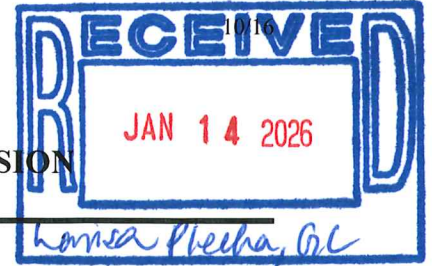


KENTUCKY LEGISLATIVE ETHICS COMMISSION



STATEMENT OF FINANCIAL DISCLOSURE (KRS 6.787)

To be filed by: All members of the General Assembly, all candidates and nominees for election to the General Assembly, and major management personnel in the legislative branch of state government.

Check here and attach additional sheets if necessary. Number of sheets attached

Please include the following information for the preceding calendar year:

Name: Tom Smith
Business Address: 8828 KY 1232 Corbin Kentucky 40701
Business Telephone: (606) 523-9700
Home Address: [REDACTED]

Title of Public Position, or State Representative of the 86th District office sought:

Other occupations of filer: Self-employed, Consultant

Occupations of spouse: Retired teacher, Secretay

NOTE: The following sections do not require disclosure of specific dollar amounts.

1. Positions held by filer in any business, partnership, corporation for profit, or corporation not for profit from which the filer receives compensation, and the name of the business, partnership, or corporation:

Owner - Thomas Consulting
Partner - Willow Creek Farms

2. Positions held by filer's spouse in any business, partnership, corporation for profit, or corporation not for profit from which the filer's spouse receives compensation, and the name of the business, partnership, or corporation:

n/a

3. Names and addresses of all businesses, investments, or securities in which the filer, filer's spouse, or filer's minor children had at any time during the reporting year an interest of \$10,000 at fair market value, or 5% ownership interest or more:

Willow Creek Farms LLC-8828 KY 1232, Corbin KY 40701 (self)

Thomas Consulting - 8828 KY 1232 Corbin KY 40701 (self)

TD Ameritrade (stock - self)

4. Sources and form of gross income of the filer (list sources by name):

Salary-Codell Construction

Income - JBS Communications

Income - UMG

Income - Bacon/Farmer/Workman Engineering

Income - LKLP CAP

income - DECO Architects

Salary - General Assembly Salary (ED)

5. Sources and form of gross income of the filer's spouse (list sources by name):

Income - Kentucky Retirement Systems (spouse)

Salary - Gail Frederick School of Dance (spouse)

6. Positions of a fiduciary nature held by the filer in a business:

n/a

7. A designation as commercial, residential, or rural, and the location of all real property other than the filer's primary residence, in which there is an interest of \$10,000 or more held by the filer, filer's spouse, or filer's minor children:

Gilliam Hill - Residential/Property - Gray, KY

8. Sources of gifts of money or property with a retail value of more than \$200 to the filer or the filer's immediate family, except those from a member of the filer's family. (Family means spouse, parent, sibling, child, mother-in-law, father-in-law, son-in-law, daughter-in-law, grandparent, or grandchild, or dependent member of the filer's household. Immediate family means unemancipated child residing in an individual's household, spouse, or a person claimed by the filer as a dependent for tax purposes.):

n/a

9. The name of any creditor owed more than \$10,000 except debts arising from the purchase of consumer goods. (Goods used or bought for use primarily for personal, family, or household purposes):

Forcht Bank

10. The name of any legislative agent who is:

- (a) A member of the filer's immediate family;
- (b) A partner of the filer, or a partner of a member of the filer's immediate family;
- (c) An officer or director of the filer's employer;
- (d) An employer of the filer or an employer of a member of the filer's immediate family;
- (e) A business associate of the filer or a business associate of a member of the filer's immediate family

n/a

11. The names of any of the filer's clients who are legislative agents or employers:

n/a

12. If you have held a professional license during the filing period, has a properly licensed partner of yours engaged in the practice of cases or other matters which you are prohibited from practicing under KRS 6.744? Yes No Not Applicable

13. If yes, list the names of the clients represented and list the agencies before which the partner made an appearance. The filer need not identify which client was represented before a specific agency.

Clients

