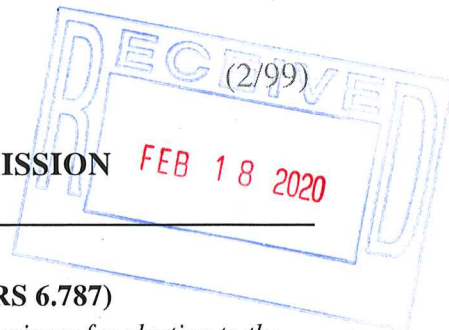


KENTUCKY LEGISLATIVE ETHICS COMMISSION



STATEMENT OF FINANCIAL DISCLOSURE (KRS 6.787)

To be filed by: All members of the General Assembly, all candidates and nominees for election to the General Assembly, and major management personnel in the legislative branch of state government.

Please Include The Following Information For The Preceding Calendar Year:

Name: David Osborne

Business address: P. O. Box 8 Prospect Kentucky 40059

Business telephone: 502-645-2186

Home address: P.O. Box 219 Prospect Kentucky 40059

Title of public position, or office sought: State Representative

Other occupations of filer: Real Estate, Horse Owner/Breeder

Occupation of spouse: Public Relations/Event Marketing

NOTE: The Following Sections Do Not Require Disclosure Of Specific Dollar Amounts.

Positions held by filer in any business, partnership, corporation for profit, or corporation not for profit from which the filer receives compensation, and the name of the business, partnership, or corporation:

Owner/Partner/Member - Deerfield Farm; Deerfield Farm Racing; Barbour Lane Properties; Gallant Stables; Realtor - H. Barry Smith Co, Realtors and Auctioneers

Positions held by filer's spouse in any business, partnership, corporation for profit, or corporation not for profit from which the filer's spouse receives compensation, and the name of the business, partnership, or corporation:

Owner/Partner/Member - Loren C. Hebel-Osborne, Inc; Hebel Equine Stud; Charlynn, Inc.; Mimicry Partnership;

Names and addresses of all businesses, investments, or securities in which the filer, filer's spouse, or filer's minor children had at any time during the reporting year an interest of \$10,000 at fair market value, or 5% ownership interest or more:

Business entities listed above and the following marketable securities: Aegon, Altria, Anthem, BB&T, BlackRock Growth Fund, Brown-Forman, Churchill Downs, Cisco Systems, General Electric, JP Morgan Chase, Kraft, McDonalds, Microsoft, Mondelez, Inc., PepsiCo, PNC, Pfizer; Phillip Morris International, Visa, Yum Brands, Yum China

Sources and form of gross income of the filer (list sources by name):

Owner/partner share of entities listed in Section 1 above, Dividend Income from common stocks listed above; Interest Income; W2-G earnings; Real Estate Commissions; Legislative Salary

Sources and form of gross income of the filer's spouse (list sources by name):

Owner/Partner share of entities listed in section 2 above; Dividend Income as listed above for filer; Interest income;

Positions of a fiduciary nature in a business:

Spouse - Board Member - Louisville Orchestra and Louisville Theatrical Assn, Kentucky Derby Museum; Secretary/Treasurer - National Turf Writers and Broadcasters

A designation as commercial, residential, or rural, and the location of all real property other than the filer's primary residence, in which there is an interest of \$10,000 or more held by the filer, filer's spouse, or filer's minor children:

Agricultural Land - Oldham, Shelby and Trimble Counties; Commercial Real Estate - Jefferson County

Sources of gifts of money or property with a retail value of more than \$200 to the filer or the filer's immediate family, except those from a member of the filer's family. (Family means spouse, parent, sibling, child, mother-in-law, father-in-law, son-in-law, daughter-in-law, grandparent, or grandchild. Immediate family means unemancipated child residing in an individual's household, spouse, or a person claimed by the filer's spouse as a dependent for tax purposes.):

None

The name of any creditor owed more than \$10,000 **except** debts arising from the purchase of consumer goods. (Goods used or bought for use primarily for personal, family, or household purposes):

Morgan Stanley Smith Barney; Charles W. Hebel

The name of any legislative agent who is:

1. A member of the filer's immediate family;
2. A partner of the filer, or a partner of a member of the filer's immediate family;
3. An officer or director of the filer's employer;
4. An employer of the filer or an employer of a member of the filer's immediate family;
5. A business associate of the filer or a business associate of a member of the filer's immediate family

Filer is a member of an LLC that is a partner in a horse racing partnership with a LLC whose membership includes 2 Legislative Agents, Marc Wilson and Warren McLean.

The names of the filer's clients who are legislative agents or employers:

Brown-Forman - client of Loren C. Hebel-Osborne, Inc

If you have held a professional license during the filing period, has a properly licensed partner of yours engaged in the practice of cases or other matters which you are prohibited from practicing under KRS 6.744? : Yes X No Not Applicable

If yes, list the names of the clients represented and list the agencies before which the partner made an appearance. The filer need not identify which client was represented before a specific agency:

Clients

N/A

State Agency

N/A

NOTICES

1. Upon receipt by the Commission, a statement of financial disclosure shall be a public record available for copying.
2. Any person who fails to file a statement of financial disclosure or who fails to remedy a deficiency identified by the Commission in a timely manner may be fined an amount not to exceed \$100 per day up to a maximum total fine of \$1000.
3. Any person who files a statement of financial interests which they know to contain false information, or to omit required information, shall be guilty of a class A misdemeanor.

01/17/2020

Date

David W. Osborne

Filer

Send completed statements to: The Kentucky Legislative Ethics Commission
22 Mill Creek Park
Frankfort, Kentucky 40601
FAX (502) 573-2929

If you have questions please call us at (502) 573-2863.