



KENTUCKY LEGISLATIVE ETHICS COMMISSION

10/16
JAN 28 2020

STATEMENT OF FINANCIAL DISCLOSURE (KRS 6.787)

To be filed by: All members of the General Assembly, all candidates and nominees for election to the General Assembly, and major management personnel in the legislative branch of state government.

EA

Check here and attach additional sheets if necessary. _____ Number of sheets attached.

Please include the following information for the preceding calendar year:

Name Johnnie L. Turner
 Business address PO Box 351, Harlan, KY 40831
 Business telephone 606-573-9000
 Home address 76 Lisenbee Rd, Boxter, KY 40806 (No mail received here)
 Title of public position, or office sought State Senator
 Other occupations of filer Attorney
 Occupations of spouse Retired Nurse

NOTE: The following sections do not require disclosure of specific dollar amounts.

1. Positions held by filer in any business, partnership, corporation for profit, or corporation not for profit from which the filer receives compensation, and the name of the business, partnership, or corporation

Johnnie L. Turner PSC
J. Turner Construction

2. Positions held by filer's spouse in any business, partnership, corporation for profit, or corporation not for profit from which the filer's spouse receives compensation, and the name of the business, partnership, or corporation

3. Names and addresses of all businesses, investments, or securities in which the filer, filer's spouse, or filer's minor children had at any time during the reporting year an interest of \$10,000 at fair market value, or 5% ownership interest or more

See attached document of securities
Johnnie L. Turner PSC
J. Turner Construction

4. Sources and form of gross income of the filer (list sources by name)

Personal Retirement Merrill Lynch, Talcott Resolutions
Social Security thru Morgan Stanley
Johnnie L. Turner, PSC, Rental Properties Smith Barney, LLC
Dividends from Retirement + Personal Account
Bank Interest & Dividends, J. Turner Construction

5. Sources and form of gross income of the filer's spouse (list sources by name)

Social Security
Personal Retirement + Personal Account
Dividends from Retirement

6. Positions of a fiduciary nature held by the filer in a business

Johnnie L. Turner, PSC - Agent, Owner, Operator
J. Turner Construction - Owner, President, Operator

7. A designation as commercial, residential, or rural, and the location of all real property other than the filer's primary residence, in which there is an interest of \$10,000 or more held by the filer, filer's spouse, or filer's minor children

Real Property - Dione, KY
2 Residential houses & garage - Putney, KY; US 119, Cumberland, KY.
2 Garage & Business Buildings - Lawn Lake, KY
Commercial 4-plex - Baxter, KY; Church & Passenger - Baxter, KY
Modular Home & House - Baxter, KY; 2 Commercial Buildings - Baxter, KY
Real Property on 221 & 421 - Blacksoe, KY; Townhouse - Lexington, KY

8. Sources of gifts of money or property with a retail value of more than \$200 to the filer or the filer's immediate family, except those from a member of the filer's family. (Family means spouse, parent, sibling, child, mother-in-law, father-in-law, son-in-law, daughter-in-law, grandparent, or grandchild, or dependent member of the filer's household. Immediate family means unemancipated child residing in an individual's household, spouse, or a person claimed by the filer as a dependent for tax purposes.)

None to my knowledge

9. The name of any creditor owed more than \$10,000 except debts arising from the purchase of consumer goods. (Goods used or bought for use primarily for personal, family, or household purposes)

Monticello Bank, Herlan, KY

10. The name of any legislative agent who is:

- (a) A member of the filer's immediate family;
- (b) A partner of the filer, or a partner of a member of the filer's immediate family;
- (c) An officer or director of the filer's employer;
- (d) An employer of the filer or an employer of a member of the filer's immediate family;
- (e) A business associate of the filer or a business associate of a member of the filer's immediate family

Not Applicable

11. The names of any of the filer's clients who are legislative agents or employers

Not Applicable

12. If you have held a professional license during the filing period, has a properly licensed partner of yours engaged in the practice of cases or other matters which you are prohibited from practicing under KRS 6.744? Yes No Not Applicable

13. If yes, list the names of the clients represented and list the agencies before which the partner made an appearance. The filer need not identify which client was represented before a specific agency.

Clients

Not Applicable

State Agency

Not Applicable

NOTICES

1. Upon receipt by the Commission, a statement of financial disclosure shall be a public record available for copying.
2. Any person who fails to file a statement of financial disclosure or who fails to remedy a deficiency identified by the Commission in a timely manner may be fined an amount not to exceed \$100 per day up to a maximum total fine of \$1000.
3. Any person who files a statement of financial interests which they know to contain false information, or to omit required information, shall be guilty of a class A misdemeanor.

28 Jan 2020
Date

Johnnie L Turner
Filer

Send completed statements to: The Kentucky Legislative Ethics Commission
22 Mill Creek Park
Frankfort, Kentucky 40601
FAX (502) 573-2929

If you have questions please call us at (502) 573-2863.

01/07/20 09:37:12 888-294-5658

->

6065734404 Merrill Lynch

Page 001



FACSIMILE COVER SHEET

TO: Johnnie L Turner
PHONE: Turner Law Offices
FAX: 18065734404

FROM: Merrill Lynch
SENDER: Holly Thompson
DATE: 01/07/20
PHONE: 888-231-6266
FAX: 18599831029

No. of pages (including this page):4

Subject: Holdings Over 10,000

CONFIDENTIALITY NOTE: The information contained in this FAX message is intended only for the confidential use of the designated recipient named above. This message may contain contractual and proprietary information and as such is privileged and confidential. If the reader of this message is not the intended recipient or an agent responsible for delivering it to the intended recipient, you are hereby notified that you have received this document in error, and that any review, dissemination, distribution or copying of this message is strictly prohibited. If you have received this communication in error, please notify us immediately by telephone.

Merrill Lynch, Pierce, Fenner & Smith Incorporated is a registered broker-dealer, member SIPC and a wholly owned subsidiary of Bank of America Corporation.

Investment products:

Are Not FDIC Insured	Are Not Bank Guaranteed	May Lose Value
----------------------	-------------------------	----------------

© 2017 Bank of America Corporation. All rights reserved. | ARVFR5XD | SHEET-12-16-0222 | 12/2016

Opt-out Instructions

This fax may contain promotional materials from Bank of America or one of our affiliate companies. You may choose not to receive future faxes that contain promotional materials by faxing your opt-out request to 804.627.7042, calling 888.341.5000 or mailing your opt-out request to Bank of America CDM VA2-100-04-32 PO Box 27025 Richmond VA 23286-9085.

Important: You must inform the bank of the specific fax number(s) to which the fax opt-out request will apply. As required by federal law, we will honor your opt-out request within 30 days.

Please note: You may still continue to receive fax communications from your assigned account representative, such as your financial advisor, to address your financial needs.

Comments:

Johnnie, This is a list of your accounts and the holdings that have current values over \$10,000 as of last night. Maritza has two holdings as well - a Vanguard Value ETF and Vanguard Growth ETF - and I am not sure if you need that information or not. Please let Rac or me know if you need anything else! Glad to hear you are running for Senate. Good luck to you! - Holly

01/07/20 09:37:50 800-294-5658

->

6065734404 Merrill Lynch

Page 002

This message, and any attachments, is for the intended recipient(s) only, may contain information that is privileged, confidential and/or proprietary and subject to important terms and conditions available at <http://www.bankofamerica.com/emaildisclaimer>. If you are not the intended recipient, please delete this message.

01/07/20 09:38:11 888-294-5658

->

6065734404 Merrill Lynch

Page 003

Johnnie L. Turner

Holdings with Market Value over \$10,000 as of Close of Business: 01/08/2020

Description	Symbol	Account
PIMCO INCOME FUND CL I2	PONPX	IRA Account
LORD ABBETT INCOME FUND CL F	LAUFX	IRA Account
INVESCO CORE PLUS BOND FUND CL Y	CPBYX	IRA Account
APPLE INC	AAPL	IRA Account
MICROSOFT CORP	MSFT	IRA Account
FACEBOOK INC CLASS A	FB	IRA Account
MORGAN STANLEY GMTN GLB 8.6% JAN 26 2020	MS20	IRA Account
AMAZON COM INC COM	AMZN	IRA Account
ALPHABET INC SHS CL A	GOOGL	IRA Account
BRISTOL-MYERS SQUIBB CO	BMJ	IRA Account
SALESFORCE COM INC	CRM	IRA Account
VISA INC CL A SHRS	V	IRA Account
TJX COS INC NEW	TJX	IRA Account
INTERCONTINENTAL EXCHANGE INC	ICE	IRA Account
O'REILLY AUTOMOTIVE INC	ORLY	IRA Account
HONEYWELL INTL INC DEL	HON	IRA Account
AIR PRODUCTS&CHEM	APD	IRA Account
ISHARES IBOXX \$ INVT GRADE CORP BD	LQD	IRA Account
INTUIT INC COM	INTU	IRA Account
THERMO FISHER SCIENTIFIC INC	TMO	IRA Account
ADOBE INC SHS	ADBE	IRA Account
V F CORPORATION	VFC	IRA Account
USDO.Q08	BKNG	IRA Account
PAYPAL HOLDINGS INC SHS	PYPL	IRA Account
DOVER CORP	DOV	IRA Account
LAUDER ESTEE COS INC A	EL	IRA Account
ZOETIS INC	ZTS	IRA Account
LOWE'S COMPANIES INC	LOW	IRA Account
COSTCO WHOLESALE CRP	COST	IRA Account
MASTERCARD INC	MA	IRA Account
ECOLAB INC	ECL	IRA Account
MERCK AND CO INC SHS	MRK	IRA Account
NORTHROP GRUMMAN CORP	NOC	IRA Account
INTUITIVE SURGICAL INC NEW	ISRG	IRA Account

METROPOLITAN WEST TOTAL RTN BND FD CL I	MWTIX	Settlement Funds
TCW EMERGING MARKETS INCOME I SHARE	TGEIX	Settlement Funds
APPLE INC	AAPL	Settlement Funds
MICROSOFT CORP	MSFT	Settlement Funds
PIMCO INCOME FUND CL I2	PONPX	Settlement Funds
ML BANK DEPOSIT PROGRAM	990286816	Settlement Funds
ALPHABET INC SHS CL A	GOOGL	Settlement Funds
FACEBOOK INC CLASS A	FB	Settlement Funds
AMAZON COM INC COM	AMZN	Settlement Funds
DISNEY (WALT) CO COM STK	DIS	Settlement Funds

->

6065734484 Merrill Lynch

Page 004

ROYAL DUTCH SHEL PLC SPONS ADR B	RDSB	Settlement Funds
8KB INC NEW DELAWARE COM	EGHT	CMA Investments
ISHARES 3-7 YEAR TREASURY BOND ETF	IEI	CMA Investments
ISHARES IBOXX 3 INVT GRADE CORP BD	LQD	CMA Investments
ISHARES MBS ETF	MBB	CMA Investments
AMAZON COM INC COM	AMZN	CMA Investments
APPLE INC	AAPL	CMA Investments
LOCKHEED MARTIN CORP	LMT	CMA Investments
ALPHABET INC SHS CL A	GOOGL	CMA Investments
MERCK AND CO INC SHS	MRK	CMA Investments
HOME DEPOT INC	HD	CMA Investments
VERIZON COMMUNICATNS COM	VZ	CMA Investments
TRUIST FINL CORP	TFC	CMA Investments
MICROSOFT CORP	MSFT	CMA Investments
HONEYWELL INTL INC DEL	HON	CMA Investments
ML BANK DEPOSIT PROGRAM	90028691A	CMA Investments
VISA INC CL A SHRS	V	CMA Investments

For Informational Purposes Only - Account Statement is Official Record of Holdings, Balances and Security Prices. Your monthly account statements are the only official record of your account(s). The information in this report may differ from your official monthly account statements and from other reports generated in respect of the accounts represented in this report. Past performance is not an indicator of future results.

Important Information About This Report

Merrill Lynch Wealth Management makes available products and services offered by Merrill Lynch, Pierce, Fenner & Smith Incorporated, a registered broker-dealer and member SIPC, and other subsidiaries of Bank of America Corporation.

Banking products are provided by Bank of America, N.A., member FDIC and a wholly owned subsidiary of BofA Corp.

Investment products offered through MLP&S and insurance and annuity products offered through Merrill Lynch Life Agency Inc.: Are Not FDIC Insured - Are Not Bank Guaranteed - May Lose Value - Are Not Deposits - Are Not Insured by Any Federal Government Agency - Are Not a Condition to Any Banking Service or Activity.

Merrill Lynch Life Agency Inc. is a licensed insurance agency and wholly owned subsidiary of BofA Corp.

This report is designed to assist you in the evaluation of your account(s) in combination with the ongoing advice and guidance of your Merrill Lynch Financial Advisor. Please contact your Financial Advisor if you have any questions regarding the information contained in the report.

