

KENTUCKY LEGISLATIVE ETHICS COMMISSION

STATEMENT OF FINANCIAL DISCLOSURE (KRS 6.787)

To be filed by: All members of the General Assembly, all candidates and nominees for election to the General Assembly, and major management personnel in the legislative branch of state government.

Please Include The Following Information For The Preceding Calendar Year:

Name: Karen Berg  
Business address: 702 Capital Avenue, Annex Room 255 Frankfort Kentucky 40601  
Business telephone: 502-564-8100  
Home address: [REDACTED]  
Title of public position, or office sought: State Senator, District 26  
Other occupations of filer:  
Occupation of spouse: N/A

NOTE: The Following Sections Do Not Require Disclosure Of Specific Dollar Amounts.

Positions held by filer in any business, partnership, corporation for profit, or corporation not for profit from which the filer receives compensation, and the name of the business, partnership, or corporation:

None

Positions held by filer's spouse in any business, partnership, corporation for profit, or corporation not for profit from which the filer's spouse receives compensation, and the name of the business, partnership, or corporation:

N/A

Names and addresses of all businesses, investments, or securities in which the filer, filer's spouse, or filer's minor children had at any time during the reporting year an interest of \$10,000 at fair market value, or 5% ownership interest or more:

see attachment

Sources and form of gross income of the filer (list sources by name):

Legislative Research Commission

Sources and form of gross income of the filer's spouse (list sources by name):

N/A

Positions of a fiduciary nature in a business:

None

A designation as commercial, residential, or rural, and the location of all real property other than the filer's primary residence, in which there is an interest of \$10,000 or more held by the filer, filer's spouse, or filer's minor children:

1237 Hillsboro Mile #609, Hillsboro Beach, FL 33062  
(residential)

Sources of gifts of money or property with a retail value of more than \$200 to the filer or the filer's immediate family, except those from a member of the filer's family. (Family means spouse, parent, sibling, child, mother-in-law, father-in-law, son-in-law, daughter-in-law, grandparent, or grandchild. Immediate family means unemancipated child residing in an individual's household, spouse, or a person claimed by the filer's spouse as a dependent for tax purposes.):

None

The name of any creditor owed more than \$10,000 **except** debts arising from the purchase of consumer goods. (Goods used or bought for use primarily for personal, family, or household purposes):

The name of any legislative agent who is:

1. A member of the filer's immediate family;
2. A partner of the filer, or a partner of a member of the filer's immediate family;
3. An officer or director of the filer's employer;
4. An employer of the filer or an employer of a member of the filer's immediate family;
5. A business associate of the filer or a business associate of a member of the filer's immediate family

None

The names of the filer's clients who are legislative agents or employers:

N/A

If you have held a professional license during the filing period, has a properly licensed partner of yours engaged in the practice of cases or other matters which you are prohibited from practicing under KRS 6.744? : Yes No  Not Applicable

If yes, list the names of the clients represented and list the agencies before which the partner made an appearance. The filer need not identify which client was represented before a specific agency:

Clients

N/A

State Agency

N/A

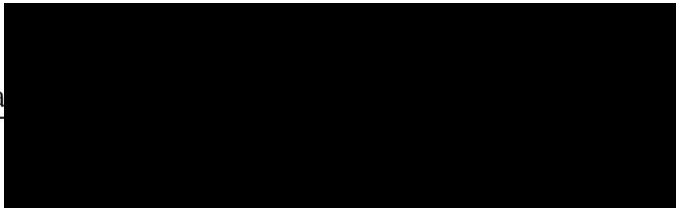
NOTICES

1. Upon receipt by the Commission, a statement of financial disclosure shall be a public record available for copying.
2. Any person who fails to file a statement of financial disclosure or who fails to remedy a deficiency identified by the Commission in a timely manner may be fined an amount not to exceed \$100 per day up to a maximum total fine of \$1000.
3. Any person who files a statement of financial interests which they know to contain false information, or to omit required information, shall be guilty of a class A misdemeanor.

2/18/2025

Date

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Send completed statements to: The Kentucky Legislative Ethics Commission  
22 Mill Creek Park  
Frankfort, Kentucky 40601  
FAX (502) 573-2929

If you have questions please call us at (502) 573-2863.

Dr. Karen Berg as of  
2/14/2025

Cash

Cash

UBS GOVERNMENT MONEY  
MARKET INVESTMENTS FUND  
CLASS P

UBS SELECT TREASURY  
PREFERRED FUND

Fixed Income

US

ABBYIE INC B/E 05.050%  
031534 DTD022624 FC091524  
CALL@MW+12.50BP

ALLSPRING CORE BOND FUND  
INSTITUTIONAL

ALLSPRING SHORT-TERM HIGH  
INCOME FUND CLASS INST

AMERICAN EXPRESS CO B/E  
5.043% 050134 DTD050123  
FC110123

AMERICAN HONDA FIN NTS  
05.650% 111528 DTD111523  
FC051524 CALL@MW+15BP

ANHEUSER-BUSCH INBEV WOR  
03.500% 060130 DTD040320  
CALL@MW+45BP

AON NORTH AMER INC B/E  
05.450% 030134 DTD030124  
FC090124 CALL@MW+20BP

	BK OF AMER CORP B/E 04.571% 042733 DTD042722 FC102722 CALL@MW+25BP	
	BP CAP MARKETS AMER B/E 04.893% 091133 DTD051123 FC091123 CALL@MW+25BP	
	CHENIERE ENERGY PARTNERS 04.000% 030131 DTD090121 CALL@MW+50BP	
	CTIGROUP INC NTS B/E 02.572% 060331 DTD060320 FC120320 CALL@MW+30BP	
	COCA-COLA CO/THE B/E 02.250% 010532 DTD050521 FC070521	
	CVS CAREMARK CORP NTS 03.875% 072025 DTD072015 FC012016 CALL@MW+25BP	
	ENTERPRISE PRODUCTS OPER 2.800% 013130 DTD011520 CALL@MW+15BP	
	GENERAL MOTORS FINL CO 05.400% 040626 DTD040623 FC100623 NTS B/E	
	GILEAD SCIENCES INC B/E 02.950% 030127 DTD092016 FC030117 CALL@MW+20BP	
	GOLDMAN SACHS GROUP INC 04.223% 050129 DTD042318 FC110118 CALL@MW+20BP	

	GUGGENHEIM TOTAL RETURN BOND FUND CLASS INSTITUTIONAL	
	HCA INC NTS B/E 05.500% 060133 DTD050423 FC120123 CALL@MW+30BP	
	HOME DEPOT INC B/E 03.250% 041532 DTD032822 FC101522 CALL@MW+15BP	
	INTEL CORP NTS B/E 03.750% 032527 DTD032520 FC092520 CALL@MW+50BP	
	ISHARES US TREASURY BOND ETF	
	JP MORGAN SHORT DURATION BOND FUND CLASS I	
	LOCKHEED MARTIN CORP B/E 05.250% 011533 DTD102422 FC011523 CALL@MW+20BP	
	MARSH & MCLENNAN COS INC 04.375% 031529 DTD011519 FC091519 CALL@MW+25BP	
	MICROSOFT CORP NTS B/E 02.400% 080826 DTD080816 FC020817 CALL@MW+15BP	
	MORGAN STANLEY NTS B/E 03.875% 012726 DTD012716 FC072716 CALL@MW+30BP	
	NATIONAL RURAL UTIL COOP 03.400% 020728 DTD020718 FC080718 CALL@MW+15BP	

	NEXTERA ENERGY CAPITAL 05.000% 071532 DTD062322 FC011523 CALL@MW+30BP	
	NORTHROP GRUMMAN CORP 03.250% 011528 DTD101317 FC071518 CALL@MW+15BP	
	NUVEEN PREFERRED SECURITIES AND INCOME FUND CLASS I	
	ORACLE CORP NTS B/E 5.800% 111025 DTD110922 FC051023 CALL@MW+20BP	
	PAYPAL HLDGS INCORP NTS 04.400% 060132 DTD052322 CALL@MW+25BP	
	PAYPAL HOLDINGS INC NTS 02.850% 100129 DTD092619 FC040120 CALL@MW+20BP	
	PNC FINL SVCS GROUP INC 06.875% 102034 DTD102023 FC042024 FX TO FLOAT	
	QUALCOMM INC NTS B/E 03.250% 052027 DTD052617 FC112017 CALL @MW+20BP	
	SOUTHERN CALIF EDISON CO 05.450% 060131 DTD050924 CALL@MW+15BP B/E	
	UNION PAC CORP B/E 03.950% 091028 DTD060818 FC031019 CALL@MW+20BP	

	UNITEDHEALTH GROUP INC 05.350% 021533 DTD102822 FC021523 CALL@MW+20BP	
	US BANCORP MED TERM NTS 03.150% 042727 DTD042717 FC102717 B/E	
	VERIZON COMMUNICATIONS 01.750% 012031 DTD112020 FC072021 CALL@MW+15BP	
	VICI PROPERTIES LP NTS 05.125% 051532 DTD042922 FC111522 CALL@MW+35BP	
	WALMART INC B/E 03.250% 070829 DTD042319 FC070819 CALL@MW+10BP	
	WELLS FARGO & CO B/E 04.897% 072533 DTD072522 FC012523 CALL@MW+30BP	
	WELLS FARGO & CO NTS B/E 03.000% 021925 DTD021915 FC081915	
	<b>Global</b> BLACKROCK STRATEGIC INCOME OPPORTUNITIES PORTFOLIOINSTTUTIONAL	
	PIMCO INCOME FUND CLASS I2	
	<b>International</b>	
	CANADIAN IMPERIAL BK 06.092% 100333 DTD100323 FC040324 CALL@MW+25BP	
	SUMITOMO MITSUI FINL GRP 03.784% 030926 DTD030916 FC090916 B/E	



Equity		
<b>US</b>		
ABBOTT LABS		
ABBVIE INC COM		
ACCENTURE PLC IRELAND CL A		
ADVANCED MICRO DEVICES INC		
AFLAC INC		
AMER EXPRESS CO		
AMERIPRISE FINANCIAL INC		
ANALOG DEVICES INC		
APPLE INC		
AUTOMATIC DATA PROCESSNG INC		
AZEK CO INC CL A		
BIO RAD LABORATORIES INC CL A		
BLACKROCK INC		
BROADCOM INC		
CADENCE DESIGN SYSTEM		
CMS ENERGY CORP		
COCA COLA CO COM		
CONSUMER DISCRETIONARY SELECT SECTOR SPDR FUND ETF		

	COSTCO WHOLESALE CORP	
	EMERSON ELECTRIC CO	
	EXXON MOBIL CORP	
	GE VERNOVA LLC COM	
	GOLDMAN SACHS GROUP INC	
	HEALTH CARE SELECT SECTOR SPDR FUND ETF	
	HOME DEPOT INC	
	INTEL CORP	
	INTL BUSINESS MACH	
	INTL FLAVORS&FRGRNCS	
	JOHNSON & JOHNSON COM	
	JPMORGAN CHASE & CO	
	KLA CORP	
	LILLY ELI & CO	
	MARSH & MCLENNAN COS INC	
	MCDONALDS CORP	
	MERCK & CO INC COM	
	MICRON TECHNOLOGY INC	
	MICROSOFT CORP	
	MONDELEZ INTL INC	

	MORGAN STANLEY	
	MSA SAFETY INC COM	
	NEXTERA ENERGY INC COM	
	NIKE INC CL B	
	NVIDIA CORP	
	ORACLE CORP	
	PEPSICO INC	
	PHILLIPS 66	
	PROCTER & GAMBLE CO	
	PROLOGIS INC COM	
	REPUBLIC SERVICES INC	
	ROYAL BANK OF CANADA LO TA-CYN RTY SPX 10/07/2027	
	RTX CORP	
	SERVICENOW INC	
	SPROUTS FARMERS MARKETS INC	
	STARBUCKS CORP	
	TAKE-TWO INTERACTIVE SOFTWARE	
	TEXAS INSTRUMENTS	
	TIX COS INC NEW	

	UNION PACIFIC CORP	
	UNITEDHEALTH GROUP INC	
	VANGUARD INFORMATION TECHNOLOGY ETF	
	VANGUARD SMALL-CAP ETF	
	VERTEX PHARMACEUTICAL INC	
	VISA INC CL A	
	WALMART INC	
	WALT DISNEY CO (HOLDING CO) DISNEY COM	
	WELLS FARGO & CO NEW	
	WEST PHARMACEUTICAL SERVICES INC	
	YUM! BRANDS INC	
	ZSCALER INC	
	<b>International</b>	
	APTIV PLC	
	CHUBB LTD CHF	
	EATON CORP PLC	
	LINDE PLC NEW EUR	
	TAIWAN SEMICONDUCTOR MFG CO LTD ADR	
	TRANE TECHNOLOGIES PLC	
<b>Non-Traditional</b>	<b>Non-Traditional</b>	

	BELDORE CAPITAL FUND LLC	
	NEUBERGER BERMAN LONG SHORT FUND CLASS INSTL	
The information listed above does not supersede the client statements		