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LEGISLATIVE ETHICS COMMISSION
FEB 15 2005
HAND-DELIVERED

KENTUCKY LEGISLATIVE ETHICS COMMISSION

STATEMENT OF FINANCIAL DISCLOSURE (KRS 6.787)

To be filed by: *All members of the General Assembly, all candidates and nominees for election to the General Assembly, and major management personnel in the legislative branch of state government.*

☐ Check here and attach additional sheets if necessary. ____ Number of sheets attached.

Please Include The Following Information For The Preceding Calendar Year:

Name Brett Guthrie
Business address 140 N. Graham Ave Bowling Green, KY 42101
Business telephone 270 781-0049
Home address 1005 Wrenwood Dr Bowling Green, KY 42103
Title of public position, or office sought State Senate -KY-32
Other occupations of filer Vice President of Human Resources / Senator
Occupations of spouse Homemaker

NOTE: The Following Sections Do Not Require Disclosure Of Specific Dollar Amounts.

Positions held by filer in any business, partnership, corporation for profit, or corporation not for profit from which the filer receives compensation, and the name of the business, partnership, or corporation
V.P. of Human Resources Trace Die Cast, inc
State Senator -KY General Assembly

Positions held by filer's spouse in any business, partnership, corporation for profit, or corporation not for profit from which the filer receives compensation, and the name of the business, partnership, or corporation N/A

Names and addresses of all businesses, investments, or securities in which the filer, filer's spouse, or filer's minor children had at any time during the reporting year an interest of $10,000 at fair market value, or 5% ownership interest or more
Guthrie Family Trust - Greg + Carolyn Guthrie Trustees, 140 N Graham Ave BG KY 42101
401K Retirement Account US Bank 400 E Main St BG KY 42101
Personal Savings Account US Bank 400 E Main St BG KY 42101
Personal Checking Account US Bank 400 E Main St BG KY 42101
Deferred Compensation Plan Met-Life 101 Prosperous Place Suite 175 Lex, KY 40501
529 (3)(C) College Savings Plan Morgan-Stanley 1065 Ashley Circle BG KY 42103

Sources and form of gross income of the filer (list sources by name)

Salary + Bonus - Trace Die Cast, inc
Salary - KY General Assembly
Interest from Personal Savings Account

Sources and form of gross income of the filer's spouse (list sources by name)

N/A

Positions of a fiduciary nature in a business

N/A

A designation as commercial, residential, or rural, and the location of all real property other than the filer's primary residence, in which there is an interest of $10,000 or more held by the filer, filer's spouse, or filer's minor children

N/A

Sources of gifts of money or property with a retail value of more than $200 to the filer or the filer's immediate family, except those from a member of the filer's family. (Family means spouse, parent, sibling, child, mother-in-law, father-in-law, son-in-law, daughter-in-law, grandparent, or grandchild. Immediate family means unemancipated child residing in an individual's household, spouse, or a person claimed by the filer's spouse as a dependent for tax purposes.)

N/A

The name of any creditor owed more than $10,000 **except** debts arising from the purchase of consumer goods. (Goods used or bought for use primarily for personal, family, or household purposes)_____

N/A

The name of any legislative agent who is:

1. A member of the filer's immediate family;
2. A partner of the filer, or a partner of a member of the filer's immediate family;
3. An officer or director of the filer's employer;
4. An employer of the filer or an employer of a member of the filer's immediate family;
5. A business associate of the filer or a business associate of a member of the filer's immediate family

N/A

The names of any of the filer's clients who are legislative agents or employers_____

N/A

If you have held a professional license during the filing period, has a properly licensed partner of yours engaged in the practice of cases or other matters which you are prohibited from practicing under KRS 6.744? ☐ Yes ☐ No ☒ Not Applicable

If yes, list the names of the clients represented and list the agencies before which the partner made an appearance. The filer need not identify which client was represented before a specific agency.

Clients

N/A

State Agency

N/A

NOTICES

1. Upon receipt by the Commission, a statement of financial disclosure shall be a public record available for copying.

2. Any person who fails to file a statement of financial disclosure or who fails to remedy a deficiency identified by the Commission in a timely manner may be fined an amount not to exceed $100 per day up to a maximum total fine of $1000.

3. Any person who files a statement of financial interests which they know to contain false information, or to omit required information, shall be guilty of a class A misdemeanor.

2/14/05
Date

Filer

Send completed statements to: The Kentucky Legislative Ethics Commission
22 Mill Creek Park
Frankfort, Kentucky 40601
FAX (502) 573-2929

If you have questions please call us at (502) 573-2863.