



KENTUCKY LEGISLATIVE ETHICS COMMISSION

STATEMENT OF FINANCIAL DISCLOSURE (KRS 6.787)

To be filed by: All members of the General Assembly, all candidates and nominees for election to the General Assembly, and major management personnel in the legislative branch of state government.

EA

X Check here and attach additional sheets if necessary. 2 Number of sheets attached.

Please include the following information for the preceding calendar year:

Name Lisa G Willner
Business address 702 Capitol Ave., Room 424, Frankfort, KY 40601
Business telephone 502-564-8100 x 659
Home address 3310 Linnet Rd., Louisville, KY 40213
Title of public position, or office sought State Representative
Other occupations of filer *None
Occupations of spouse Attorney

NOTE: The following sections do not require disclosure of specific dollar amounts:

- 1. Positions held by filer in any business, partnership, corporation for profit, or corporation not for profit from which the filer receives compensation, and the name of the business, partnership, or corporation Executive Director, Kentucky Psychological Association, Inc. and Kentucky Psychological Foundation, Inc. *NONE (leave of absence 10/1/2019, resignation 1/7/2020)
2. Positions held by filer's spouse in any business, partnership, corporation for profit, or corporation not for profit from which the filer's spouse receives compensation, and the name of the business, partnership, or corporation Of Counsel, Stites & Harbison, PLLC.
3. Names and addresses of all businesses, investments, or securities in which the filer, filer's spouse, or filer's minor children had at any time during the reporting year an interest of \$10,000 at fair market value, or 5% ownership interest or more See attached.
4. Sources and form of gross income of the filer (list sources by name) Salary, Kentucky Psychological Foundation; Commonwealth of Kentucky; Dividends and capital gains from investment (holdings over \$10,000 listed on attachment).
5. Sources and form of gross income of the filer's spouse (list sources by name) Contract fee payments from Stites & Harbison PLLC, Dividends and capital gains from investments (holding over \$10,00 listed on attachment).

6. Positions of a fiduciary nature held by the filer in a business None.

7. A designation as commercial, residential, or rural, and the location of all real property other than the filer's primary residence, in which there is an interest of \$10,000 or more held by the filer, filer's spouse, or filer's minor children None.

8. Sources of gifts of money or property with a retail value of more than \$200 to the filer or the filer's immediate family, except those from a member of the filer's family. (Family means spouse, parent, sibling, child, mother-in-law, father-in-law, son-in-law, daughter-in-law, grandparent, or grandchild, or dependent member of the filer's household. Immediate family means unemancipated child residing in an individual's household, spouse, or a person claimed by the filer as a dependent for tax purposes.) None.

9. The name of any creditor owed more than \$10,000 **except** debts arising from the purchase of consumer goods. (Goods used or bought for use primarily for personal, family, or household purposes) PNC Bank.

10. The name of any legislative agent who is:

- (a) A member of the filer's immediate family;
- (b) A partner of the filer, or a partner of a member of the filer's immediate family;
- (c) An officer or director of the filer's employer;
- (d) An employer of the filer or an employer of a member of the filer's immediate family;
- (e) A business associate of the filer or a business associate of a member of the filer's immediate family

None.

11. The names of any of the filer's clients who are legislative agents or employers None.

12. If you have held a professional license during the filing period, has a properly licensed partner of yours engaged in the practice of cases or other matters which you are prohibited from practicing under KRS 6.744? Yes No Not Applicable

13. If yes, list the names of the clients represented and list the agencies before which the partner made an appearance. The filer need not identify which client was represented before a specific agency.

Clients N/A.

State Agency N/A.

Attachment – Question 3 – Investments and Securities

Abbott Laboratories, 100 Abbott Park Road, Abbott Park, Illinois 60064-3500

American Funds (Smallcap World Fund A, 2030 Target Date-A, and Investment Co. of America), P.O. Box 6007, Indianapolis, IN 46206-6007

Bank of America, 100 N. Tryon Street, Charlotte, North Carolina 28255

Berkshire Hills Bancorp, 60 State Street, Boston, MA 02109

BP PLC, 1 St James's Square, London SW1Y 4PD, United Kingdom

Boston Scientific, 300 Boston Scientific Way, Marlborough, MA 01752-1234

Bristol-Myers Squibb, 430 E. 29th Street, 14FL, New York, NY 10016

Brown-Forman Corp., 850 Dixie Highway, Louisville, Kentucky 40210

Canon Inc., 30-2, Shimomaruko 3-chome, Ohta-ku, Tokyo 146-8501, Japan

Cedar Fair Ltd. Partnership, 1 Cedar Point Dr, Sandusky, OH 44870

Chevron Corp., 6001 Bollinger Canyon Road, San Ramon, CA 94583

Cisco Systems, Inc., 170 West Tasman Dr., San Jose, CA 95134-1706

Coca-Cola Company, One Coca-Cola Plaza, Atlanta, GA 30313

Colgate-Palmolive Co., 300 Park Avenue, 11th Floor, New York, NY 10022-7499

Conagra Brands, 222 W. Merchandise Mart Plaza, Suite 1300, Chicago, IL 60654

Diageo PLC, Lakeside Drive, Park Royal, London, NW10 7HQ, UK

ExxonMobil Corp., 5959 Las Colinas Blvd, Irving, TX 75039-2298

General Electric, 41 Farnsworth Street, Boston, MA 02210

GlaxoSmithKline PLC, 980 Great West Road, Brentford Middlesex X0 TW8 9GS, UK

Goldman Sachs Group Inc., 200 West Street, New York NY 10282

Harbor Mutual Funds (Capital Appreciation Instl.), P.O. Box 804660, Chicago, IL 60680-4108

IBM, 1 New Orchard Road, Armonk, New York 10504-1722

Intel Corp., 2200 Mission College Blvd, RNB-4-151, Santa Clara, CA 95054

Invesco (QQQ ETF), Two Peachtree Pointe, 1555 Peachtree Street, N.E., Suite 1800, Atlanta, Georgia 30309

Janus Henderson Fund (Henderson Research Cl 1), 2 Rue de Bitbourg, L-1273 Luxembourg

Limestone Bancorp Inc., 2500 Eastpoint Parkway, Louisville, Kentucky, 40223

Medtronic PLC, 20 On Hatch, Lower Hatch Street, Dublin 2, Ireland

Merck & Company Inc., 2000 Galloping Hill Road Kenilworth, NJ 07033

Microsoft Corp., One Microsoft Way, Redmond, Washington 98052-6399

Mondelez International, Inc., Three Parkway North, Deerfield, Illinois 60115

Petroleo Brasileiro SA, Avenida República do Chile, 65, 20031-912—Rio de Janeiro—RJ—Brazil

Pfizer Inc., 235 East 42nd Street, New York, NY 10017

PNC Financial Services Group, The Tower at PNC Plaza, 300 Fifth Avenue, Pittsburgh, Pennsylvania 15222-2401

Procter & Gamble Co., One Procter & Gamble Plaza, Cincinnati, Ohio 45202

Royal Dutch Shell PLC, PO box 162, 2501 AN The Hague, The Netherlands

State Street Global Advisors (SPDR S&P 500 ETF, S&P 500 Index Non-Lend) 1 Iron St, Boston, MA 02210

T. Rowe Price (Ret. 2020 Fund, Ret. 2030 Fund, Spectrum Growth), 100 East Pratt Street, Baltimore, Maryland 21202

Truist Financial Corp., 214 North Tryon Street, Charlotte, North Carolina 28202

Unilever PLC, Weena 455, 3013 AI Rotterdam, The Netherlands P7 None

US Bancorp, U.S. Bancorp Center, 800 Nicollet Mall, Minneapolis, MN 55402

Vanguard (Developed Markets Index, Dividend Appreciation Index, Emerging Markets Stock Index, Federal Money Market, Intermediate Term Corporate Bond Index, Real Estate Index, Target Retire 2030, Total Stock Market Index), P.O. Box 1110, Valley Forge, PA 19482-1110

Wesbanco Inc., 1 Bank Plaza, Wheeling, WV 26003