

DELIVERED FEB 18 2020

KENTUCKY LEGISLATIVE ETHICS COMMISSION

STATEMENT OF FINANCIAL DISCLOSURE (KRS 6.787)

To be filed by: All members of the General Assembly, all candidates and nominees for election to the General Assembly, and major management personnel in the legislative branch of state government.

Check here and attach additional sheets if necessary. _____ Number of sheets attached.

Please include the following information for the preceding calendar year:

Name: John Morgan McGarvey

Business address: 401 South 4th Street, Ste. 1200, Louisville, KY 40202

Business telephone: 502-589-2780

Home address: 2250 Winston Avenue, Louisville, KY 40205

Title of public position, or office sought: State Senator, District 19

Other occupations of filer: Attorney

Occupations of spouse: Senior Director of Learning and People Development

NOTE: The following sections do not require disclosure of specific dollar amounts.

1. Positions held by filer in any business, partnership, corporation for profit, or corporation not for profit from which the filer receives compensation, and the name of the business, partnership, or corporation:

Morgan Pottinger McGarvey, PSC, Member

2. Positions held by filer's spouse in any business, partnership, corporation for profit, or corporation not for profit from which the filer's spouse receives compensation, and the name of the business, partnership, or corporation:

Owner of Results By Design, LLC

Kindred Healthcare

3. Names and addresses of all businesses, investments, or securities in which the filer, filer's spouse, or filer's minor children had at any time during the reporting year an interest of \$10,000 at fair market value, or 5% ownership interest or more:

Christine McGarvey is the sole owner of Results By Design, LLC

2250 Winston Avenue, Louisville, KY 40205

4. Sources and form of gross income of the filer (list sources by name):

Morgan Pottinger McGarvey, P.S.C. – salary and benefits

Commonwealth of Kentucky – salary and benefits

Republic Bank – interest on accounts

Yum! Brands Inc. – dividends

Highland Capital Advisors – account and dividends and interest

5. Sources and form of gross income of the filer's spouse (list sources by name):

Results By Design, LLC – compensation

Highland Capital Advisors – account dividends and interest

Yum! Brands Inc. – dividends

Republic Bank – interest on accounts

Kindred Healthcare

6. Positions of a fiduciary nature held by the filer in a business: N/A

7. A designation as commercial, residential, or rural, and the location of all real property other than the filer's primary residence, in which there is an interest of \$10,000 or more held by the filer, filer's spouse, or filer's minor children: N/A

8. Sources of gifts of money or property with a retail value of more than \$200 to the filer or the filer's immediate family, except those from a member of the filer's family. (Family means spouse, parent, sibling, child, mother-in-law, father-in-law, son-in-law, daughter-in-law, grandparent, or grandchild, or dependent member of the filer's household. Immediate family means unemancipated child residing in an individual's household, spouse, or a person claimed by the filer as a dependent for tax purposes.): N/A

9. The name of any creditor owed more than \$10,000 **except** debts arising from the purchase of consumer goods. (Goods used or bought for use primarily for personal, family, or household purposes):

Republic Bank, residential mortgage

10. The name of any legislative agent who is:

- (a) A member of the filer's immediate family;
- (b) A partner of the filer, or a partner of a member of the filer's immediate family;
- (c) An officer or director of the filer's employer;
- (d) An employer of the filer or an employer of a member of the filer's immediate family;
- (e) A business associate of the filer or a business associate of a member of the filer's immediate family

N/A

11. The names of any of the filer's clients who are legislative agents or employers:

Kentucky Bankers Association, Baptist East Hospital (Baptist Health), PGA, CVS Health, Humana, Inc., The University of Louisville, PNC Bank

12. If you have held a professional license during the filing period, has a properly licensed partner of yours engaged in the practice of cases or other matters which you are prohibited from practicing under KRS 6.744? Yes No Not Applicable

13. If yes, list the names of the clients represented and list the agencies before which the partner made an appearance. The filer need not identify which client was represented before a specific agency.

Clients

Lowe's Home Centers LLC, Best Buy Stores LP, ConAgra Brands (subsidiaries Bremmer Food Group, The Carriage House Co., Ralcorp Frozen Bakery Products), Kohl's Department Stores, Inc. James L. Holman, Lyndon Southern Insurance Company (subsidiary of Fortegra), Sun Trust Equipment Fiance & Leasing, James Erwin

State Agency

Kentucky Self-Insured Auto Programs, Finance and Administrative Cabinet, Kentucky Department of Revenue (adverse), Public Protection Cabinet (adverse)

NOTICES

1. Upon receipt by the Commission, a statement of financial disclosure shall be a public record available for copying.
2. Any person who fails to file a statement of financial disclosure or who fails to remedy a deficiency identified by the Commission in a timely manner may be fined an amount not to exceed \$100 per day up to a maximum total fine of \$1000.
3. Any person who files a statement of financial interests which they know to contain false information, or to omit required information, shall be guilty of a class A misdemeanor.

1/18/2020
Date


Filer

Send completed statements to: The Kentucky Legislative Ethics Commission
22 Mill Creek Park
Frankfort, Kentucky 40601
FAX (502) 573-2929

If you have questions please call us at (502) 573-2863.