



KENTUCKY LEGISLATIVE ETHICS COMMISSION

STATEMENT OF FINANCIAL DISCLOSURE (KRS 6.787)

To be filed by: All members of the General Assembly, all candidates and nominees for election to the General Assembly, and major management personnel in the legislative branch of state government.

Check here and attach additional sheets if necessary. 1 Number of sheets attached

Please include the following information for the preceding calendar year:

Name: John F Hodgson

Business Address: PO Box 74, Fisherville, KY 40023

Business Telephone: 502-276-5213

Home Address: 4900 Dunbar Valley Rd, Fisherville, KY 40023

Title of Public Position, or State Rep, Dist 36
office sought:

Other occupations of filer: none

Occupations of spouse: none

NOTE: *The following sections do not require disclosure of specific dollar amounts.*

1. Positions held by filer in any business, partnership, corporation for profit, or corporation not for profit from which the filer receives compensation, and the name of the business, partnership, or corporation:

none

2. Positions held by filer's spouse in any business, partnership, corporation for profit, or corporation not for profit from which the filer's spouse receives compensation, and the name of the business, partnership, or corporation:

none

3. Names and addresses of all businesses, investments, or securities in which the filer, filer's spouse, or filer's minor children had at any time during the reporting year an interest of \$10,000 at fair market value, or 5% ownership interest or more:

see attached 1 sheet

4. Sources and form of gross income of the filer (list sources by name):

UPS Retirement Plan
Retirement/Investment income managed by Raymond James & Associates

5. Sources and form of gross income of the filer's spouse (list sources by name):

Retirement/Investment income managed by Raymond James & Associates

6. Positions of a fiduciary nature held by the filer in a business:

none

7. A designation as commercial, residential, or rural, and the location of all real property other than the filer's primary residence, in which there is an interest of \$10,000 or more held by the filer, filer's spouse, or filer's minor children:

Family farm in Anderson County 40046

8. Sources of gifts of money or property with a retail value of more than \$200 to the filer or the filer's immediate family, except those from a member of the filer's family. (Family means spouse, parent, sibling, child, mother-in-law, father-in-law, son-in-law, daughter-in-law, grandparent, or grandchild, or dependent member of the filer's household. Immediate family means unemancipated child residing in an individual's household, spouse, or a person claimed by the filer as a dependent for tax purposes.):

none

9. The name of any creditor owed more than \$10,000 except debts arising from the purchase of consumer goods. (Goods used or bought for use primarily for personal, family, or household purposes):

none

10. The name of any legislative agent who is:

- (a) A member of the filer's immediate family;
- (b) A partner of the filer, or a partner of a member of the filer's immediate family;
- (c) An officer or director of the filer's employer;
- (d) An employer of the filer or an employer of a member of the filer's immediate family;
- (e) A business associate of the filer or a business associate of a member of the filer's immediate family

none

11. The names of any of the filer's clients who are legislative agents or employers:

none

12. If you have held a professional license during the filing period, has a properly licensed partner of yours engaged in the practice of cases or other matters which you are prohibited from practicing under KRS 6.744? Yes No Not Applicable

13. If yes, list the names of the clients represented and list the agencies before which the partner made an appearance. The filer need not identify which client was represented before a specific agency.

Clients

none

State Agency

NOTICES

1. Upon receipt by the Commission, a statement of financial disclosure shall be a public record available for copying.
2. Any person who fails to file a statement of financial disclosure or who fails to remedy a deficiency identified by the Commission in a timely manner may be fined an amount not to exceed \$100 per day up to a maximum total fine of \$1000.
3. Any person who files a statement of financial interests which they know to contain false information, or to omit required information, shall be guilty of a class A misdemeanor.

1/30/22
Date



Filer

Send completed statements to:

The Kentucky Legislative Ethics Commission
22 Mill Creek Park
Frankfort, Kentucky 40601

If you have questions please call us at (502) 573-2863.

Hodgson holdings > \$10,000 as of 12/31/2021

ALLSPRING ABSOLUTE RETURN FUND CL I N/L
ARBITRAGE FUND CL I N/L
ARROW ELECTRONICS, INC. NTS FULL CALL ISIN US042735BD16 3.5% DUE 04/01/2022 CALLABLE 02/01/2022 @ 100
AUTOZONE, INC. NTS ISIN US053332AX09 3.125% DUE 04/18/2024 CALLABLE 03/18/2024 @ 100
BANK OF AMERICA CORPORATION MTN ISIN US06051GFU85 4.45% DUE 03/03/2026
CALVERT US LARGE CAP CORE RESPONSIBLE INDEX FD CL I N/L
CATALYST/MILLBURN HEDGE STRATEGY FUND CL C M/F
CATALYST/MILLBURN HEDGE STRATEGY FUND CL I N/L
CAUSEWAY INTERNATIONAL VALUE FUND INVESTOR CL N/L
DELAWARE EMERGING MARKETS INSTL CL N/L
EATON VANCE ATLANTA CAPITAL SMID CAP FUND CL I N/L
FIRST TRUST FINANCIALS ALPHADDEX FUND
FIRST TRUST LARGE CAP VALUE ALPHADDEX FUND
FIRST TRUST VALUE LINE DIVIDEND INDEX FUND
HARTFORD CORE EQUITY FUND CL I N/L
INVESCO STIT TREASURY PORTFOLIO INSTL CL M/M (TRPXX)
ISHARES TR MSCI EMG MKT ETF
Jackson National Life Ins Co - Retirement Latitudes NON-QUALIFIED
JOHN HANCOCK ALTERNATIVE ASSET ALLOCATION FUND CL I N/L
JPMORGAN U.S. SMALL COMPANY FUND CL L N/L
KINDER MORGAN ENERGY PARTNERS LP NTS ISIN US494550BQ81 3.5% DUE 09/01/2023 CALLABLE 06/01/2023 @ 100
LOUISVILLE & JEFFERSON CNTY KY METRO GOVT HEALTH SYS REV, REV BDS, NORTON HLTHCARE INC, SER 2016 A 4% DI
MAINSTAY WINSLOW LARGE CAP GROWTH FUND CL I N/L
MFS INTERNATIONAL GROWTH FUND CL I N/L
MFS VALUE FUND CL I N/L
NEUBERGER BERMAN LARGE CAP VALUE INSTL CL N/L
NEUBERGER BERMAN LONG SHORT FUND INSTL CL N/L
PERMANENT PORTFOLIO FUND CL I N/L
Raymond James Bank Deposit Program
SPDR GOLD SHARES
SPDR S&P 500 ETF
SPDR S&P BIOTECH ETF
T. ROWE PRICE BLUE CHIP GROWTH FUND INVSTR CL N/L
T. ROWE PRICE OVERSEAS STOCK FUND INVESTOR CL N/L
UNION PACIFIC CORPORATION NTS ISIN US907818DY13 3.25% DUE 01/15/2025 CALLABLE 10/15/2024 @ 100
VALERO ENERGY CORPORATION NTS ISIN US91913YAS90 3.65% DUE 03/15/2025
VALERO ENERGY CORPORATION NTS ISIN US91913YAU47 3.4% DUE 09/15/2026 CALLABLE 06/15/2026 @ 100
VALLEY RANCH MUN UTIL DIST NO 1 TEX, ULTD TAX REF BDS, SER 2016 A ASSURED GUARANTY MUNICIPAL CORP BANK
VANGUARD GROWTH ETF
VANGUARD TAX MANAGED SMALL CAP FUND ADMIRAL SHARES N/L
VIRTUS KAR INTERNATIONAL SMALL MID CAP FUND CL I N/L