

KENTUCKY LEGISLATIVE ETHICS COMMISSION

STATEMENT OF FINANCIAL DISCLOSURE (KRS 6.787)

To be filed by: All members of the General Assembly, all candidates and nominees for election to the General Assembly, and major management personnel in the legislative branch of state government.

211

Check here and attach additional sheets if necessary. Number of sheets attached.

Please include the following information for the preceding calendar year:

Name Charles David Yates
Business address 111 W. Washington St. 4th Floor Louisville KY 40202
Business telephone (502) 882-5179
Home address 8101 Bella Woods Dr. Louisville KY 40214
Title of public position, or office sought KY State Senate Dist. 37
Other occupations of filer Louisville Metro Council Dist 25, Law office of David Yates Atty
Occupations of spouse Business Consultant

NOTE: The following sections do not require disclosure of specific dollar amounts.

1. Positions held by filer in any business, partnership, corporation for profit, or corporation not for profit from which the filer receives compensation, and the name of the business, partnership, or corporation
Owner, Law office of David Yates Atty PLLC
Partner, 111 W. Washington LLC, Owner, C. David Yates LLC

2. Positions held by filer's spouse in any business, partnership, corporation for profit, or corporation not for profit from which the filer's spouse receives compensation, and the name of the business, partnership, or corporation
Business Consultant Humana Inc
500 W. Main Street, Louisville KY 40202

3. Names and addresses of all businesses, investments, or securities in which the filer, filer's spouse, or filer's minor children had at any time during the reporting year an interest of \$10,000 at fair market value, or 5% ownership interest or more

Law office of David Yates Atty PLLC (self)
111 W. Washington LLC (self)
C. DAVID YATES LLC (self)

APR 2020

4. Sources and form of gross income of the filer (list sources by name)

Law Office of David Yates, Atty PLLC - Salary  
Louisville Metro Council (Louisville Metro Councilman Dist 25) - Salary  
C. DAVID YATES LLC (INCOME)  
111 W. Washington LLC (INCOME)

5. Sources and form of gross income of the filer's spouse (list sources by name)

~~Humana~~ Salary from Humana INC.

6. Positions of a fiduciary nature held by the filer in a business

Attorney at Law  
Elected Louisville Metro Council District 25

7. A designation as commercial, residential, or rural, and the location of all real property other than the filer's primary residence, in which there is an interest of \$10,000 or more held by the filer, filer's spouse, or filer's minor children

111 W. Washington St 40202,  
4819 S 5th St. 40204, ~~2000 Steady Ave 6300 Triplett 40258~~  
~~209 W. Kenhin St. 40214~~, ~~5014 Laughlin~~  
(I purchased 209 W. Kenhin St. 40214 + 5014 Laughlin 40214 in 2020)

8. Sources of gifts of money or property with a retail value of more than \$200 to the filer or the filer's immediate family, except those from a member of the filer's family. (Family means spouse, parent, sibling, child, mother-in-law, father-in-law, son-in-law, daughter-in-law, grandparent, or grandchild, or dependent member of the filer's household. Immediate family means unemancipated child residing in an individual's household, spouse, or a person claimed by the filer as a dependent for tax purposes.) NA

9. The name of any creditor owed more than \$10,000 except debts arising from the purchase of consumer goods. (Goods used or bought for use primarily for personal, family, or household purposes) BB&T Mortgage

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10. The name of any legislative agent who is:
- (a) A member of the filer's immediate family;
  - (b) A partner of the filer, or a partner of a member of the filer's immediate family;
  - (c) An officer or director of the filer's employer;
  - (d) An employer of the filer or an employer of a member of the filer's immediate family;
  - (e) A business associate of the filer or a business associate of a member of the filer's immediate family

NA

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11. The names of any of the filer's clients who are legislative agents or employers

NA

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12. If you have held a professional license during the filing period, has a properly licensed partner of yours engaged in the practice of cases or other matters which you are prohibited from practicing under KRS 6.744?  Yes  No  Not Applicable

13. If yes, list the names of the clients represented and list the agencies before which the partner made an appearance. The filer need not identify which client was represented before a specific agency.

Clients

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State Agency

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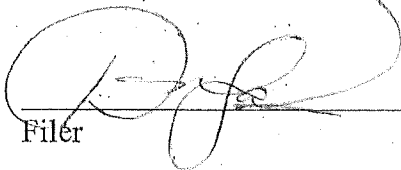
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**NOTICES**

1. Upon receipt by the Commission, a statement of financial disclosure shall be a public record available for copying.
2. Any person who fails to file a statement of financial disclosure or who fails to remedy a deficiency identified by the Commission in a timely manner may be fined an amount not to exceed \$100 per day up to a maximum total fine of \$1000.
3. Any person who files a statement of financial interests which they know to contain false information, or to omit required information, shall be guilty of a class A misdemeanor.

1/29/20  
Date

  
Filer

Send completed statements to: The Kentucky Legislative Ethics Commission  
22 Mill Creek Park  
Frankfort, Kentucky 40601  
FAX (502) 573-2929

If you have questions please call us at (502) 573-2863.