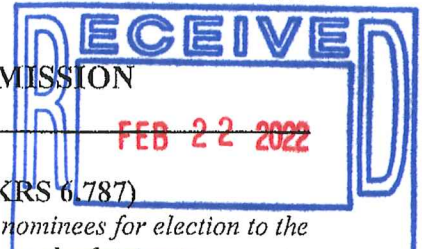


KENTUCKY LEGISLATIVE ETHICS COMMISSION



STATEMENT OF FINANCIAL DISCLOSURE (KRS 6.787)

To be filed by: All members of the General Assembly, all candidates and nominees for election to the General Assembly, and major management personnel in the legislative branch of state government.

Check here and attach additional sheets if necessary. 6 Total Pages Number of sheets attached.

Please include the following information for the preceding calendar year:

Name James R. Coleman
Business address 3723 Royalty Rd. Lexington, Ky 40516
Business telephone 914-837-5077
Home address 2151 Meeting St. Apt. 2201, Lexington, Ky 40516
Title of public position, or office sought State House of Representative 08th
Other occupations of filer Farmer and Business Consultant
Occupations of spouse Deceased

NOTE: The following sections do not require disclosure of specific dollar amounts.

1. Positions held by filer in any business, partnership, corporation for profit, or corporation not for profit from which the filer receives compensation, and the name of the business, partnership, or corporation Owner & CEO of Coleman Crest Farm LLC, Owner & CEO of Coleman Crest Advisors LLC, Business Consultant for Mason Asset Management

2. Positions held by filer's spouse in any business, partnership, corporation for profit, or corporation not for profit from which the filer's spouse receives compensation, and the name of the business, partnership, or corporation

N/A

3. Names and addresses of all businesses, investments, or securities in which the filer, filer's spouse, or filer's minor children had at any time during the reporting year an interest of \$10,000 at fair market value, or 5% ownership interest or more

Coleman Crest Farm LLC 3723 Royalty Rd. Lexington, Ky 40516
Coleman Crest Advisors LLC 9658 Baltimore Ave, Suite 300 College Park MD
401K Retirement Acc. Managed by Mercer Financial Advisors 20240

See Attached Stock Investment Holdings Held in IRA which is Managed by Mercer Financial Advisors

4. Sources and form of gross income of the filer (list sources by name)

Coleman West Farm LLC -
Coleman West Advisors LLC -
Mason Asset Management -

5. Sources and form of gross income of the filer's spouse (list sources by name)

NA

6. Positions of a fiduciary nature held by the filer in a business

Henry Clay Foundation - Lexington KY
One World - New York CT

7. A designation as commercial, residential, or rural, and the location of all real property other than the filer's primary residence, in which there is an interest of \$10,000 or more held by the filer, filer's spouse, or filer's minor children

FARM - 3723 Royster Road.

8. Sources of gifts of money or property with a retail value of more than \$200 to the filer or the filer's immediate family, except those from a member of the filer's family. (Family means spouse, parent, sibling, child, mother-in-law, father-in-law, son-in-law, daughter-in-law, grandparent, or grandchild, or dependent member of the filer's household. Immediate family means unemancipated child residing in an individual's household, spouse, or a person claimed by the filer as a dependent for tax purposes.)

None

Jim Coleman's Stock & Fund Investments valued at or more than \$10,000 as of January 2022:

All of the investments below are in my IRA and it's managed by Mercer Financial Advisors

Investment Funds:

ISHARES TUST (PFF)
ISHARES TRUST MSCI USA (SMLF)
SCHWAB STRTEGIC (SCHE)
SPDR BLOOMBERG (JNK)
VANGUARD (VCSH)
VANGUAR FTSE (VEA)

Stocks:

ABBOTT LABORTORIES (ABT)
ABBVIE (ABBV)
AGNC (AGNC)
ANNALY CAPITAL MANAGEMENT (NLY)
ANTERO MIDSTREAM CORP. (AM)
ANTHEM INC. (ANTM)
ARMOUR RESIDENTIAL (ARR)
AT&T (T)
BANK OF AMERICA (BAC)
H&R BLOCK (HRB)
BROADCOM INC (AVGO)
CATERPILLAR INC (CAT)
CHEVRON CORP. (CVX)
CHIMERA INVESTMNT CORP (CIM)
CISCO SYSTEMS (CSCO)
CITIZENS FINANCIAL GROUP (CFG)
CONSOLIDATED EDISON (ED)
EATON CORPORATION (ETN)
EXELON CORP (EXC)
EXXON MOBIL CORP (XOM)
GAMING & LEISURE (GLPI)
INTEL CORP (INTC)
JOHNSON & JOHNSON (JNJ)

JP MORGAN CHASE (JPM)
LUMEN TECHNOLOGIES (LUMN)
MERCK & CO INC (MRK)
PACCAR INC (PCAR)
PARKER-HANNIFIN CORP (PH)
PFIZER INC (PFE)
PHILIP MORRI INTL INC (PM)
PINNACLE WEST CAPITAL CORP (PNW)
PROCTOR & GAMBLE (PG)
PROGRESSIVE CORP (PGR)
STARWOOD PROPRTY (STWD)
THERMO ISHER SCIENTIFIC INC (TMO)
TYSON FOODS INC (TSN)
UNITED HEATH GROUP (UNH)
WALMART (WMT)

9. The name of any creditor owed more than \$10,000 except debts arising from the purchase of consumer goods. (Goods used or bought for use primarily for personal, family, or household purposes)

Kubota Tractor Corporation
PNC - CAR LOAN
M. Cooper Property Co. - 1,100

10. The name of any legislative agent who is:

- (a) A member of the filer's immediate family;
- (b) A partner of the filer, or a partner of a member of the filer's immediate family;
- (c) An officer or director of the filer's employer;
- (d) An employer of the filer or an employer of a member of the filer's immediate family;
- (e) A business associate of the filer or a business associate of a member of the filer's immediate family

None

11. The names of any of the filer's clients who are legislative agents or employers

None

12. If you have held a professional license during the filing period, has a properly licensed partner of yours engaged in the practice of cases or other matters which you are prohibited from practicing under KRS 6.744? Yes No Not Applicable

13. If yes, list the names of the clients represented and list the agencies before which the partner made an appearance. The filer need not identify which client was represented before a specific agency.

Clients

N/A

State Agency

N/A

NOTICES

1. Upon receipt by the Commission, a statement of financial disclosure shall be a public record available for copying.
2. Any person who fails to file a statement of financial disclosure or who fails to remedy a deficiency identified by the Commission in a timely manner may be fined an amount not to exceed \$100 per day up to a maximum total fine of \$1000.
3. Any person who files a statement of financial interests which they know to contain false information, or to omit required information, shall be guilty of a class A misdemeanor.

2/21/22
Date

James R. Coleman
Filer

Send completed statements to: The Kentucky Legislative Ethics Commission
22 Mill Creek Park
Frankfort, Kentucky 40601
FAX (502) 573-2929

If you have questions please call us at (502) 573-2863.