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KENTUCKY LEGISLATIVE ETHICS COMMISSION

STATEMENT OF FINANCIAL DISCLOSURE (KRS 6.787)

To be filed by: *All members of the General Assembly, all candidates and nominees for election to the General Assembly, and major management personnel in the legislative branch of state government.*

ED

Please Include The Following Information For The Preceding Calendar Year:

Name: Ken Fleming
Business address: 918 Ormsby Lane Louisville Kentucky 40242
Business telephone: 502.327.4622
Home address: 2000 Camargo Road Louisville Kentucky 40207
Title of public position, or office sought: State Representative 48th
Other occupations of filer: None
Occupation of spouse: Professional Organizer, Community Volunteer

NOTE: The Following Sections Do Not Require Disclosure Of Specific Dollar Amounts.

Positions held by filer in any business, partnership, corporation for profit, or corporation not for profit from which the filer receives compensation, and the name of the business, partnership, or corporation:
Executive Director, Kilgore Samaritan Counseling Center

Positions held by filer's spouse in any business, partnership, corporation for profit, or corporation not for profit from which the filer's spouse receives compensation, and the name of the business, partnership, or corporation:
Member, Optimal Surroundings, LLC

Names and addresses of all businesses, investments, or securities in which the filer, filer's spouse, or filer's minor children had at any time during the reporting year an interest of $10,000 at fair market value, or 5% ownership interest or more:
See Attachment (previously submitted)

Sources and form of gross income of the filer (list sources by name):
Kilgore Samaritan Counseling Center
State of Kentucky

Sources and form of gross income of the filer's spouse (list sources by name):

Glenview Trust Account - dividends
Optimal Surroundings, LLC

Positions of a fiduciary nature in a business:
N/A

A designation as commercial, residential, or rural, and the location of all real property other than the filer's primary residence, in which there is an interest of $10,000 or more held by the filer, filer's spouse, or filer's minor children:
Residential - Fripp Island, SC

Sources of gifts of money or property with a retail value of more than $200 to the filer or the filer's immediate family, except those from a member of the filer's family. (Family means spouse, parent, sibling, child, mother-in-law, father-in-law, son-in-law, daughter-in-law, grandparent, or grandchild. Immediate family means unemancipated child residing in an individual's household, spouse, or a person claimed by the filer's spouse as a dependent for tax purposes.):
N/A

The name of any creditor owed more than $10,000 **except** debts arising from the purchase of consumer goods. (Goods used or bought for use primarily for personal, family, or household purposes):
Commonwealth Bank and Trust

The name of any legislative agent who is:

1. A member of the filer's immediate family;
2. A partner of the filer, or a partner of a member of the filer's immediate family;
3. An officer or director of the filer's employer;
4. An employer of the filer or an employer of a member of the filer's immediate family;
5. A business associate of the filer or a business associate of a member of the filer's immediate family

Denis Fleming - Legislative Agent

The names of the filer's clients who are legislative agents or employers:
N/A

If you have held a professional license during the filing period, has a properly licensed partner of yoursengaged in the practice of cases or other matters which you are prohibited from practicing under KRS 6.744? : Yes No X Not Applicable

If yes, list the names of the clients represented and list the agencies before which the partner made an appearance. The filer need not identify which client was represented before a specific agency:

Clients

N/A

State Agency

N/A

NOTICES

1. Upon receipt by the Commission, a statement of financial disclosure shall be a public record available for copying.

2. Any person who fails to file a statement of financial disclosure or who fails to remedy a deficiency identified by the Commission in a timely manner may be fined an amount not to exceed $100 per day up to a maximum total fine of $1000.

3. Any person who files a statement of financial interests which they know to contain false information, or to omit required information, shall be guilty of a class A misdemeanor.

February 1, 2023	Ken Fleming
Date	Filer

Send completed statements to: The Kentucky Legislative Ethics Commission
22 Mill Creek Park
Frankfort, Kentucky 40601
FAX (502) 573-2929

If you have questions please call us at (502) 573-2863.

Address for all:
Stock Yards Bank & Trust
4350 Brownsboro Road # 210
Louisville, KY 40207

Attachment 1

Chevron Corp (stock – held in IRA; stock – spouse)
Eli Lilly & Company (stock – held in IRA)
Microsoft Corp (stock – held in IRA)
IShares Core Growth (Mutual Fund held in IRA)
IShares S&P Growth (Mutual Fund held in IRA)
Sector Technology SPDR (Mutual Funds held in IRA)
SPDR S&P 500 EFT (Mutual Fund held in IRA)
Dupree KY Tax-Free (Mutual Fund – children)
Gsam Activebeta US EFT (Mutual Fund – spouse)
Louisiana Healthcare Group Inc (stock – held in IRA)
Vanguard Total Stock Market-Index Fund (Mutual Fund – spouse)
Thornburg Income Builder (Mutual Fund – children)
Bristol Myers (stock – spouse)
Coca Cola (stock – spouse/children)
Exxon Mobil (stock – spouse)
JP Morgan Chase (stock – spouse)
Lockheed Martin (stock – spouse)
Pfizer (stock – spouse)
Philip Morris (stock – spouse)
Proctor Gamble (stock – spouse)
The Southern Company (stock – spouse)
Worthington Industries (stock – spouse)
ATT (stock – spouse)