

KENTUCKY LEGISLATIVE ETHICS COMMISSION

STATEMENT OF FINANCIAL DISCLOSURE (KRS 6.787)

To be filed by: All members of the General Assembly, all candidates and nominees for election to the General Assembly, and major management personnel in the legislative branch of state government.

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Check here and attach additional sheets if necessary. 1 Number of sheets attached.

Please include the following information for the preceding calendar year:

Name Thomas Jefferson
Business address N/A Retired
Business telephone N/A Home phone - 859.358.6338
Home address 705 Riverwood Ln Lexington Ky 40514
Title of public position, or office sought State House District 45
Other occupations of filer N/A
Occupations of spouse N/A Retired

NOTE: The following sections do not require disclosure of specific dollar amounts.

1. Positions held by filer in any business, partnership, corporation for profit, or corporation not for profit from which the filer receives compensation, and the name of the business, partnership, or corporation N/A

2. Positions held by filer's spouse in any business, partnership, corporation for profit, or corporation not for profit from which the filer's spouse receives compensation, and the name of the business, partnership, or corporation N/A

3. Names and addresses of all businesses, investments, or securities in which the filer, filer's spouse, or filer's minor children had at any time during the reporting year an interest of \$10,000 at fair market value, or 5% ownership interest or more Charles Schwab - 4034 Finn Way Suite 150 Lexington KY 40517 - Stocks
Edelman Financial Engines - 4383 Hartogs Rd Suite 125 Lexington KY 40518 - IRA, Roth IRA Mutual Funds

Roth  
Rollover IRA, IRA, Stocks, mutual Funds

Please see attached page

4. Sources and form of gross income of the filer (list sources by name)

withdraws from retirement accounts

Edelman Financial Engines

5. Sources and form of gross income of the filer's spouse (list sources by name)

SAA

6. Positions of a fiduciary nature held by the filer in a business

N/A

7. A designation as commercial, residential, or rural, and the location of all real property other than the filer's primary residence, in which there is an interest of \$10,000 or more held by the filer, filer's spouse, or filer's minor children

N/A

8. Sources of gifts of money or property with a retail value of more than \$200 to the filer or the filer's immediate family, except those from a member of the filer's family. (Family means spouse, parent, sibling, child, mother-in-law, father-in-law, son-in-law, daughter-in-law, grandparent, or grandchild, or dependent member of the filer's household. Immediate family means unemancipated child residing in an individual's household, spouse, or a person claimed by the filer as a dependent for tax purposes.)

N/A

9. The name of any creditor owed more than \$10,000 except debts arising from the purchase of consumer goods. (Goods used or bought for use primarily for personal, family, or household purposes)

N/A

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10. The name of any legislative agent who is:

- (a) A member of the filer's immediate family;
- (b) A partner of the filer, or a partner of a member of the filer's immediate family;
- (c) An officer or director of the filer's employer;
- (d) An employer of the filer or an employer of a member of the filer's immediate family;
- (e) A business associate of the filer or a business associate of a member of the filer's immediate family

N/A

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11. The names of any of the filer's clients who are legislative agents or employers

N/A

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12. If you have held a professional license during the filing period, has a properly licensed partner of yours engaged in the practice of cases or other matters which you are prohibited from practicing under KRS 6.744?  Yes  No  Not Applicable

13. If yes, list the names of the clients represented and list the agencies before which the partner made an appearance. The filer need not identify which client was represented before a specific agency.

Clients

N/A

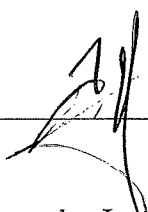
State Agency

N/A

NOTICES

1. Upon receipt by the Commission, a statement of financial disclosure shall be a public record available for copying.
2. Any person who fails to file a statement of financial disclosure or who fails to remedy a deficiency identified by the Commission in a timely manner may be fined an amount not to exceed \$100 per day up to a maximum total fine of \$1000.
3. Any person who files a statement of financial interests which they know to contain false information, or to omit required information, shall be guilty of a class A misdemeanor.

1-15-24  
Date

Filer 

Send completed statements to: The Kentucky Legislative Ethics Commission  
22 Mill Creek Park  
Frankfort, Kentucky 40601  
FAX (502) 573-2929

If you have questions please call us at (502) 573-2863.

IRA - Roth IRA - Mutual Funds

Stocks

ETFs & Closed End

Symbol / Name

SCHM 2  
CHARLES SCHWAB U...

SCHH 3  
CHARLES SCHWAB U...

IEI  
ISHARES 3-7 YEAR T...

XT 2  
ISHARES EXPONENTI...

IGIB 2  
ISHARES TRT IS 5-10 I...

TECB  
ISHARES US TECH B...

SCHK 2  
SCHWAB 1000 INDEX ...

SCHE  
SCHWAB EMERGING ...

SCHF  
SCHWAB INTERNATIO...

SCHG  
SCHWAB US LARGE C...

SCHV  
SCHWAB US LARGE C...

SPEM 2  
SPDR INDEX SHARES...

SPTL 2  
SPDR LONG TERM TR...

SPMB 2  
SPDR PORT MRTGG ...

SPDW 2  
SPDR PORTFOLIO DV...

SPSM 2  
SPDR PORTFOLIO S&...

MDYG  
SPDR S&P 400 MID C...

MDYV  
SPDR S&P 400 MID C...

SPYV 2  
SPDR S&P 500 VALUE...

SLYG  
SPDR S&P 600 SMALL...

SLYV  
SPDR S&P 600 SMALL...

KOMP 3  
SPDR S&P KENSHO N...

ETFs & Closed End  
Funds Total

Mutual Funds

Symbol / Name

AKRIX  
AKRE FOCUS INSTL

FUNFX 2  
AMERICAN FUNDS FU...

BCOIX  
BAIRD CORE PLUS B...

DFIEX  
DFA INTERNATIONAL ...

PDBZX  
PGIM TOTAL RETURN ...

TIBDX 2  
TIAA-CREF CORE BO...

VEIRX  
VANGUARD EQUITY-I...

Mutual Funds Total

ALTA BA INC XXXESC PEND PO..

APPLE INC

ABBOTT LABORATORIES

ACCENTURE PLC FCLASS A

ADVANCED MICRO DEVICE IN

AMAZON.COM INC

AMERICAN EXPRESS CO

BOEING CO

BANK OF AMERICA CORP

BIOMARIN PHARMACEUTL

CUMMINS INC

CONOCOPHILLIPS

CHEVRON CORP

WALT DISNEY CO

FORD MOTOR CO

GENERAL MOTORS CO

GOLDMAN SACHS GROUP

HUNTINGTON BANCSHS

INTEL CORP

INVESCO LTD F

JOHNSON CONTROLS INTER F

KROGER CO

LAS VEGAS SANDS CORP

MARATHON OIL CORP

NORWEGIAN CRUISE LIN F

ORGANIGRAM HOLDINGS F

PROCTER & GAMBLE

PRUDENTIAL FINL

PHILLIPS 66

TEVA PHARMACEUTICAL IN FS...

EXXON MOBIL CORP