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KENTUCKY LEGISLATIVE ETHICS COMMISSION

ED

STATEMENT OF FINANCIAL DISCLOSURE (KRS 6.787)

To be filed by: *All members of the General Assembly, all candidates and nominees for election to the General Assembly, and major management personnel in the legislative branch of state government.*

☒ Check here and attach additional sheets if necessary. Number of sheets attached (4 total sheets)

Please include the following information for the preceding calendar year:

Name: Marianne Proctor

Business Address: 918 Man O' War Blvd., Union, KY 41091

Business Telephone: 859.334.0468

Home Address: 918 Man O' War Blvd., Union, KY 41091

Title of Public Position, or office sought: KY State Representative, District #60

Other occupations of filer: NA

Occupations of spouse: Merchandising Manager, Kroger Corporate

NOTE: The following sections do not require disclosure of specific dollar amounts.

1. Positions held by filer in any business, partnership, corporation for profit, or corporation not for profit from which the filer receives compensation, and the name of the business, partnership, or corporation: NA

2. Positions held by filer's spouse in any business, partnership, corporation for profit, or corporation not for profit from which the filer's spouse receives compensation, and the name of the business, partnership, or corporation:

Merchandising Manager, Kroger Company, 1014 Vine Street, Cincinnati, OH 45202

3. Names and addresses of all businesses, investments, or securities in which the filer, filer's spouse, or filer's minor children had at any time during the reporting year an interest of $10,000 at fair market value, or 5% ownership interest or more:

Fidelity Investments, P.O. Box 770001, Cincinnati, OH 45277
Kroger Company Stock: 1014 Vine Street, Cincinnati, OH 45202
Merrill Lynch: 200 Park Avenue, NY, NY 10166
Voya Insurance & Annuity Co., P.O. Box 9271, Des Moines, IA 50306
Equitable Insurance, 1290 Avenue of the Americas, NY, NY 10104
Athene, 7700 Mills Civic Parkway, West Des Moines, IA 50266

4. Sources and form of gross income of the filer (list sources by name):

NA

5. Sources and form of gross income of the filer's spouse (list sources by name):

Kroger Company, Cincinnati, OH 45202
Interest on Bank Savings, Chase Bank
Dividends and Capitol Gains from Kroger Stock

6. Positions of a fiduciary nature held by the filer in a business:

NA

7. A designation as commercial, residential, or rural, and the location of all real property other than the filer's primary residence, in which there is an interest of $10,000 or more held by the filer, filer's spouse, or filer's minor children:

NA

8. Sources of gifts of money or property with a retail value of more than $200 to the filer or the filer's immediate family, except those from a member of the filer's family. (Family means spouse, parent, sibling, child, mother-in-law, father-in-law, son-in-law, daughter-in-law, grandparent, or grandchild, or dependent member of the filer's household. Immediate family means unemancipated child residing in an individual's household, spouse, or a person claimed by the filer as a dependent for tax purposes.):

NA

9. The name of any creditor owed more than $10,000 except debts arising from the purchase of consumer goods. (Goods used or bought for use primarily for personal, family, or household purposes):

US Bank-Mortgage Company

10. The name of any legislative agent who is:

(a) A member of the filer's immediate family;
(b) A partner of the filer, or a partner of a member of the filer's immediate family;
(c) An officer or director of the filer's employer;
(d) An employer of the filer or an employer of a member of the filer's immediate family;
(e) A business associate of the filer or a business associate of a member of the filer's immediate family

NA

11. The names of any of the filer's clients who are legislative agents or employers:

NA

12. If you have held a professional license during the filing period, has a properly licensed partner of yours engaged in the practice of cases or other matters which you are prohibited from practicing under KRS 6.744? ○ Yes ○ No ◉ Not Applicable

13. If yes, list the names of the clients represented and list the agencies before which the partner made an appearance. The filer need not identify which client was represented before a specific agency.

Clients

NA

State Agency

NA

NOTICES

1. Upon receipt by the Commission, a statement of financial disclosure shall be a public record available for copying.

2. Any person who fails to file a statement of financial disclosure or who fails to remedy a deficiency identified by the Commission in a timely manner may be fined an amount not to exceed $100 per day up to a maximum total fine of $1000.

3. Any person who files a statement of financial interests which they know to contain false information, or to omit required information, shall be guilty of a class A misdemeanor.

February 4, 2022
Date

Marianne Proctor
Filer

Send completed statements to:

The Kentucky Legislative Ethics Commission
22 Mill Creek Park
Frankfort, Kentucky 40601

If you have questions please call us at (502) 573-2863.