

Send completed statements to: The Kentucky Legislative Ethics Commission
22 Mill Creek Park
Frankfort, Kentucky 40601
FAX (502) 573-2929

If you have questions please call us at (502) 573-2863.

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Contributory [REDACTED]

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Market Value Unrealized Gain / Loss Positions Monitor

Account Value Cash & Cash Invest. Market Value Day Change¹

Group By: Security Type Settings

Symbol	Name: Full Short	Quantity	Price	Price Change \$ %	Market Value	Day Change ² \$ %	Rating ³	Reinvest?	% of Account
Equities									
T	A T & T INC NEW	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	F	[REDACTED]	[REDACTED]
AXAHY	A X A SPONSORED ADR F...	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	-	[REDACTED]	[REDACTED]
ABBV	ABBVIE INC	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	C	[REDACTED]	[REDACTED]
AMD	ADVANCED MICRO DEVICES	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	C	[REDACTED]	[REDACTED]
007942204	ADVANTA CORP CL B XXX...	[REDACTED]	N/A	N/A	N/A	N/A	-	N/A	N/A
AFFX	AFFYMETRIX INC	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	A	[REDACTED]	[REDACTED]
[REDACTED]	ALCOA INC	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	A	[REDACTED]	[REDACTED]
BABA	ALIBABA GROUP HLDG ADR F...	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	-	[REDACTED]	[REDACTED]
MO	ALTRIA GROUP INC	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	C	[REDACTED]	[REDACTED]
ACAS	AMERICAN CAPITAL LTD	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	-	[REDACTED]	[REDACTED]
AIG	AMERICAN INTL GROUP NEW	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	A	[REDACTED]	[REDACTED]
AMS	AMERN SHARED HOSP SVCS	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	-	[REDACTED]	[REDACTED]
NLY	ANNALY CAPITAL MGMT REIT	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	-	No	[REDACTED]
AAPL	APPLE INC	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	B	[REDACTED]	[REDACTED]
BPT	B P PRUDHOE BAY RLTY TR...	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	-	No	[REDACTED]
BIDU	BAIDU INC ADR F...	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	-	No	[REDACTED]
BAC	BANK OF AMERICA CORP	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	C	No	[REDACTED]
BASFY	BASF SE ADR F...	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	-	No	[REDACTED]
BRKB	BERKSHIRE HATHAWAY B NEW...	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	-	No	[REDACTED]
BP	BP PLC ADR F...	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	-	No	[REDACTED]
BMY	BRISTOL-MYERS SQUIBB CO	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	D	No	[REDACTED]
CSPI	C S P INCORPORATED	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	-	No	[REDACTED]
CSX	C S X CORP	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	C	No	[REDACTED]
CMO	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	-	No	[REDACTED]

(1)

Symbol	Name Full Short	Quantity	Price	Price Change \$ %	Market Value	Day Change ² \$ %	Rating ³	Reinvest?	% of Account	
	CAPSTEAD MORTGAGE CP NEW...									☰
CAT	CATERPILLAR INC						B	No		☰
CTL	CENTURYLINK INC						A	No		☰
CVX	CHEVRON CORPORATION						B	No		☰
CSCO	CISCO SYSTEMS INC						B	No		☰
C	CITIGROUP INC NEW						C	No		☰
CEO	CNOOC LIMITED ADR F...						-	No		☰
KO	COCA COLA COMPANY						C	No		☰
CLRX	COLLABRX INC						-	No		☰
CODI	COMPASS DIVERSIFIED HLDG						C	No		☰
CAG	CONAGRA FOODS INC						C	No		☰
COP	CONOCOPHILLIPS						C	No		☰
GLW	CORNING INC						A	No		☰
CWGL	CRIMSON WINE GROUP						-	No		☰
CVI	CVR ENERGY INC						B	No		☰
DPSGY	DEUTSCHE POST NEW ADR F...						-	No		☰
DTEGY	DEUTSCHE TELEKOM AG ADR F...						-	No		☰
DGII	DIGI INTERNATIONAL INC						B	No		☰
DOW	DOW CHEMICAL COMPANY						B	No		☰
DD	DU PONT E I DE NEMOUR&CO						D	No		☰
EVEP	E V ENERGY PARTNERS LP						-	No		☰
EBAY	EBAY INC						C	No		☰
ECA	ENCANA CORPORATION F						-	No		☰
XOM	EXXON MOBIL CORPORATION						B	No		☰
FB	FACEBOOK INC CLASS A						D	No		☰
FRFHF	FAIRFAX FINANCIAL HLDGSF						-	No		☰
FITB	FIFTH THIRD BANCORP						C	No		☰
31769W105	FINANCIAL SVCS HLDG CP...						-	N/A	N/A	-
FMER	FIRSTMERIT CORP						D	No		☰
F	FORD MOTOR COMPANY NEW						B	No		☰
FIG	FORTRESS INVT GP LLC A...						-	No		☰
FRO	FRONTLINE LTD F						C	No		☰

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Symbol	Name Full Short	Quantity	Price	Price Change \$ %	Market Value	Day Change ² \$ %	Rating ³	Reinvest?	% of Account
GE	GENERAL ELECTRIC COMPANY	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	B	No	[REDACTED]
GM	GENERAL MOTORS CO	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	B	No	[REDACTED]
GNW	GENWORTH FINANCIAL INC	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	B	No	[REDACTED]
GSK	GLAXOSMITHKLINE PLC ADRF...	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	-	No	[REDACTED]
HAL	HALLIBURTON CO HLDG CO	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	NR	No	[REDACTED]
HA	HAWAIIAN HOLDINGS INC	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	A	No	[REDACTED]
HCA	HCA HOLDINGS INC	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	B	No	[REDACTED]
IEP	ICAHN ENTERPRISES LP...	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	-	No	[REDACTED]
ITW	ILLINOIS TOOL WORKS INC	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	B	No	[REDACTED]
INTC	INTEL CORP	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	A	No	[REDACTED]
IP	INTERNATIONAL PAPER CO	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	A	No	[REDACTED]
IBM	INTL BUSINESS MACHINES	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	D	No	[REDACTED]
JDSU	JDS UNIPHASE CORP NEW	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	C	No	[REDACTED]
JNJ	JOHNSON & JOHNSON	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	C	No	[REDACTED]
JPM	JPMORGAN CHASE & CO	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	B	No	[REDACTED]
KMI	KINDER MORGAN INC	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	NR	No	[REDACTED]
LSCC	LATTICE SEMICONDUCTOR CP	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	C	No	[REDACTED]
LUK	LEUCADIA NATIONAL CORP	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	F	No	[REDACTED]
LLY	LILLY ELI & COMPANY	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	C	No	[REDACTED]
LLTC	LINEAR TECHNOLOGY CORP...	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	D	No	[REDACTED]
L	LOEWS CORPORATION	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	C	No	[REDACTED]
MRO	MARATHON OIL CORP	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	B	No	[REDACTED]
MPC	MARATHON PETE CORP	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	C	No	[REDACTED]
MKL	MARKEL CORP HOLDING COMPANY	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	B	No	[REDACTED]
MMC	MARSH & MC LENNAN CO INC	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	C	No	[REDACTED]
MXIM	MAXIM INTEGRATED PRODS	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	D	No	[REDACTED]
MET	METLIFE INC	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	B	No	[REDACTED]
MSFT	MICROSOFT CORP	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	B	No	[REDACTED]
NATR	NATURES SUNSHINE PRODS	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	D	No	[REDACTED]
NWY	NEW YORK & COMPANY INC	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	C	No	[REDACTED]
NOK	NOKIA CORP SPON ADR F...	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	-	No	[REDACTED]
JWN	NORDSTROM INC	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	C	No	[REDACTED]

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Symbol	Name Full Short	Quantity	Price	Price Change \$ %	Market Value	Day Change ² \$ %	Rating ³	Reinvest?	% of Account
NVS	NOVARTIS A G SPON ADR F...						-	No	
67090R100	NUVILEX INC XXX...						-	N/A	
67083Q101	OCA INC XXX...						-	N/A	
OCLR	OCLARO INC NEW						B	No	
ONB	OLD NATIONAL BANCORP IND						C	No	
ORCL	ORACLE CORPORATION						B	No	
PAYX	PAYCHEX INC						D	No	
PEP	PEPSICO INCORPORATED						B	No	
PZE	PETROBRAS ARGEN NEW ADRF...						-	No	
PFE	PFIZER INCORPORATED						A	No	
PSX	PHILLIPS 66						D	No	
PDS	PRECISION DRILLING CORPP						-	No	
QCOM	QUALCOMM INC						D	No	
RWT	REDWOOD TRUST INC REIT						-	No	
RAD	RITE AID CORPORATION						D	No	
RHHBY	ROCHE HLDG LTD SPON ADRF...						-	No	
RDSA	ROYAL DUTCH SHELL A ADRF...						-	No	
SNY	SANOFI ADR F...						-	No	
SRPT	SAREPTA THERAPEUTICS						F	No	
SLB	SCHLUMBERGER LTD F						B	No	
SFL	SHIP FINANCE INTL F						D	No	
SMID	SMITH MIDLAND CORP						-	No	
833570104	SOAPSTONE NETWORKS INXXX...						N/A	N/A	
83546Q109	SONICBLUE INC XXX...						N/A	N/A	
SON	SONOCO PRODUCTS CO						B	No	
SNE	SONY CORP ADR NEWF...						-	No	
S	SPRINT CORPORATION						D	No	
SGRZ	STONEPATH GROUP INC						-	No	
TEX	TEREX CORP						C	No	
SO	THE SOUTHERN COMPANY						C	No	
THO	THOR INDUSTRIES INC						C	No	
TIME	TIME INC						B	No	
TWC								No	

(4)

Symbol	Name Full Short	Quantity	Price	Price Change \$ %	Market Value	Day Change ² \$ %	Rating ³	Reinvest?	% of Account
	TIME WARNER CABLE						NR		
TRW	TRW AUTOMOTIVE HOLDINGS						NR	No	
TNP	TSAKOS ENERGY NAV LTD F						-	No	
UL	UNILEVER PLC ADR NEW F...						-	No	
UTX	UNITED TECHNOLOGIES CORP						C	No	
EGY	VAAICO ENERGY INC NEW						C	No	
VRTV	VERITIV CORPORATION						D	No	
VZ	VERIZON COMMUNICATIONS						C	No	
VICR	VICOR CORP						C	No	
VOD	VODAFONE GROUP NEW ADR F...						-	No	
WLL	WHITING PETROLEUM CORP						C	No	
WTT	WIRELESS TELECOM GROUP						-	No	
WMIH	WMI HOLDINGS CORP						-	No	
XRX	XEROX CORP						A	No	
YHOO	YAHOO INC						NR	No	
ZPCM	ZAP.COM CORP						-	No	
Equities Total									

ETFs & Closed End Funds

FXI	ISHARES CHINA LARGE-CAP ETF							No	
VNQI	VANGUARD INTL EQTY ETF...							No	
VNQ	VANGUARD REIT							No	

ETFs & Closed End Funds Total

Fixed Income

549463AC1	ALCATEL-LUCENT US 6.5%28 DUE 01/15/28								
256ESC401	DOLAN COMPANY XXX ESCROW PENDING POSSIBLE FOR FUTURE DISTRIBUTION								
912912AQ5	QWCAP FDG 6.875% 28 DUE 07/15/28								
939ESC968	WA FUNDING TR III XXX ESCROW POSITION FOR FUTURE PAYMENT								

Fixed Income Total

N/A 2.03%

Cash & Money Market

Cash & Money Market Total

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Individual

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Market Value Unrealized Gain / Loss Positions Monitor

Account Value Cash & Cash Invest Market Value Day Change

Group By: Security Type

Settings

Symbol	Name Full Short	Quantity	Price	Price Change \$ %	Market Value	Day Change %	Rating ³	Reinvest?	% of Account
Equities									
021162103	ALSERES PHARMACEUTICAXXX...							N/A	
ARNA	ARENA PHARMACEUTICALS						D	No	
BRKB	BERKSHIRE HATHAWAY B NEW...							No	
CTL	CENTURYLINK INC	40					A	No	
CLRXX	COLLABRX INC							No	
CWGL	CRIMSON WINE GROUP							No	
JCI	JOHNSON CONTROLS INC						B	No	
LUK	LEUCADIA NATIONAL CORP						F	No	
Equities Total									
Cash & Money Market									
Cash & Money Market ⁵									
Cash & Money Market Total									
Account Total									

Prices and Market Values are real-time and based on NASDAQ Last Sale or a consolidated market quote unless otherwise indicated.

Quotes from the Toronto Stock Exchange and TSX Venture Exchange are delayed for professional users. Non-professional users may see a mix of real time and delayed quotes

For Mutual funds, the NAV is a daily calculation occurring after market close. This process may take 2-to-4 hours before a final NAV is made available to the public.

As your agreement for the receipt and use of market data provides, the securities markets (1) reserve all rights to the market data that they make available; (2) do not guarantee that data; and (3) shall not be liable for any loss due either to their negligence or to any cause beyond their reasonable control.

1. The Account Day Change (\$) and (%) are based on the difference between the sum of the current market value of all positions plus the current cash balances less the previous closing values for positions and cash balances. The Account Day Change will be available until one hour prior to the next market open.

2. The Position Day Change value is calculated as: Price Change x the shares/bonds/funds/contracts held in the account currently. It assumes no change in quantity from the prior day and will be available until one hour prior to the next market open.

3. Ratings are specific to each type of security. Equities display Schwab Equity Ratings®, ETFs & Closed End Funds display a link to Morningstar ratings, Mutual Funds display Morningstar ratings, and Fixed Income display S&P followed by Moody's ratings.

4. The value reported may not reflect the current price.

5. The Cash or Total Cash value reflects the aggregate amount of your bank account(s), money market funds, un-swept or intra-day cash, credit or debit balances for the account(s) displayed. Insured Bank Network accounts are held at the [FDIC-insured] depository institution(s) referenced on the Balance detail page, your account statements, and disclosed in your account documents. Securities products (including un-swept or intra-day cash, net credit or debit balances and money market funds) offered by Charles Schwab & Co., Inc. (member SIPC) are not deposits or obligations of the [FDIC-insured] depository institution(s), are subject to investment risk, are not FDIC-insured, may lose value, and are not bank guaranteed. SIPC does not cover balances held at Sweep Banks in the Insured Bank Network feature

(1213-6422)

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Roth Contributory IRA

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Market Value Unrealized Gain / Loss Positions Monitor

Account Value Cash & Cash Invest. Market Value

Group By: Security Type Settings

Symbol	Name Full Short	Quantity	Price	Price Change \$ %	Market Value	Day Change ² \$ %	Rating ³	Reinvest?	% of Account
Equities									
T	AT & T INC NEW	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	F	No	[REDACTED]
ABB	ABB LTD ADR F...	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	-	No	[REDACTED]
ABV	ABBVIE INC	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	C	No	[REDACTED]
APA	APACHE CORP	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	C	No	[REDACTED]
ARNA	ARENA PHARMACEUTICALS	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	D	No	[REDACTED]
BP	BP PLC ADR F...	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	-	No	[REDACTED]
CSX	C S X CORP	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	C	No	[REDACTED]
COP	CONOCOPHILLIPS	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	C	No	[REDACTED]
DTEGY	DEUTSCHE TELEKOM AG ADRF...	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	-	No	[REDACTED]
GE	GENERAL ELECTRIC COMPANY	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	B	No	[REDACTED]
IEP	ICAHN ENTERPRISES LP...	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	-	No	[REDACTED]
ILMN	ILLUMINA INC	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	C	No	[REDACTED]
IBM	INTL BUSINESS MACHINES	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	D	No	[REDACTED]
JCI	JOHNSON CONTROLS INC	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	B	No	[REDACTED]
KMI	KINDER MORGAN INC	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	NR	No	[REDACTED]
NSRGY	NESTLE S A REG B ADR F...	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	-	No	[REDACTED]
PATK	PATRICK INDUSTRIES INC	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	C	No	[REDACTED]
PSX	PHILLIPS 66	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	D	No	[REDACTED]
PG	PROCTER & GAMBLE	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	C	No	[REDACTED]
RHHBY	ROCHE HLDG LTD SPON ADRF...	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	-	No	[REDACTED]
RDSA	ROYAL DUTCH SHELL A ADRF...	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	-	No	[REDACTED]
VNR	VANGUARD NATURAL RES LLC	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	-	No	[REDACTED]
VZ	VERIZON COMMUNICATIONS	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	C	No	[REDACTED]
VOD	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	-	No	[REDACTED]

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Symbol	Name Full Short	Quantity	Price	Price Change \$ %	Market Value	Day Change ² \$ %	Rating ³	Reinvest?	% of Account
XRX	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	A	No	[REDACTED]
Equities Total									
ETFs & Closed End Funds									
AWF	ALLIANCEBERNSTEIN GLOBAL	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]		No	[REDACTED]
ETFs & Closed End Funds Total									
Cash & Money Market									
Cash & Money Market ⁴									
Cash & Money Market Total									
Account Total									

Prices and Market Values are real-time and based on NASDAQ Last Sale or a consolidated market quote unless otherwise indicated.

Quotes from the Toronto Stock Exchange and TSX Venture Exchange are delayed for professional users. Non-professional users may see a mix of real time and delayed quotes.

For Mutual funds, the NAV is a daily calculation occurring after market close. This process may take 2-to-4 hours before a final NAV is made available to the public.

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- The Account Day Change (\$) and (%) are based on the difference between the sum of the current market value of all positions plus the current cash balances less the previous closing values for positions and cash balances. The Account Day Change will be available until one hour prior to the next market open.
- The Position Day Change value is calculated as: Price Change x the shares/bonds/units/contracts held in the account currently. It assumes no change in quantity from the prior day, and will be available until one hour prior to the next market open.
- Ratings are specific to each type of security. Equities display Schwab Equity Ratings®, ETFs & Closed End Funds display a link to Morningstar ratings, Mutual Funds display Morningstar ratings, and Fixed Income display S&P followed by Moody's ratings.
- The Cash or Total Cash value reflects the aggregate amount of your bank account(s), money market funds, un-swept or intra-day cash, credit or debit balances for the account(s) displayed, Insured Bank Network accounts are held at the [FDIC-insured] depository institution(s) referenced on the Balance detail page, your account statements, and disclosed in your account documents. Securities products (including un-swept or intra-day cash, net credit or debit balances and money market funds) offered by Charles Schwab & Co., Inc. (member SIPC) are not deposits or obligations of the [FDIC-insured] depository institution(s), are subject to investment risk, are not FDIC-insured, may lose value, and are not bank guaranteed, SIPC does not cover balances held at Sweep Banks in the Insured Bank Network feature.

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Contributory

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Market Value Unrealized Gain / Loss Positions Monitor

Equity Monitor (All data as of 11/30/2014)

Click on the symbols to view more recent performance data.

Asset Class	Cumulative Returns					Price/Earnings Ratio			Earnings Estimate			
	Name	Quote	S&P Rating	3 MO.	1 YR.	3 YR.	5 YR. Growth of \$10,000	Risk Level (Beta)		Equity	Industry Average	Industry
Large Company												
MO Altria Group	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	Earnings Estimate
JNJ Johnson & Johnson	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	Earnings Estimate
JCI Johnson Controls	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	Earnings Estimate
Benchmark: S&P 500 TR			3.72%	16.86%	76.85%	\$20,967.23						
Small Company												
LUK Leucadia National	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	Earnings Estimate
OCLR Oclaro Inc	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	Earnings Estimate
Benchmark: Russell 2000 TR USD			[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	
Other												
CWGL CRIMSON WINE GROUP	\$9.30	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Earnings Estimate
Benchmark: S&P 500 TR			[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	

* = Companies with negative earnings receive an "NE."

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All Accounts

Positions

AS OF 01/08/2015 1:08 PM ET

Group by Account Show Open Positions

Symbol	Last Price	Today's Gain/Loss	Total Gain/Loss	Current Value	Quantity	Cost Basis
FCASH** CASH	\$1.00 \$0.00	n/a n/a	n/a n/a			n/a n/a
ADM ARCHER DANIELS...						
OCLR OCLARO INC COM...						
RAD RITE AID CORP C...						
Total						

Change in Securities Priced Today
 Change in Securities Not Priced Today
 Total +\$700

FDRXX** FIDELITY CASH R...						n/a n/a
BABA ALIBABA GROUP...						
BBVA BANCO BILBAO VI...						
FDCPX FIDELITY SELECT...						
FSDCX						

FIDELITY SELECT...						
FSELX FIDELITY SELECT...						
FSPTX FIDELITY SELECT...						
GE GENERAL ELECTR...						
SIEGY SIEMENS A G SPO...						
TRN TRINITY INDS INC...						

Total

Change in Securities Priced Today

Change in Securities Not Priced Today

Total

DEACONESS 401K PLAN -

You do not hold any positions in this account.

Total

- Indicates that the security has not been priced today. Some securities, such as mutual funds, are not priced until after the market closes.
- ** This is your core position. It is where your money is held until you invest it.
- Indicates that this information is not available at this time.

Additional Important Information:

Accounts Positions

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