

KENTUCKY LEGISLATIVE ETHICS COMMISSION

STATEMENT OF FINANCIAL DISCLOSURE (KRS 6.787)

To be filed by: All members of the General Assembly, all candidates and nominees for election to the General Assembly, and major management personnel in the legislative branch of state government.

Check here and attach additional sheets if necessary. 1 Number of sheets attached

Please include the following information for the preceding calendar year:

Name: William "Rick" Adams
Business Address: 710 West Main Street, 4th Floor, Louisville, KY 40202
Business Telephone: (502) 702-1395
Home Address: 1627 Deer Lane Louisville, KY 40205
Title of Public Position, or office sought: State Representative District 41
Other occupations of filer: Attorney
Occupations of spouse: Financial Analyst

NOTE: The following sections do not require disclosure of specific dollar amounts.

1. Positions held by filer in any business, partnership, corporation for profit, or corporation not for profit from which the filer receives compensation, and the name of the business, partnership, or corporation:

Associate - Kaplan Johnson Abate & Bird LLP

2. Positions held by filer's spouse in any business, partnership, corporation for profit, or corporation not for profit from which the filer's spouse receives compensation, and the name of the business, partnership, or corporation:

Southern Glazer's Wine & Spirits - Business Analyst

3. Names and addresses of all businesses, investments, or securities in which the filer, filer's spouse, or filer's minor children had at any time during the reporting year an interest of \$10,000 at fair market value, or 5% ownership interest or more:

Calvert Equity Mutual Fund (Held in IRA)

Fidelity Contrafund (Held in IRA); Fidelity Advisor New Heights Ret. Opt. (Held in 401K)

Invesco Comstock Ret. Opt (Held in 401K)

MFS Value Ret. Opt. (Held in 401K) (see attachment for addresses).

4. Sources and form of gross income of the filer (list sources by name):

Salary from Kaplan Johnson Abate & Bird LLP

5. Sources and form of gross income of the filer's spouse (list sources by name):

Salary from Souther Glazer's Wine and Spirits

6. Positions of a fiduciary nature held by the filer in a business:

Associate - Kaplan Johnson Abate & Bird

7. A designation as commercial, residential, or rural, and the location of all real property other than the filer's primary residence, in which there is an interest of \$10,000 or more held by the filer, filer's spouse, or filer's minor children:

n/a

8. Sources of gifts of money or property with a retail value of more than \$200 to the filer or the filer's immediate family, except those from a member of the filer's family. (Family means spouse, parent, sibling, child, mother-in-law, father-in-law, son-in-law, daughter-in-law, grandparent, or grandchild, or dependent member of the filer's household. Immediate family means unemancipated child residing in an individual's household, spouse, or a person claimed by the filer as a dependent for tax purposes.):

n/a

9. The name of any creditor owed more than \$10,000 except debts arising from the purchase of consumer goods. (Goods used or bought for use primarily for personal, family, or household purposes):

Stockton Mortgage  
Credit Human Federal Credit Union

10. The name of any legislative agent who is:

- (a) A member of the filer's immediate family;
- (b) A partner of the filer, or a partner of a member of the filer's immediate family;
- (c) An officer or director of the filer's employer;
- (d) An employer of the filer or an employer of a member of the filer's immediate family;
- (e) A business associate of the filer or a business associate of a member of the filer's immediate family

n/a

11. The names of any of the filer's clients who are legislative agents or employers:

The Kentucky Press Association

12. If you have held a professional license during the filing period, has a properly licensed partner of yours engaged in the practice of cases or other matters which you are prohibited from practicing under KRS 6.744?  Yes  No  Not Applicable

13. If yes, list the names of the clients represented and list the agencies before which the partner made an appearance. The filer need not identify which client was represented before a specific agency.

Clients

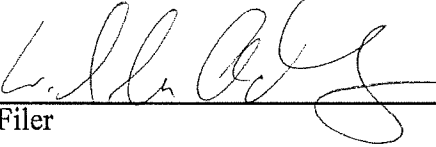
State Agency

NOTICES

1. Upon receipt by the Commission, a statement of financial disclosure shall be a public record available for copying.
2. Any person who fails to file a statement of financial disclosure or who fails to remedy a deficiency identified by the Commission in a timely manner may be fined an amount not to exceed \$100 per day up to a maximum total fine of \$1000.
3. Any person who files a statement of financial interests which they know to contain false information, or to omit required information, shall be guilty of a class A misdemeanor.

2/14/2024

Date



Filer

Send completed statements to:

The Kentucky Legislative Ethics Commission  
22 Mill Creek Park  
Frankfort, Kentucky 40601

If you have questions please call us at (502) 573-2863.

3. The IRA's listed in question 3 are held at:

- Fidelity Investments - Corporation located at 245 Summer Street, Boston, Massachusetts 02205
- Limestone Wealth Advisors – Ameriprise Financial Services, LLC, 295 N. Hubbards Lane #204, Louisville, KY 40207

The 401K's listed in question 3 are held at:

- Transamerica Corporation – 6400 C. Street SW, Cedar Rapids, Iowa 52404
- John Hancock Financial – 200 Berkeley Street, Boston, Massachusetts 02116