

KENTUCKY LEGISLATIVE ETHICS COMMISSION

HAND DELIVERED

STATEMENT OF FINANCIAL DISCLOSURE (KRS 6.787)

FEB 16 2016

To be filed by: All members of the General Assembly, all candidates and nominees for election to the General Assembly, and major management personnel in the legislative branch of state government.

☐ Check here and attach additional sheets if necessary. _____ Number of sheets attached.

Please Include The Following Information For The Preceding Calendar Year:

Name Representative Jim Wayne

Business address Suite 1166 Medical Arts Building, Louisville, KY 40217

Business telephone (502) 451-8262

Home address 1280 Royal Avenue, Louisville, KY 40204

Title of public position, or office sought State Representative

Other occupations of filer President, Wayne Corp.

Occupations of spouse Retired

NOTE: The Following Sections Do Not Require Disclosure Of Specific Dollar Amounts.

Positions held by filer in any business, partnership, corporation for profit, or corporation not for profit from which the filer receives compensation, and the name of the business, partnership, or corporation Wayne Corp. President

Positions held by filer's spouse in any business, partnership, corporation for profit, or corporation not for profit from which the filer receives compensation, and the name of the business, partnership, or corporation Retired from YUM! Brands

Names and addresses of all businesses, investments, or securities in which the filer, filer's spouse, or filer's minor children had at any time during the reporting year an interest of \$10,000 at fair market value, or 5% ownership interest or more US Treasury, Federated Capital Reserves, John Hancock Regional Bank, John Hancock Financial Industries, PAX World Fund, Prudential Life Ins., Republic Bank, Vanguard Long Term Bond Index, Vanguard Pacific Stock Index Fund, Vanguard Precious Metals & Mining Fund, Share Plus Federal Bank, YUM! Brands, Stock Yards Bank, Henry Co. farm, Allianz Life Ins., L&N Credit Union, Wayne Corp., SG Structured Prods, AT&T Inc. Com, Kentucky Deferred Comp Stability of Principal Funds and Large Cap Stock Funds, American Equity Investment Life Insurance Co., Federal Signal Corp., Goldman Sachs, Vallant Pharmaceuticals, Ventas, Pershing Government Account, Deutsche Bank, PPL Corp., BNP Paribas, and AllianceBernstein Global Thematic International BK for Recon And Dev., Citigroup, Pepsico, Inc. All Addresses for stocks and funds are available thru SEC.

Sources and form of gross income of the filer (list sources by name) _____

Wayne Corp. (dividends and salaries)

State of Kentucky (salary)

Dividends from investments

Interest from outstanding loans and investments

Farm Income, Mortgage to mother, mortgage to stepson and his wife

Sources and form of gross income of the filer's spouse (list sources by name)

Same as above

Positions of a fiduciary nature in a business President, Wayne Corp.

A designation as commercial, residential, or rural, and the location of all real property other than the filer's primary residence, in which there is an interest of \$10,000 or more held by the filer, filer's spouse, or filer's minor children _____

Henry County Farm

Home in Louisville

Sources of gifts of money or property with a retail value of more than \$200 to the filer or the filer's immediate family, except those from a member of the filer's family. (Family means spouse, parent, sibling, child, mother-in-law, father-in-law, son-in-law, daughter-in-law, grandparent, or grandchild. Immediate family means unemancipated child residing in an individual's household, spouse, or a person claimed by the filer's spouse as a dependent for tax purposes.) None

_____.

The name of any creditor owed more than \$10,000 except debts arising from the purchase of consumer goods. (Goods used or bought for use primarily for personal, family, or household purposes) None

The name of any legislative agent who is:

1. A member of the filer's immediate family;
2. A partner of the filer, or a partner of a member of the filer's immediate family;
3. An officer or director of the filer's employer;
4. An employer of the filer or an employer of a member of the filer's immediate family;
5. A business associate of the filer or a business associate of a member of the filer's immediate family

#5 (see below)

The names of any of the filer's clients who are legislative agents or employers Electrical Workers Union, Sheet Metal Union, LG&E, Children's Alliance & Members, KY Hospital Association, Republic Bank, 7 Counties Services, Assoc. of Independent Colleges, Wyatt Tarrant & Combs, Distilled Spirits Counsel, KY Assoc. of Elec. Corp., Home Health Assoc. of KY, Assoc. of Healthcare Facilities, KY Bankers Assoc., Stites & Harbison, Woodward Hobson & Fuller, Sullivan University, Al J Schneider, Appriss, D. D. Williamson, Girl Scouts, Laborers Union, Masonic Home, St. Vincent Depaul Society, The Brook Hospital, Wellspring, and Whittenburg Construction, Kentucky Utilities, Murray State University, Kentucky Justice Association

If you have held a professional license during the filing period, has a properly licensed partner of yours engaged in the practice of cases or other matters which you are prohibited from practicing under KRS 6.744? Yes No Not Applicable

If yes, list the names of the clients represented and list the agencies before which the partner made an appearance. The filer need not identify which client was represented before a specific agency.

