



KENTUCKY LEGISLATIVE ETHICS COMMISSION

STATEMENT OF FINANCIAL DISCLOSURE (KRS 6.787)

*To be filed by: All members of the General Assembly, all candidates and nominees for election to the General Assembly, and major management personnel in the legislative branch of state government.*

Check here and attach additional sheets if necessary.      Number of sheets attached

**Please include the following information for the preceding calendar year:**

Name: Morgan McGarvey  
Business Address: 401 S. 4th St., Ste 1200, Louisville, KY 40202  
Business Telephone: (502) 589-2780  
Home Address: 2250 Winston Ave., Louisville, KY 40205  
Title of Public Position, or State Senator, District 19 office sought:  
Other occupations of filer: Attorney  
Occupations of spouse: Human Resources

**NOTE: The following sections do not require disclosure of specific dollar amounts.**

1. Positions held by filer in any business, partnership, corporation for profit, or corporation not for profit from which the filer receives compensation, and the name of the business, partnership, or corporation:

Member at Morgan Pottinger McGarvey, P.S.C.

2. Positions held by filer's spouse in any business, partnership, corporation for profit, or corporation not for profit from which the filer's spouse receives compensation, and the name of the business, partnership, or corporation:

Senior Human Resources Business Partner at Heaven Hill Brands

3. Names and addresses of all businesses, investments, or securities in which the filer, filer's spouse, or filer's minor children had at any time during the reporting year an interest of \$10,000 at fair market value, or 5% ownership interest or more:

Christine McGarvey is the sole owner of Results by Design, LLC

4. Sources and form of gross income of the filer (list sources by name):

Morgan Pottinger McGarvey, P.S.C. - salary and benefits  
Commonwealth of Kentucky - salary and benefits  
Yum! Brands, Inc. - dividends  
Highland Capital Advisors - account dividends and interest  
Republic Bank - interest on accounts

5. Sources and form of gross income of the filer's spouse (list sources by name):

Kindred Healthcare - salary and benefits  
Heaven Hill Family Distillery - salary and benefits  
Yum! Brands, Inc. - dividends  
Highland Capital Advisors - account dividends and interest  
Republic Bank - interest on accounts

6. Positions of a fiduciary nature held by the filer in a business:

N/A

7. A designation as commercial, residential, or rural, and the location of all real property other than the filer's primary residence, in which there is an interest of \$10,000 or more held by the filer, filer's spouse, or filer's minor children:

N/A

8. Sources of gifts of money or property with a retail value of more than \$200 to the filer or the filer's immediate family, except those from a member of the filer's family. (Family means spouse, parent, sibling, child, mother-in-law, father-in-law, son-in-law, daughter-in-law, grandparent, or grandchild, or dependent member of the filer's household. Immediate family means unemancipated child residing in an individual's household, spouse, or a person claimed by the filer as a dependent for tax purposes.):

N/A

9. The name of any creditor owed more than \$10,000 except debts arising from the purchase of consumer goods. (Goods used or bought for use primarily for personal, family, or household purposes):

Republic Bank, residential mortgage

10. The name of any legislative agent who is:

- (a) A member of the filer's immediate family;
- (b) A partner of the filer, or a partner of a member of the filer's immediate family;
- (c) An officer or director of the filer's employer;
- (d) An employer of the filer or an employer of a member of the filer's immediate family;
- (e) A business associate of the filer or a business associate of a member of the filer's immediate family

N/A

11. The names of any of the filer's clients who are legislative agents or employers:

Clients of Morgan Pottinger McGarvey include the Kentucky Bankers Association, Baptist East Hospital (Baptist Health), PNC Bank, Walmart, NiSource

12. If you have held a professional license during the filing period, has a properly licensed partner of yours engaged in the practice of cases or other matters which you are prohibited from practicing under KRS 6.744?  Yes    No    Not Applicable

13. If yes, list the names of the clients represented and list the agencies before which the partner made an appearance. The filer need not identify which client was represented before a specific agency.

Clients

James Erwin, James Johnson, Conagra Foods, TreeHouse Foods, Inc., Truist Bank/SunTrust Equipment Finance & Leasing, NiSource/Columbia Gas of Kentucky, Ralcorp Frozen Bakery Products/Conagra Foods

State Agency

There are attorneys at Morgan Pottinger McGarvey who are adverse to the Kentucky Public Protection Cabinet, the Louisville Metro Department of Corrections, the Kentucky Fair Board and who also represent clients in front of the Department of Revenue, Kentucky Board of Tax Appeals, Kentucky Fair Board.

NOTICES

1. Upon receipt by the Commission, a statement of financial disclosure shall be a public record available for copying.
2. Any person who fails to file a statement of financial disclosure or who fails to remedy a deficiency identified by the Commission in a timely manner may be fined an amount not to exceed \$100 per day up to a maximum total fine of \$1000.
3. Any person who files a statement of financial interests which they know to contain false information, or to omit required information, shall be guilty of a class A misdemeanor.

2/14/2022

Date

Filer

Send completed statements to:

The Kentucky Legislative Ethics Commission  
22 Mill Creek Park  
Frankfort, Kentucky 40601

If you have questions please call us at (502) 573-2863.