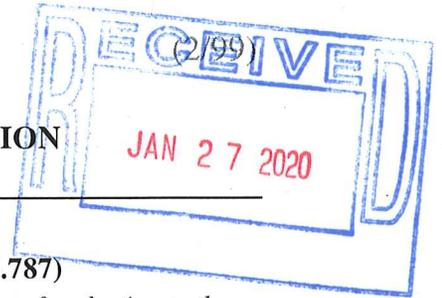


KENTUCKY LEGISLATIVE ETHICS COMMISSION



STATEMENT OF FINANCIAL DISCLOSURE (KRS 6.787)

*To be filed by: All members of the General Assembly, all candidates and nominees for election to the General Assembly, and major management personnel in the legislative branch of state government.*

**Please Include The Following Information For The Preceding Calendar Year:**

Name: John Schickel

Business address: State Capitol Annex, 702 Capitol Ave., Room 209 Frankfort Kentucky 40601

Business telephone: 502.564.8100

Home address: 2147 Natchez Trace Union Kentucky 41091

Title of public position, or office sought: State Senator

Other occupations of filer: Boone County Transportation Department

Occupation of spouse: N/A - Single

*NOTE: The Following Sections Do Not Require Disclosure Of Specific Dollar Amounts.*

Positions held by filer in any business, partnership, corporation for profit, or corporation not for profit from which the filer receives compensation, and the name of the business, partnership, or corporation:

NONE

Positions held by filer's spouse in any business, partnership, corporation for profit, or corporation not for profit from which the filer's spouse receives compensation, and the name of the business, partnership, or corporation:

NONE - N/A

Names and addresses of all businesses, investments, or securities in which the filer, filer's spouse, or filer's minor children had at any time during the reporting year an interest of \$10,000 at fair market value, or 5% ownership interest or more:

Morgan Stanley Smith Barney  
155 Barnwood Drive  
Edgewood, KY 41017  
ATTN: Mary Ann Pietromonaco

Sources and form of gross income of the filer (list sources by name):

Kentucky Retirement System

Morgan Stanley  
Boone County School Board  
Kentucky State Senator

Sources and form of gross income of the filer's spouse (list sources by name):

NONE - N/A

Positions of a fiduciary nature in a business:

NONE

A designation as commercial, residential, or rural, and the location of all real property other than the filer's primary residence, in which there is an interest of \$10,000 or more held by the filer, filer's spouse, or filer's minor children:

NONE

Sources of gifts of money or property with a retail value of more than \$200 to the filer or the filer's immediate family, except those from a member of the filer's family. (Family means spouse, parent, sibling, child, mother-in-law, father-in-law, son-in-law, daughter-in-law, grandparent, or grandchild. Immediate family means unemancipated child residing in an individual's household, spouse, or a person claimed by the filer's spouse as a dependent for tax purposes.):

NONE

The name of any creditor owed more than \$10,000 **except** debts arising from the purchase of consumer goods. (Goods used or bought for use primarily for personal, family, or household purposes):

NONE

The name of any legislative agent who is:

1. A member of the filer's immediate family;
2. A partner of the filer, or a partner of a member of the filer's immediate family;
3. An officer or director of the filer's employer;
4. An employer of the filer or an employer of a member of the filer's immediate family;
5. A business associate of the filer or a business associate of a member of the filer's immediate family

NONE

The names of the filer's clients who are legislative agents or employers:

NONE

If you have held a professional license during the filing period, has a properly licensed partner of yours engaged in the practice of cases or other matters which you are prohibited

from practicing under KRS 6.744? : Yes No X Not Applicable

If yes, list the names of the clients represented and list the agencies before which the partner made an appearance. The filer need not identify which client was represented before a specific agency:

Clients

N/A

State Agency

N/A

### NOTICES

1. Upon receipt by the Commission, a statement of financial disclosure shall be a public record available for copying.
2. Any person who fails to file a statement of financial disclosure or who fails to remedy a deficiency identified by the Commission in a timely manner may be fined an amount not to exceed \$100 per day up to a maximum total fine of \$1000.
3. Any person who files a statement of financial interests which they know to contain false information, or to omit required information, shall be guilty of a class A misdemeanor.

1.27.20  
Date

John Schickel  
Filer

Send completed statements to: The Kentucky Legislative Ethics Commission  
22 Mill Creek Park  
Frankfort, Kentucky 40601  
FAX (502) 573-2929

If you have questions please call us at (502) 573-2863.

**Investment Positions Over \$10,000**

ABERDEEN STD PR  
AMAZON  
AMERICAN CAPITAL  
AMERICAN GROWTH  
AMERICAN INCOME  
AMERICAN NEW WORLD  
APPLE  
AT&T  
BP  
DAVIS REAL ESTATE  
DELAWARE NATION  
FIDELITY GOVERNMENT  
FIDELITY MONEY MARKET  
FIDELITY REAL ESTATE  
FIRST TR EXCHANGE  
FIRST TR INTERNATIONAL  
FIRST TR LG CAPITAL  
FIRST TR NASDAQ  
FIRST TR STOXX  
FIRST TRUST CLOUD  
FIRST TRUST PORT  
FIRST TRUST WATER  
GOLDMAN SACHS  
GW PHARMACEUTICAL  
HONEYWELL INTERNATIONAL

INVESCO EXCHANGE  
INVESCO OPPENHEIMER  
ISHARES CORE S&P  
ISHARES DOW JONES  
ISHARES INC CORE  
ISHARES MSCI  
ISHARES S&P 500  
ISHARES TR EXPAN  
ISHARES TR JP MORGAN  
ISHARES TR NASDA  
LYONDELL BASELL  
MAINSTAY MACKAY  
SELECT SECTOR  
SPDR FTSE INTERN  
SPDR SERIES TRUST  
THOMPSON BOND  
THORNBURG INTERNATIONAL  
TWITTER  
VANGUARD INTERNATIONAL  
VERIZON