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FEB 14 2023

KENTUCKY LEGISLATIVE ETHICS COMMISSION

STATEMENT OF FINANCIAL DISCLOSURE (KRS 6.787)

To be filed by: *All members of the General Assembly, all candidates and nominees for election to the General Assembly, and major management personnel in the legislative branch of state government.*

ED

Please Include The Following Information For The Preceding Calendar Year:

Name: Charles Phillip Wheeler, Jr.
Business address: Wheeler & Baker Law Offices, PLLC Pikeville Kentucky 41501
Business telephone: 5055 North Mayo Trail
Home address: 176 Cecil Hills Drive Pikeville Kentucky 41501
Title of public position, or office sought: State Senator 31st District
Other occupations of filer: Attorney
Occupation of spouse: Homemaker

NOTE: The Following Sections Do Not Require Disclosure Of Specific Dollar Amounts.

Positions held by filer in any business, partnership, corporation for profit, or corporation not for profit from which the filer receives compensation, and the name of the business, partnership, or corporation:

Partner, Wheeler & Baker Law Offices, PLLC, P.O. Box 5428, 5055 North Mayo Trail, Pikeville, KY 41502

Positions held by filer's spouse in any business, partnership, corporation for profit, or corporation not for profit from which the filer's spouse receives compensation, and the name of the business, partnership, or corporation:

None.

Names and addresses of all businesses, investments, or securities in which the filer, filer's spouse, or filer's minor children had at any time during the reporting year an interest of $10,000 at fair market value, or 5% ownership interest or more:

Community Trust Bank, 346 N. Mayo Trail, Pikeville, KY 41501
Allianz Life and Annuity Company, 5701 Golden Hills Drive, Minneapolis, MN 55416
ETrade Account Crystal Epling-Wheeler, PO Box 484, Jersey City, NJ 07303-0484
ETrade Account Charles P. Wheeler, Jr., PO Box 484, Jersey City, NJ 07303-0484
Merrill Lynch IRAs Charles P. Wheeler Jr., 300 Buttermilk Pike, Ste. 320, Ft. Mitchell, KY 41017
Merrill Lynch Stock Account Crystal Epling-Wheeler, 300 Buttermilk Pike, Ste. 320, Ft. Mitchell, KY 41017

Weston Wheeler, minor child, Franklin Templeton Education Account Community Trust Bank, 346 N. Mayo Trail, Pikeville, KY 41501
Morgan Stanley Investment Account Crystal Epling-Wheeler, P.O. Box 290157, Tampa, FL 33687
Well-Fargo Investment Account Crystal Epling-Wheeler, 500 Lee Street East, Charleston, WV 25301

Sources and form of gross income of the filer (list sources by name):
Attorney Salary, Wheeler & Baker, PLLC (Charles Wheeler)

Sources and form of gross income of the filer's spouse (list sources by name):
Rental income from Real Property; Dividends from Community Trust Bank Stock, Royalties from Gas Well.

Positions of a fiduciary nature in a business:
Partner, Wheeler & Baker, PLLC.

A designation as commercial, residential, or rural, and the location of all real property other than the filer's primary residence, in which there is an interest of $10,000 or more held by the filer, filer's spouse, or filer's minor children:
Filling Station on South Mayo Trail, Pikeville, KY; Rural Property located on Kewanee Rd. in Pike Co., KY; House and real property at 455 Winns Branch Rd., Pikeville, KY 41501, Rural Property in Breaks, VA.; Home and Property at 6250 Ky. Rt. 197, Ashcamp, KY 41512.

Sources of gifts of money or property with a retail value of more than $200 to the filer or the filer's immediate family, except those from a member of the filer's family. (Family means spouse, parent, sibling, child, mother-in-law, father-in-law, son-in-law, daughter-in-law, grandparent, or grandchild. Immediate family means unemancipated child residing in an individual's household, spouse, or a person claimed by the filer's spouse as a dependent for tax purposes.):
None.

The name of any creditor owed more than $10,000 **except** debts arising from the purchase of consumer goods. (Goods used or bought for use primarily for personal, family, or household purposes):
Community Trust Bank - Mortgage on Primary Residence; Mercedes-Benz of North America - vehicle; BMW North America - vehicle.

The name of any legislative agent who is:

1. A member of the filer's immediate family;
2. A partner of the filer, or a partner of a member of the filer's immediate family;
3. An officer or director of the filer's employer;
4. An employer of the filer or an employer of a member of the filer's immediate family;
5. A business associate of the filer or a business associate of a member of the filer's immediate family

None.

The names of the filer's clients who are legislative agents or employers:
None.

If you have held a professional license during the filing period, has a properly licensed partner of yoursengaged in the practice of cases or other matters which you are prohibited from practicing under KRS 6.744? : Yes X No Not Applicable

If yes, list the names of the clients represented and list the agencies before which the partner made an appearance. The filer need not identify which client was represented before a specific agency:

Clients

State Agency

NOTICES

1. Upon receipt by the Commission, a statement of financial disclosure shall be a public record available for copying.

2. Any person who fails to file a statement of financial disclosure or who fails to remedy a deficiency identified by the Commission in a timely manner may be fined an amount not to exceed $100 per day up to a maximum total fine of $1000.

3. Any person who files a statement of financial interests which they know to contain false information, or to omit required information, shall be guilty of a class A misdemeanor.

2/14/2023 Charles Phillip Wheeler, Jr.
Date Filer

Send completed statements to: The Kentucky Legislative Ethics Commission
22 Mill Creek Park
Frankfort, Kentucky 40601
FAX (502) 573-2929

If you have questions please call us at (502) 573-2863.