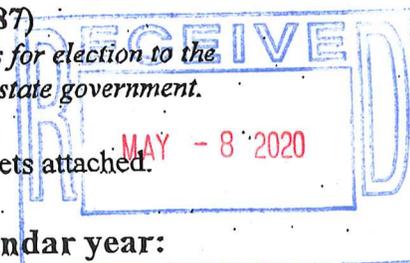


KENTUCKY LEGISLATIVE ETHICS COMMISSION

STATEMENT OF FINANCIAL DISCLOSURE (KRS 6.787)

To be filed by: All members of the General Assembly, all candidates and nominees for election to the General Assembly, and major management personnel in the legislative branch of state government.



Check here and attach additional sheets if necessary. 4+3 Number of sheets attached.

Please include the following information for the preceding calendar year:

Name Karen A. Berg
Business address 530 South Jackson Street, Louisville, KY
Business telephone 502-852-5875
Home address 608 Jarvis Lane, Louisville, KY 40207
Title of public position, or office sought Kentucky Senate District 26
Other occupations of filer medical doctor - diagnostic radiologist
Occupations of spouse marketing, graphic design - Peptides International

NOTE: The following sections do not require disclosure of specific dollar amounts.

1. Positions held by filer in any business, partnership, corporation for profit, or corporation not for profit from which the filer receives compensation, and the name of the business, partnership, or corporation

Sig LLC - passive partnership (2.5% interest)

2. Positions held by filer's spouse in any business, partnership, corporation for profit, or corporation not for profit from which the filer's spouse receives compensation, and the name of the business, partnership, or corporation

none

3. Names and addresses of all businesses, investments, or securities in which the filer, filer's spouse, or filer's minor children had at any time during the reporting year an interest of \$10,000 at fair market value, or 5% ownership interest or more

-please see attached- 3 pages
Baldore Capital Fund LLC

4. Sources and form of gross income of the filer (list sources by name)

University of Louisville Physicians - employer

5. Sources and form of gross income of the filer's spouse (list sources by name)

Peptide International - employer

6. Positions of a fiduciary nature held by the filer in a business none

7. A designation as commercial, residential, or rural, and the location of all real property other than the filer's primary residence, in which there is an interest of \$10,000 or more held by the filer, filer's spouse, or filer's minor children

3609 Bronersboro Road Apt #10
Louisville, KY 40207

8. Sources of gifts of money or property with a retail value of more than \$200 to the filer or the filer's immediate family, except those from a member of the filer's family. (Family means spouse, parent, sibling, child, mother-in-law, father-in-law, son-in-law, daughter-in-law, grandparent, or grandchild, or dependent member of the filer's household. Immediate family means unemancipated child residing in an individual's household, spouse, or a person claimed by the filer as a dependent for tax purposes.) none

9. The name of any creditor owed more than \$10,000 except debts arising from the purchase of consumer goods. (Goods used or bought for use primarily for personal, family, or household purposes)

WRS bank - mortgage -

10. The name of any legislative agent who is:

- (a) A member of the filer's immediate family;
- (b) A partner of the filer, or a partner of a member of the filer's immediate family;
- (c) An officer or director of the filer's employer;
- (d) An employer of the filer or an employer of a member of the filer's immediate family;
- (e) A business associate of the filer or a business associate of a member of the filer's immediate family

none

11. The names of any of the filer's clients who are legislative agents or employers

none

12. If you have held a professional license during the filing period, has a properly licensed partner of yours engaged in the practice of cases or other matters which you are prohibited from practicing under KRS 6.744? Yes No Not Applicable

13. If yes, list the names of the clients represented and list the agencies before which the partner made an appearance. The filer need not identify which client was represented before a specific agency.

Clients

N/A

State Agency

N/A

NOTICES

1. Upon receipt by the Commission, a statement of financial disclosure shall be a public record available for copying.
2. Any person who fails to file a statement of financial disclosure or who fails to remedy a deficiency identified by the Commission in a timely manner may be fined an amount not to exceed \$100 per day up to a maximum total fine of \$1000.
3. Any person who files a statement of financial interests which they know to contain false information, or to omit required information, shall be guilty of a class A misdemeanor.

5/3/2020
Date

 Karen Berg
Filer

Send completed statements to: The Kentucky Legislative Ethics Commission
22 Mill Creek Park
Frankfort, Kentucky 40601
FAX (502) 573-2929

If you have questions please call us at (502) 573-2863.

Fidelity:

Total Mkt IDX

ContraFund K/KB

US Bond Index

Loomis Sm Cap Growth IS

Pioneer Bond K

JPM Small Cap Value RC

Dividend Growth K

International Index

Small Cap Growth KB

Short Term Bond

Float RT i:

Small Cap Value

Intermediate Bond

Equity Income K

Fidelity Value K



Portfolio holdings - as of 1

Portfolio holdings - as of

Equity

Total Equity

Non-Traditional

Non-Traditional

BELDOR CAPITAL FUND LLC
CALAMOS PHINEUS LONG SHORT
FUND CLASS I

Client investment:

Reinvested dividends:

Investment return:

GOTHAM ABSOLUTE RETURN
INSTITUTIONAL

NEUBERGER BERMAN LONG SHORT
FUND CLASS INSTL

Client investment:

Reinvested dividends:

Investment return:

Total Non-Traditional

Total Non-Traditional

Total Portfolio

Total accrued interest (Included In m

Equity

US

UNTD TECHNOLOGIES CORP
NAMECHANGE: 04/2020

VANGUARD INFORMATION
TECHNOLOGY ETF

VANGUARD TOTAL STOCK MKT IDX
ADM

VF CORP

VICTORY RS SMALL CAP GROWTH
FUND CL C

Client investment:

Reinvested dividends:

Investment return:

VICTORY RS SMALL CAP GROWTH
FUND CLASS Y

Client investment:

Reinvested dividends:

Investment return:

VICTORYSHARES US EQ INCOME
ENHANCED VOLATILITY WTD ETF

WALT DISNEY CO (HOLDING CO)
DISNEY COM

WEST PHARMACEUTICAL SERVICES
INC

YUM CHINA HLDGS INC

YUMI BRANDS INC

ZIONS BANCORP NA

Total US

International

CHUBB LTD CHF

DIAGEO PLC NEW GB SPON ADR

LINDE PLC EUR

MEDTRONIC PLC

NOVARTIS AG SPON ADR

SUNCOR ENERGY INC NEW CAD

Total International

Report created on: May 04, 2020

Report created on: May 04, 2020



Portfolio holdings - as of
Details of portfolio

Portfolio holdings - as of

Portfolio holdings - as of

Portfolio holdings - as of

Total Portfolio

Cash

Cash

UBS BANK USA DEPOSIT ACCOUNT
UBS FDIC INSURED DEPOSIT PROGRAM
UBS SELECT TREASURY INVESTOR FUND

USD CASH

Total Cash

Total Cash

Fixed Income

US

ANGEL OAK FINANCIAL STRATEGIES INCOME TERM TRUST

Client investment: [REDACTED]
Reinvested dividends: [REDACTED]
Investment return: [REDACTED]

GUGGENHEIM TOTAL RETURN BOND FUND CLASS INSTITUTIONAL

Client investment: [REDACTED]
Reinvested dividends: [REDACTED]
Investment return: [REDACTED]

LORD ABBETT SHORT DURATION INCOME FUND CLASS F

Client investment: [REDACTED]
Reinvested dividends: [REDACTED]
Investment return: [REDACTED]

Fixed Income

US

PUTNAM INCOME FUND CLASS Y

Client investment: [REDACTED]
Reinvested dividends: [REDACTED]
Investment return: [REDACTED]

PUTNAM ULTRA SHORT DURATION INCOME FUND CLASS Y

Client investment: [REDACTED]
Reinvested dividends: [REDACTED]
Investment return: [REDACTED]

WELLS FARGO CORE BOND FUND CLASS INST

Client investment: [REDACTED]
Reinvested dividends: [REDACTED]
Investment return: [REDACTED]

WESTERN ASSET CORE BOND FUND CLASS I

Client investment: [REDACTED]
Reinvested dividends: [REDACTED]
Investment return: [REDACTED]

Total US

Global

PUTNAM DIVERSIFIED INCOME FUND CLASS Y

Client investment: [REDACTED]
Reinvested dividends: [REDACTED]
Investment return: [REDACTED]

Total Global

Total Fixed Income

Equity

US

ACCENTURE PLC IRELAND CL A

AFLAC INC

AMER ELECTRIC POWER CO

AMGEN INC

APPLE INC

BLACKROCK INC

CHEVRON CORP

CLEARBRIDGE LARGE CAP GROWTH FUND CLASS I

Client investment: [REDACTED]

Reinvested dividends: [REDACTED]

Investment return: [REDACTED]

COCA COLA CO COM

COLUMBIA LARGE CAP GROWTH FUND CLASS INSTITUTIONAL

Client investment: [REDACTED]

Reinvested dividends: [REDACTED]

Investment return: [REDACTED]

COMCAST CORP NEW CL A

CONSUMER DISCRETIONARY SELECT SECTOR SPDR FUND ETF

CRANE CO

DAVIS NEW YORK VENTURE FUND CLASS Y

Client investment: [REDACTED]

Reinvested dividends: [REDACTED]

Investment return: [REDACTED]

DEERE AND CO

DUNKIN BRANDS GROUP INC

HEALTH CARE SELECT SECTOR SPDR FUND ETF

HOME DEPOT INC

IAC INTERACTIVE CORP

INTEL CORP

INTL BUSINESS MACH

JOHNSON & JOHNSON COM

Equity

US

JP MORGAN LARGE CAP GROWTH FUND CLASS I

Client investment: [REDACTED]

Reinvested dividends: [REDACTED]

Investment return: [REDACTED]

JPMORGAN CHASE & CO

LOCKHEED MARTIN CORP

MARSH & MCLENNAN COS INC

MCDONALD'S CORP

MERCK & CO INC NEW COM

MICROSOFT CORP

MONDELEZ INTL INC

NEXTERA ENERGY INC COM

NIKE INC CL B

NUVEEN LARGE CAP VALUE FUND CLASS I

Client investment: [REDACTED]

Reinvested dividends: [REDACTED]

Investment return: [REDACTED]

ORACLE CORP

PAYPAL HOLDINGS INC

PEPSICO INC

PHILIP MORRIS INTL INC

PNC FINANCIAL SERVICES GROUP

PRICE T ROWE GROUP INC

PROCTER & GAMBLE CO

REPUBLIC SERVICES INC

ROCKWELL AUTOMATION INC NEW

ROYAL BANK OF CANADA LO TA-CYN RTY SPX 12/16/2021

ROYAL BANK OF CANADA LO TA-CYN RTY SPX 12/23/2021

SMUCKER J M CO NEW

TEXAS INSTRUMENTS

TRUIST FINL CORP

UNION PACIFIC CORP