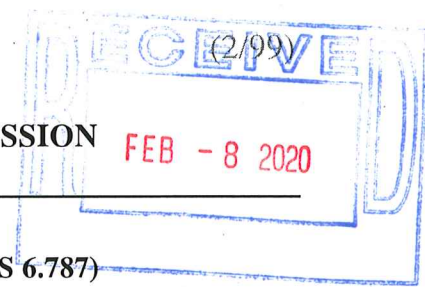


KENTUCKY LEGISLATIVE ETHICS COMMISSION



STATEMENT OF FINANCIAL DISCLOSURE (KRS 6.787)

To be filed by: All members of the General Assembly, all candidates and nominees for election to the General Assembly, and major management personnel in the legislative branch of state government.

Please Include The Following Information For The Preceding Calendar Year:

Name: Joe Graviss

Business address: PO Box 1002 Versailles Kentucky 40383

Business telephone: 8594334392

Home address: PO Box 1002 Versailles Kentucky 40383

Title of public position, or office sought: State Representative

Other occupations of filer: none

Occupation of spouse: Artist

**NOTE: The Following Sections Do Not Require Disclosure Of Specific Dollar Amounts.**

Positions held by filer in any business, partnership, corporation for profit, or corporation not for profit from which the filer receives compensation, and the name of the business, partnership, or corporation:

NONE

Positions held by filer's spouse in any business, partnership, corporation for profit, or corporation not for profit from which the filer's spouse receives compensation, and the name of the business, partnership, or corporation:

Graviss Studios

Names and addresses of all businesses, investments, or securities in which the filer, filer's spouse, or filer's minor children had at any time during the reporting year an interest of \$10,000 at fair market value, or 5% ownership interest or more:

I tried to insert holdings here and the space would not accept it. I will attach files to an email and send to you under separate cover. If this is a problem, call me immediately at 8594334392 and leave a detailed message if I can not pick up. Thanks and sorry for the inconvenience, but this new format will not accept my info here, I tried alot and many different ways.

Sources and form of gross income of the filer (list sources by name):

Interest and dividends from separate attached sources at Wealth South Financial in

Lexington, KY per the attached under separate cover I tried to insert but the program wouldn't take it.

Sources and form of gross income of the filer's spouse (list sources by name):

Graviss Studios

Positions of a fiduciary nature in a business:

Board positions Woodford Tomorrow, Woodford County Democratic Executive Committee, KY Democratic Party State Central Executive Committee, Nursing Home Ombudsman Association, Bluegrass Bike, Hike, Horseback and Water Trails Alliance

A designation as commercial, residential, or rural, and the location of all real property other than the filer's primary residence, in which there is an interest of \$10,000 or more held by the filer, filer's spouse, or filer's minor children:

NONE (sold fishing boat)

Sources of gifts of money or property with a retail value of more than \$200 to the filer or the filer's immediate family, except those from a member of the filer's family. (Family means spouse, parent, sibling, child, mother-in-law, father-in-law, son-in-law, daughter-in-law, grandparent, or grandchild. Immediate family means unemancipated child residing in an individual's household, spouse, or a person claimed by the filer's spouse as a dependent for tax purposes.):

NONE

The name of any creditor owed more than \$10,000 **except** debts arising from the purchase of consumer goods. (Goods used or bought for use primarily for personal, family, or household purposes):

NONE

The name of any legislative agent who is:

1. A member of the filer's immediate family;
2. A partner of the filer, or a partner of a member of the filer's immediate family;
3. An officer or director of the filer's employer;
4. An employer of the filer or an employer of a member of the filer's immediate family;
5. A business associate of the filer or a business associate of a member of the filer's immediate family

NONE

The names of the filer's clients who are legislative agents or employers:

NONE

If you have held a professional license during the filing period, has a properly licensed

partner of yours engaged in the practice of cases or other matters which you are prohibited from practicing under KRS 6.744? : Yes No X Not Applicable

If yes, list the names of the clients represented and list the agencies before which the partner made an appearance. The filer need not identify which client was represented before a specific agency:

Clients

N/A

State Agency

N/A

### NOTICES

1. Upon receipt by the Commission, a statement of financial disclosure shall be a public record available for copying.
2. Any person who fails to file a statement of financial disclosure or who fails to remedy a deficiency identified by the Commission in a timely manner may be fined an amount not to exceed \$100 per day up to a maximum total fine of \$1000.
3. Any person who files a statement of financial interests which they know to contain false information, or to omit required information, shall be guilty of a class A misdemeanor.

2-8-20

Date

Joe Graviss

Filer

Send completed statements to: The Kentucky Legislative Ethics Commission  
22 Mill Creek Park  
Frankfort, Kentucky 40601  
FAX (502) 573-2929

If you have questions please call us at (502) 573-2863.

Holdings as of 1/10/2020 for 2139001 - Graviss, Joseph A. Roth IRA

Issue Type	Cusip	Ticker	Asset	Acquisition Date
Cash	Cash			
Cash Equivalents				
Money Market - T...	60934N682	UTIX	Federated U.S. Treasury Cash Reserves Fund	11/21/2018
Fixed Income				
ETFs	33939L605	TDTF	Flexshares Yr. TIPS ETF	12/19/2019
Mutual Funds	256210105	DODIX	Dodge & Cox Income Fund	3/13/2019
Mutual Funds	31428Q101	FTREX	Federated Total Return Bond Fund Instl	Various
Mutual Funds - Hi...	44134R735	HWHIX	Hotchkis & Wiley High Yield I	3/13/2019
Mutual Funds - Hi...	87234N765	TGEIX	TCW Emerging Markets Income Fund I	3/13/2019
Mutual Funds - Hi...	89154Q588	TFSLX	Touchstone Flexible Income Inst	3/13/2019
Stocks				
ETFs - Real Assets	33939L407	GUNR	Flexshares Glb. Upstream Ntrl. Res. ETF	9/19/2019
Mutual Funds - Int...	233203371	DFIEX	DFA International Equity	7/22/2019
Mutual Funds - Int...	55273E822	MINIX	MFS International Value Fund I	Various
Mutual Funds - Int...	649280823	NFFFX	American Funds New World Fund F2	5/8/2019
Mutual Funds - La...	25434D823	DFUSX	DFA US Large Cap	Various
Mutual Funds - La...	411511504	HACAX	Harbor Capital Appreciation Fund	Various
Mutual Funds - La...	921921300	VEIRX	Vanguard Equity Income Fund Adm	Various
Mutual Funds - Re...	23320G554	DFGEX	DFA Global Real Estate	Various
Mutual Funds - S...	233203843	DFSTX	DFA US Small Cap	3/13/2019
Mutual Funds - S...	316345305	FLPSX	Fidelity Low Price Stock Fund	3/13/2019
Mutual Funds - S...	74253Q747	PCBIX	Principal Midcap Fund Inst	3/13/2019

Holdings as of 1/10/2020 for 4204001 - Graviss, Joseph A. Trust Agency

Issue Type	Cusip	Ticker	Asset	Acquisition Date
Cash			Cash	
Cash Equivalents				
Money Market - T...	60934N401	TBXX	Federated Tax-Free Money Mkt Obligations Fund	12/18/2019
Fixed Income				
Municipals	017553AN8		Allen Cnty Ky Pub 3.25% 8/1/20	11/30/2016
Municipals	13063CW89		California St CA 4.0% 09/01/26	7/5/2018
Municipals	13063DHL5		California St CA 5.0% 08/01/27	10/22/2018
Municipals	134290PA7		Campbell Cnty KY Sch Dist Fin Corp Bldg Rev 3.0% 08/01/22	Various
Municipals	13937XDZ8		Cape Coral Fla 2.75% 9/1/2026	1/25/2019
Municipals	170702AQ7		Christian Cnty Ky Sch Dist 2.0% 9/1/20	11/14/2016
Municipals	181059UW4		Clark Cnty NV Sch Dist 5% 6/15/24	12/6/2017
Municipals	196479E39		Colorado Hsg & Fin Auth 2.8% 11/1/28	1/4/2019
Municipals	199492QQ5		Columbus OH 4.0% 8/15/24	12/7/2017
Municipals	20772JIT8		Connecticut 4.0% 3/15/26	12/7/2017
Municipals	207758TD5		Connecticut St Spl Tax Oblig 5.0% 9/1/25	12/7/2017
Municipals	235241SE7		Dallas TX Area Rapid Tran 5% 12/1/2022	9/17/2018
Municipals	34153QDK9		Florida St Brd Ed 5.0% 6/1/20	12/8/2017
Municipals	342816H73		Florida St Mun Pwr Agy 5.0% 10/1/24	12/11/2017
Municipals	36005FAH8		Fulton Cnty GA Dev Auth 5.0% 11/1/27	12/15/2017
Municipals	362848TZ6		Gainesville FL Utilis Sys Rev 5.0% 10/1/27	12/7/2017
Municipals	372622EX4		Georgetown Cnty SC 4.0% 3/1/23	12/6/2017
Municipals	401784B51		Guilford Cnty NC 3.00% 02/01/27	1/4/2019
Municipals	401784K44		Guilford Cnty NC 5.0% 3/1/25	12/6/2017
Municipals	416080MC0		Hart Cnty KY Sch Dist 3.0% 12/1/21	12/20/2016
Municipals	419792CE4		Hawaii St. 5.0% 10/1/26	12/12/2017
Municipals	44256PLC5		Howard Cnty MD 5.0% 2/15/25	12/12/2017
Municipals	452227DN8		Illinois St. Sales Tax REV 5.0% 6/15/20	12/7/2017
Municipals	455057Q69		Indiana St. Fin Auth Rev 5.0% 2/1/21	12/6/2017
Municipals	485429CV4		Kansas St. Dev Fin 5% 3/1/2021	1/4/2019
Municipals	49140M6V6		Kentucky Rural Wtr Fin Corp Pub Proj Rev 2.00% 02/01/30	12/19/2019
Municipals	491552WF8		Kentucky St. Tpk Auth Economic Dev 4.125% 7/1/23	9/14/2017
Municipals	491552YU3		Kentucky St. Tpk Auth Economics Dev 5.0% 7/1/25	9/7/2017

Holdings as of 1/10/2020 for 4204001 - Graviss, Joseph A. Trust Agency

Issue Type	Cusip	Ticker	Asset	Acquisition Date
Municipals	59333RHK3		Miami-Dade Cnty FL Sch Dist 5.0% 3/15/24	12/6/2017
Municipals	606341JS5		Missouri St Brd Pub 4.0% 4/1/24	12/6/2017
Municipals	6133406S2		Montgomery Cnty MD 4.0% 11/1/27	12/6/2017
Municipals	625506MX5		Multnomah Cnty OR 5.0% 6/1/22	12/6/2017
Municipals	63165TZR7		Nassau Cnty NY 5.0% 10/1/27	12/12/2017
Municipals	645916G84		New Jersey Economic Dev 5.25% 7/1/24	12/7/2017
Municipals	64966LRJ2		New York NY 5.0% 8/1/23	12/7/2017
Municipals	64971QW66		New York NY City Transitional Fin Auth 5.0% 02/01/20	12/5/2017
Municipals	672411W50		Oakland Cnty MI 2.5% 4/1/25	1/4/2019
Municipals	67756QUH8		Ohio St Hsg Fin 2.25% 3/1/2023	1/4/2019
Municipals	68608UMX1		Oregon St. 5.0% 11/1/21	12/7/2017
Municipals	70253QAR0		Pasco Cnty FL 5.0% 10/1/22	12/7/2017
Municipals	70914PG89		Pennsylvania (Commonwealth Of) PA 5.0% 01/15/23	12/8/2017
Municipals	720424YT1		Pierce Cnty WA Sch Dist 5.0% 12/1/23	12/8/2017
Municipals	79642BXM0		San Antonio TX Wtr Rev 5.0% 05/15/21	12/6/2017
Municipals	880443HZ2		Tennessee Energy Acquisition C 4% 05/01/2048	3/13/2019
Municipals	880461FD5		Tennessee Hsg Dev Agy Residential Fin Prog Rev Trn 2.350 07/01/21	1/25/2019
Municipals	896183ER7		Trigg Cnty KY 2.25% 11/01/2029	10/4/2019
Municipals	896183ES5		Trigg Cnty KY Sch 2.250% 11/01/2030	11/15/2019
Municipals	899607HX6		Tulsa Cnty Okla Indpnt 2.00% 04/01/2022	3/13/2019
Municipals	92812VXL5		Virginia St Hsg Dev Auth 2.58% 10/01/2029	10/4/2019
Municipals	938782EG0		Washington DC Met Area Tran Auth 5.0% 7/1/20	12/6/2017
Municipals	977123U22		Wisconsin St. Transn Rev 5.0% 7/1/27	12/8/2017
Municipals	977764AR0		Wolfe Cnty KY Pub 4% 4/1/2026	9/14/2017
Mutual Funds - Ta...	266155100	KYTFX	Dupree KY Tax-Free Income Fund	Various
Mutual Funds - Ta...	67065Q772	NHMRX	Nuveen High Yield Municipal Bond Fund R	Various
<b>Stocks</b>				
ETFs - Real Assets	33939L407	GUNR	Flexshares Glb. Upstream Ntrl. Res. ETF	9/4/2019
Individual Stocks	00206R102	T	AT&T Inc	7/19/2019
Individual Stocks	020002101	ALL	Allstate Corp	2/14/2019
Individual Stocks	023135106	AMZN	Amazon.com Inc	12/1/2017
Individual Stocks	031162100	AMGN	Amgen Inc	Various
Individual Stocks	037833100	AAPL	Apple Inc.	1/17/2009
Individual Stocks	09247X101	BLK	Blackrock Inc	5/7/2019

Holdings as of 1/10/2020 for 4204001 - Graviss, Joseph A. Trust Agency

Issue Type	Cusip	Ticker	Asset	Acquisition Date
Individual Stocks	126650100	CVS	CVS Health Corp	Various
Individual Stocks	166764100	CVX	Chevron Corp	Various
Individual Stocks	17275R102	CSCO	Cisco Systems Inc	Various
Individual Stocks	17452P200	CCVS	Citizens Commerce Bancshares Inc	2/11/2019
Individual Stocks	191216100	KO	Coca-Cola Company	Various
Individual Stocks	20030N101	CMCSA	Comcast Corp Class A	5/7/2019
Individual Stocks	254687106	DIS	Walt Disney Co	5/7/2019
Individual Stocks	26441C204	DUK	Duke Energy Corp	5/7/2019
Individual Stocks	30231G102	XOM	Exxon Mobil Corp	Various
Individual Stocks	30303M102	FB	Facebook Inc Class A	Various
Individual Stocks	369550108	GD	General Dynamics Corp	Various
Individual Stocks	437076102	HD	Home Depot Inc	Various
Individual Stocks	46625H100	JPM	JP Morgan Chase	Various
Individual Stocks	478160104	JNJ	Johnson & Johnson	7/19/2019
Individual Stocks	594918104	MSFT	Microsoft Corp	Various
Individual Stocks	609207105	MDLZ	Mondelez International Inc A	8/9/2018
Individual Stocks	717081103	PFE	Pfizer Inc	7/19/2019
Individual Stocks	742718109	PG	Procter & Gamble Co	Various
Individual Stocks	82968B103	SIRI	Sirius XM Holdings Inc	11/17/2009
Individual Stocks	855244109	SBUX	Starbucks Corp	Various
Individual Stocks	864159108	RGR	Sturm Ruger & Company	Various
Individual Stocks	872540109	TJX	TJX Companies Inc	5/7/2019
Individual Stocks	911312106	UPS	United Parcel Service Inc Class B	5/7/2019
Individual Stocks	949746101	WFC	Wells Fargo & Co	7/19/2019
Individual Stocks	G5494J103	LIN	Linde Plc	7/19/2019
Mutual Funds - Int...	233203371	DFIEX	DFA International Equity	7/22/2019
Mutual Funds - Int...	55273E822	MINIX	MFS International Value Fund I	7/22/2019
Mutual Funds - Int...	649280823	NFFFX	American Funds New World Fund F2	7/22/2019
Mutual Funds - Re...	23320G554	DFGEX	DFA Global Real Estate	Various
Mutual Funds - S...	233203843	DFSTX	DFA US Small Cap	7/22/2019
Mutual Funds - S...	316345305	FLPSX	Fidelity Low Price Stock Fund	7/22/2019

Holdings as of 1/10/2020 for 2138001 - Graviss, Joseph A. IRA

Issue Type	Cusip	Ticker	Asset	Acquisition Date
Cash	Cash			
Cash Equivalents				
Money Market - T...	60934N682	UTIX	Federated U.S. Treasury Cash Reserves Fund	11/16/2018
Fixed Income				
ETFs	33939L605	TDTF	Flexshares Yr. TIPS ETF	Various
Mutual Funds	256210105	DODIX	Dodge & Cox Income Fund	Various
Mutual Funds	31428Q101	FTRBX	Federated Total Return Bond Fund Instl	Various
Mutual Funds - Hi...	89154Q588	TFSLX	Touchstone Flexible Income Inst	Various
Stocks				
ETFs - Large Cap	464287168	DVY	iShares Select Dividend ETF	1/2/2017
ETFs - Real Assets	33939L407	GUNR	Flexshares Glob. Upstream Ntrl. Res. ETF	1/7/2020
Mutual Funds - Int...	233203371	DFIEX	DFA International Equity	Various
Mutual Funds - Int...	55273E822	MINIX	MFS International Value Fund I	Various
Mutual Funds - Int...	649280823	NFFFX	American Funds New World Fund F2	5/8/2019
Mutual Funds - La...	25434D823	DFUSX	DFA US Large Cap	Various
Mutual Funds - La...	411511504	HACAX	Harbor Capital Appreciation Fund	Various
Mutual Funds - La...	543487110	LSGRX	Loomis Sayles Large Cap Growth	1/2/2017
Mutual Funds - La...	921921300	VEIRX	Vanguard Equity Income Fund Adm	Various
Mutual Funds - Re...	23320G554	DFGEX	DFA Global Real Estate	1/8/2020
Mutual Funds - S...	233203843	DFSTX	DFA US Small Cap	Various
Mutual Funds - S...	316345305	FLPSX	Fidelity Low Price Stock Fund	Various
Mutual Funds - S...	74253Q747	PCBIX	Principal Midcap Fund Inst	3/13/2019