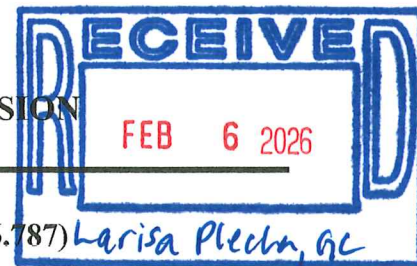


KENTUCKY LEGISLATIVE ETHICS COMMISSION



STATEMENT OF FINANCIAL DISCLOSURE (KRS 6.787)

To be filed by: All members of the General Assembly, all candidates and nominees for election to the General Assembly, and major management personnel in the legislative branch of state government.

Check here and attach additional sheets if necessary. **7** Number of sheets attached

Please include the following information for the preceding calendar year:

Name: Karen Berg

Business Address: N/A (LP w/permission 2/6/26)

Business Telephone: N/A (LP w/permission 2/6/26)

Home Address: [REDACTED]

Title of Public Position, or State Senate office sought:

Other occupations of filer: Retired

Occupations of spouse: not married

NOTE: The following sections do not require disclosure of specific dollar amounts.

1. Positions held by filer in any business, partnership, corporation for profit, or corporation not for profit from which the filer receives compensation, and the name of the business, partnership, or corporation:

None

2. Positions held by filer's spouse in any business, partnership, corporation for profit, or corporation not for profit from which the filer's spouse receives compensation, and the name of the business, partnership, or corporation:

Not applicable

3. Names and addresses of all businesses, investments, or securities in which the filer, filer's spouse, or filer's minor children had at any time during the reporting year an interest of \$10,000 at fair market value, or 5% ownership interest or more:

See attached

4. Sources and form of gross income of the filer (list sources by name):

Hamble, LLC

5. Sources and form of gross income of the filer's spouse (list sources by name):

Not applicable

6. Positions of a fiduciary nature held by the filer in a business:

None

7. A designation as commercial, residential, or rural, and the location of all real property other than the filer's primary residence, in which there is an interest of \$10,000 or more held by the filer, filer's spouse, or filer's minor children:

Residential 1239 Hillsboro Mile Unit 609
Hillsboro Beach, FL 33062

8. Sources of gifts of money or property with a retail value of more than \$200 to the filer or the filer's immediate family, except those from a member of the filer's family. (Family means spouse, parent, sibling, child, mother-in-law, father-in-law, son-in-law, daughter-in-law, grandparent, or grandchild, or dependent member of the filer's household. Immediate family means unemancipated child residing in an individual's household, spouse, or a person claimed by the filer as a dependent for tax purposes.):

None

9. The name of any creditor owed more than \$10,000 except debts arising from the purchase of consumer goods. (Goods used or bought for use primarily for personal, family, or household purposes):

None

10. The name of any legislative agent who is:

- (a) A member of the filer's immediate family;
- (b) A partner of the filer, or a partner of a member of the filer's immediate family;
- (c) An officer or director of the filer's employer;
- (d) An employer of the filer or an employer of a member of the filer's immediate family;
- (e) A business associate of the filer or a business associate of a member of the filer's immediate family

Not applicable

11. The names of any of the filer's clients who are legislative agents or employers:

None

12. If you have held a professional license during the filing period, has a properly licensed partner of yours engaged in the practice of cases or other matters which you are prohibited from practicing under KRS 6.744? Yes No Not Applicable

13. If yes, list the names of the clients represented and list the agencies before which the partner made an appearance. The filer need not identify which client was represented before a specific agency.

Clients

State Agency

NOTICES

1. Upon receipt by the Commission, a statement of financial disclosure shall be a public record available for copying.
2. Any person who fails to file a statement of financial disclosure or who fails to remedy a deficiency identified by the Commission in a timely manner may be fined an amount not to exceed \$100 per day up to a maximum total fine of \$1000.
3. Any person who files a statement of financial interests which they know to contain false information, or to omit required information, shall be fined not less than \$100 and not more than \$1000.

2/4/2026

Date

Send completed statements to:

The Kentucky Legislative Ethics Commission
22 Mill Creek Park
Frankfort, Kentucky 40601

If you have questions please call us at (502) 573-2863.

Dr. Karen Berg			
As of February 02, 2026			
Cash			
	Cash		
	UBS BANK USA DEPOSIT ACCOUNT		
	UBS GOVERNMENT MONEY MARKET INVESTMENTS FUND CLASS P		
	UBS SELECT TREASURY PREFERRED FUND		
Fixed Income			
	US		
	ABBVIE INC B/E 05.050% 031534 DTD022624 FC091524 CALL@MW+12.50BP		
	ALLSPRING CORE BOND FUND INSTITUTIONAL		
	ALLSPRING SHORT-TERM HIGH INCOME FUND CLASS		
	AMERICAN EXPRESS CO B/E 5.043% 050134 DTD050123 FC110123		
	AMERICAN HONDA FIN NTS 05.650% 111528 DTD111523 FC051524 CALL@MW+15BP		
	ANHEUSER-BUSCH INBEV WOR 03.500% 060130 DTD040320 CALL@MW+45BP		
	AON NORTH AMER INC B/E 05.450% 030134 DTD030124 FC090124 CALL@MW+20BP		
	AT&T INC B/E 02.750% 060131 DTD052820 FC120120 CALL@MW+35BP		
	BANK OF AMER CORP NTS 03.500% 041926 DTD041916 FC101916 B/E		
	BK OF AMER CORP B/E 04.571% 042733 DTD042722 FC102722 CALL@MW+25BP		

		BP CAP MARKETS AMER B/E 04.893% 091133 DTD051123 FC091123 CALL@MW+25BP		
		BROADCOM INC NTS B/E 04.150% 111530 DTD050820 FC111520 CALL@MW+50BP		
		BROADCOM INC NTS B/E 05.050% 071229 DTD071224 FC011225 CALL@MW+15BP		
		CAPITAL ONE FINANCIAL CO 05.884% 072635 DTD072624 FC012625 B/E		
		CHENIERE ENERGY PARTNERS 04.000% 030131 DTD090121 CALL@MW+50BP		
		CITIGROUP INC NTS B/E 02.572% 060331 DTD060320 FC120320 CALL@MW+30BP		
		CITIGROUP INC NTS B/E 03.400% 050126 DTD050216 FC110116		
		COCA-COLA CO/THE B/E 02.250% 010532 DTD050521 FC070521		
		ENTERPRISE PRODUCTS OPER 2.800% 013130 DTD011520 CALL@MW+15BP		
		EXPEDIA INC B/E 03.800% 021528 DTD092117 FC021518		
		GENERAL MOTORS FINL CO 05.400% 040626 DTD040623 FC100623 NTS B/E		
		GENL MOTORS FINL CO INC 04.350% 011727 DTD011717 FC071717 CALL@MW+30BP		
		GILEAD SCIENCES INC B/E 02.950% 030127 DTD092016 FC030117 CALL@MW+20BP		
		GOLDMAN SACHS GROUP INC 04.223% 050129 DTD042318 FC110118 CALL@MW+20BP		

		GUGGENHEIM TOTAL RETURN BOND FUND		
		HCA INC NTS B/E 05.500% 060133 DTD050423 FC120123 CALL@MW+30BP		
		HOME DEPOT INC B/E 03.250% 041532 DTD032822 FC101522 CALL@MW+15BP		
		INTEL CORP NTS B/E 03.750% 032527 DTD032520 FC092520 CALL@MW+50BP		
		ISHARES US TREASURY BOND ETF		
		JP MORGAN SHORT DURATION BOND FUND		
		JPMORGAN CHASE & CO B/E 02.522% 042231 DTD042220 CALL@MW+30BP		
		JPMORGAN CHASE & CO NTS 03.625% 120127 DTD111616 FC060117 B/E		
		LOCKHEED MARTIN CORP B/E 05.250% 011533 DTD102422 FC011523 CALL@MW+20BP		
		LOWES COS INC B/E 02.625% 040131 DTD033121 FC100121 CALL@MW+15BP		
		MARSH & MCLENNAN COS INC 04.375% 031529 DTD011519 FC091519 CALL@MW+25BP		
		META PLATFORMS INC B/E 04.875% 111535 DTD110325 CALL@MW+15BP		
		MICROSOFT CORP NTS B/E 02.400% 080826 DTD080816 FC020817 CALL@MW+15BP		
		MORGAN STANLEY B/E 05.587% 011836 DTD012125 FC071825 CALL@MW+15BP		
		NATIONAL RURAL UTIL COOP 03.400% 020728 DTD020718 FC080718 CALL@MW+15BP		

		NEXTERA ENERGY CAPITAL 05.000% 071532 DTD062322 FC011523 CALL@MW+30BP		
		NORTHROP GRUMMAN CORP 03.250% 011528 DTD101317 FC071518 CALL@MW+15BP		
		NUVEEN PREFERRED SECURITIES AND INCOME		
		ORACLE CORP NTS B/E 05.500% 080335 DTD020325 FC080325 CALL@MW+15BPB		
		PAYPAL HLDGS INCORP NTS 04.400% 060132 DTD052322 CALL@MW+25BP		
		PAYPAL HOLDINGS INC NTS 02.850% 100129 DTD092619 FC040120 CALL@MW+20BP		
		PNC FINL SVCS GROUP INC 06.875% 102034 DTD102023 FC042024 FIX TO FLOAT		
		QUALCOMM INC NTS B/E 03.250% 052027 DTD052617 FC112017 CALL @MW+20BP		
		SAN DIEGO G & E B/E 05.400% 041535 DTD032825 FC101525 CALL@MW+20BP		
		SOUTHERN CALIF EDISON CO 05.450% 060131 DTD050924 CALL@MW+15BP B/E		
		UBER TECHNOLOGIES INC 04.300% 011530 DTD090924 FC011525 CALL@MW+15BP		
		UNION PAC CORP B/E 03.950% 091028 DTD060818 FC031019 CALL@MW+20BP		
		UNITEDHEALTH GROUP INC 05.350% 021533 DTD102822 FC021523 CALL@MW+20BP		
		US BANCORP MED TERM NTS 03.150% 042727 DTD042717 FC102717 B/E		

		VERIZON COMMUNICATIONS 01.750% 012031 DTD112020 FC072021 CALL@MW+15BP		
		VICI PROPERTIES LP NTS 05.125% 051532 DTD042922 FC111522 CALL@MW+35BP		
		WALMART INC B/E 03.250% 070829 DTD042319 FC070819 CALL@MW+10BP		
		WELLS FARGO & CO B/E 04.897% 072533 DTD072522 FC012523 CALL@MW+30BP		
		WELLS FARGO & CO NTS B/E 05.499% 012335 DTD012324 FC072324 CALL@MW+25BP		
		Global		
		BLACKROCK STRATEGIC INCOME OPPORTUNITIES		
		PIMCO INCOME FUND CLASS		
		International		
		CANADIAN IMPERIAL BK 06.092% 100333 DTD100323 FC040324 CALL@MW+25BP		
		SUMITOMO MITSUI FINL GRP 03.784% 030926 DTD030916 FC090916 B/E		
	Equity			
		US		
		ABBOTT LABS		
		ABBVIE INC COM		
		ADVANCED DRAIN SYS INC DEL		
		ADVANCED MICRO DEVICES INC		
		AFLAC INC		
		ALPHABET INC CL A		
		AMAZON.COM INC		
		AMER EXPRESS CO		
		ANALOG DEVICES INC		
		APOLLO GLOBAL MGMT INC		
		APPLE INC		
		AUTOMATIC DATA PROCESSNG INC		
		BIO RAD LABORATORIES INC CL A		

	BLACKROCK INC		
	BRISTOL MYERS SQUIBB CO		
	BROADCOM INC		
	CADENCE DESIGN SYSTEM		
	CAPITAL ONE FINCL CORP		
	CHIPOTLE MEXICAN GRILL INC CL A		
	CISCO SYSTEMS INC		
	COCA COLA CO COM		
	COSTCO WHOLESALE CORP		
	DEXCOM INC		
	ECOLAB INC		
	EXXON MOBIL CORP		
	FIRST HORIZON CORP		
	GOLDMAN SACHS GROUP INC		
	HENRY JACK & ASSOC INC		
	HOME DEPOT INC		
	INGERSOLL RAND INC		
	INTEL CORP		
	INTL BUSINESS MACH		
	INTL FLAVORS&FRGRNCS		
	INVESCO S&P 500 EQUAL WEIGHT ETF		
	JBT MAREL CORP		
	JOHNSON & JOHNSON COM		
	JPMORGAN CHASE & CO		
	LILLY ELI & CO		
	MARSH		
	MCDONALDS CORP		
	MERCK & CO INC COM		
	MICRON TECHNOLOGY INC		
	MICROSOFT CORP		
	MONDELEZ INTL INC		
	MORGAN STANLEY		
	NEXTERA ENERGY INC COM		
	NVIDIA CORP		
	ORACLE CORP		
	PROCTER & GAMBLE CO		
	PROGRESSIVE CORP OHIO		
	PROLOGIS INC COM		
	QUALCOMM INC		
	REPUBLIC SERVICES INC		
	RTX CORP		
	SERVICENOW INC		
	STATE STREET CONSUMER DISCRETIONARY SELECT SECTOR SPDR ETF		

		STATE STREET HEALTH CARE SELECT SECTOR SPDR ETF		
		TEXAS INSTRUMENTS		
		TJX COS INC NEW		
		UNION PACIFIC CORP		
		UNITEDHEALTH GROUP INC		
		VANGUARD INFORMATION TECHNOLOGY ETF		
		VANGUARD SMALL-CAP ETF		
		VERTEX PHARMACEUTICAL INC		
		VISA INC CL A		
		WALMART INC		
		WALT DISNEY CO (HOLDING CO) DISNEY COM		
		WELLS FARGO & CO NEW		
		WEST PHARMACEUTICAL SERVICES INC		
		XYLEM INC		
		YUM! BRANDS INC		
		International		
		APTIV PLC		
		CHUBB LTD CHF		
		EATON CORP PLC		
		GATES INDL CORPRATIN PLC		
		ISHARES MSCI EUROZONE ETF		
		JOHNSON CTLS INTL PLC		
		LINDE PLC NEW EUR		
		TAIWAN SEMICONDUCTOR MFG CO LTD ADR		
		TRANE TECHNOLOGIES PLC		
	Non-Traditional			
		Non-Traditional		
		BELDRE CAPITAL FUND LLC		
		Hedge Funds		
		NEUBERGER BERMAN LONG SHORT FUND CLASS INSTL		
	The information listed above does not supersede the client statement			