

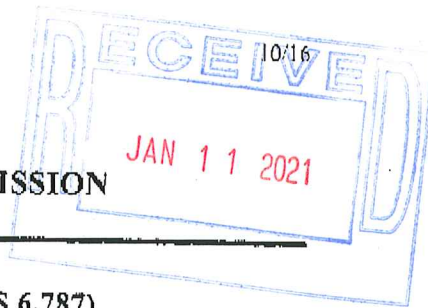
TIME RECEIVED  
January 9, 2021 at 3:53:59 PM EST

REMOTE CSID

DURATION  
73

PAGES  
4

STATUS  
Received



### KENTUCKY LEGISLATIVE ETHICS COMMISSION

#### STATEMENT OF FINANCIAL DISCLOSURE (KRS 6.787)

*To be filed by: All members of the General Assembly, all candidates and nominees for election to the General Assembly, and major management personnel in the legislative branch of state government.*

Check here and attach additional sheets if necessary. Number of sheets attached

**Please include the following information for the preceding calendar year:**

Name: Daniel B. Elliott

Business Address: P.O. Box 2082, 209 W. Main St. Danville, KY 40422

Business Telephone: (859) 583-8018

Home Address: 16923 Forkland Rd. Gravel Switch, KY 40328

Title of Public Position, or State Representative office sought:

Other occupations of filer: Attorney

Occupations of spouse: N/A

**NOTE:** *The following sections do not require disclosure of specific dollar amounts.*

1. Positions held by filer in any business, partnership, corporation for profit, or corporation not for profit from which the filer receives compensation, and the name of the business, partnership, or corporation:

Daniel B. Elliott, Attorney at Law, sole practitioner

2. Positions held by filer's spouse in any business, partnership, corporation for profit, or corporation not for profit from which the filer's spouse receives compensation, and the name of the business, partnership, or corporation:

N/A

3. Names and addresses of all businesses, investments, or securities in which the filer, filer's spouse, or filer's minor children had at any time during the reporting year an interest of \$10,000 at fair market value, or 5% ownership interest or more:

Community Trust Bank Checking Account (Pikeville, KY); Vanguard SEP/IRA Mutual funds; Vanguard Roth IRA Mutual Funds; Vanguard 401(K) Mutual Funds; Vanguard 500 Index Fund Admiral; Vanguard Federal Money Market Fund (Valley Forge, PA); Edward Jones Roth IRA American Funds (Indianapolis, IN); Daniel B. Elliott, Attorney at Law; Apple, Inc., (Cupertino, CA); Kentucky Deferred Compensation, (Frankfort, KY).

4. Sources and form of gross income of the filer (list sources by name):

Interest and dividends from: Community Trust Bank Checking Account; Goldman Sachs Bank Marcus Money Market Account; Vanguard Funds; Abbvie, Inc.; Albertsons Cos.; American Electric Power, Inc.; Apple, Inc.; Bristol Myers Squibb Co.; Citigroup, Inc.; Coca-Cola Co.; GlaxoSmithKline; Goldman Sachs Group; Mastercard, Inc.; Merck and Company; Microsoft Corp; Morgan Stanley; Pfizer, Inc.; Starbucks Corp.; United Parcel Service, Inc.; Verizon Communications, Inc.; Viatrix, Inc.; Walt Disney Co.; Waste Management, Inc.; Wells Fargo and Co. Salary: Kentucky General Assembly and Daniel B. Elliott, Attorney at Law.

5. Sources and form of gross income of the filer's spouse (list sources by name):

N/A

6. Positions of a fiduciary nature held by the filer in a business:

Daniel B. Elliott, Attorney at Law, sole practitioner  
member, McDowell Place Board of Advisors

7. A designation as commercial, residential, or rural, and the location of all real property other than the filer's primary residence, in which there is an interest of \$10,000 or more held by the filer, filer's spouse, or filer's minor children:

Residential property: 921 Rosemont Ave. Danville, KY 40422; Rural: 29 acres in Gravel Switch, Kentucky; Rural: One-third (1/3) beneficiary of trust holding 363 acres in Gravel Switch, Kentucky.

8. Sources of gifts of money or property with a retail value of more than \$200 to the filer or the filer's immediate family, except those from a member of the filer's family. (Family means spouse, parent, sibling, child, mother-in-law, father-in-law, son-in-law, daughter-in-law, grandparent, or grandchild, or dependent member of the filer's household. Immediate family means unemancipated child residing in an individual's household, spouse, or a person claimed by the filer as a dependent for tax purposes.):

Don C. Sullivan; Christmas and Birthday gift.

9. The name of any creditor owed more than \$10,000 except debts arising from the purchase of consumer goods. (Goods used or bought for use primarily for personal, family, or household purposes):

Roundpoint Mortgage, Home mortgage loan.  
U.S. Dept. of Education, student loans, Great Lakes Servicing Company.

10. The name of any legislative agent who is:

- (a) A member of the filer's immediate family;
- (b) A partner of the filer, or a partner of a member of the filer's immediate family;
- (c) An officer or director of the filer's employer;
- (d) An employer of the filer or an employer of a member of the filer's immediate family;
- (e) A business associate of the filer or a business associate of a member of the filer's immediate family

None to the best of my knowledge and belief.

11. The names of any of the filer's clients who are legislative agents or employers:

None to the best of my knowledge and belief.

12. If you have held a professional license during the filing period, has a properly licensed partner of yours engaged in the practice of cases or other matters which you are prohibited from practicing under KRS 6.744?  Yes  No  Not Applicable

13. If yes, list the names of the clients represented and list the agencies before which the partner made an appearance. The filer need not identify which client was represented before a specific agency.

Clients

N/A

