

10/16
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KENTUCKY LEGISLATIVE ETHICS COMMISSION

JAN 31 2024

STATEMENT OF FINANCIAL DISCLOSURE (KRS 6.787)

To be filed by: All members of the General Assembly, all candidates and nominees for election to the General Assembly, and major management personnel in the legislative branch of state government.

ED

Check here and attach additional sheets if necessary. 1 Number of sheets attached.

Please include the following information for the preceding calendar year:

Name Sara-Elizabeth A. Cottrell
Business address N/A
Business telephone N/A
Home address 1704 Trevilian way Louisville, KY 40205
Title of public position, or office sought State Representative, District 41
Other occupations of filer Education Consultant
Occupations of spouse Software Engineer

NOTE: The following sections do not require disclosure of specific dollar amounts.

1. Positions held by filer in any business, partnership, corporation for profit, or corporation not for profit from which the filer receives compensation, and the name of the business, partnership, or corporation Musicientos (sole proprietorship)

2. Positions held by filer's spouse in any business, partnership, corporation for profit, or corporation not for profit from which the filer's spouse receives compensation, and the name of the business, partnership, or corporation Software engineer, The Southern Baptist Theological Seminary

3. Names and addresses of all businesses, investments, or securities in which the filer, filer's spouse, or filer's minor children had at any time during the reporting year an interest of \$10,000 at fair market value, or 5% ownership interest or more see attached

4. Sources and form of gross income of the filer (list sources by name) _____

Substitute teaching - Collegiate School
Income from Musicnotes Consulting
Royalty income from mineral rights ownership

5. Sources and form of gross income of the filer's spouse (list sources by name) _____

Salary from The Southern Baptist Theological Seminary

6. Positions of a fiduciary nature held by the filer in a business _____

N/A

7. A designation as commercial, residential, or rural, and the location of all real property other than the filer's primary residence, in which there is an interest of \$10,000 or more held by the filer, filer's spouse, or filer's minor children _____

Mineral - Reeves County, Texas (self)

8. Sources of gifts of money or property with a retail value of more than \$200 to the filer or the filer's immediate family, except those from a member of the filer's family. (Family means spouse, parent, sibling, child, mother-in-law, father-in-law, son-in-law, daughter-in-law, grandparent, or grandchild, or dependent member of the filer's household. Immediate family means unemancipated child residing in an individual's household, spouse, or a person claimed by the filer as a dependent for tax purposes.) _____

N/A

9. The name of any creditor owed more than \$10,000 **except** debts arising from the purchase of consumer goods. (Goods used or bought for use primarily for personal, family, or household purposes)

United Wholesale Mortgage

10. The name of any legislative agent who is:

- (a) A member of the filer's immediate family;
- (b) A partner of the filer, or a partner of a member of the filer's immediate family;
- (c) An officer or director of the filer's employer;
- (d) An employer of the filer or an employer of a member of the filer's immediate family;
- (e) A business associate of the filer or a business associate of a member of the filer's immediate family

N/A

11. The names of any of the filer's clients who are legislative agents or employers

N/A

12. If you have held a professional license during the filing period, has a properly licensed partner of yours engaged in the practice of cases or other matters which you are prohibited from practicing under KRS 6.744? Yes No Not Applicable

13. If yes, list the names of the clients represented and list the agencies before which the partner made an appearance. The filer need not identify which client was represented before a specific agency.

Clients

State Agency

NOTICES

1. Upon receipt by the Commission, a statement of financial disclosure shall be a public record available for copying.
2. Any person who fails to file a statement of financial disclosure or who fails to remedy a deficiency identified by the Commission in a timely manner may be fined an amount not to exceed \$100 per day up to a maximum total fine of \$1000.
3. Any person who files a statement of financial interests which they know to contain false information, or to omit required information, shall be guilty of a class A misdemeanor.

1/24/24
Date

Sara Elizabeth Cottrell
Filer

Send completed statements to: The Kentucky Legislative Ethics Commission
22 Mill Creek Park
Frankfort, Kentucky 40601
FAX (502) 573-2929

If you have questions please call us at (502) 573-2863.

Sara-Elizabeth Cottrell

Candidate for Kentucky State Representative, District 41

KLEC Statement of Financial Disclosure

Answers for Question 3

1. JP Morgan Funds - Growth Advantage Fund A - VHIAX (held in spouse's IRA)
2. JP Morgan Funds - Value Advantage Fund A - JVAAX (held in spouse's IRA)
3. American Funds - New World Fund - NFFFX (self)
4. Columbia Threadneedle Fund Services - Columbia Dividend Income Fund-AD - CVIRX (self)
5. Fidelity Advisor Funds -Fidelity Adv Int'l Capital APP CL Z - FIIDZX (self)
6. Hartford Mutual Funds - Hartford Core Equity Fund Y - HGIYX (self)
7. Janus Funds - Janus Balanced Fund Class I - JBALX (self)
8. John Hancock Funds - John Hancock Discipline D Value Mid Cap Fund Class I - JVMIX (self)
9. JP Morgan Funds - JP Morgan Equity Income Fund Class I - HLIEX (self)
10. MFS Investment Management - MFS Growth Fund I - MFEIX (self)
11. Tweedy Browne Funds - Tweedy Browne GLBVAL - TBGVX (self)
12. Northern LTS FD TR IV Main Sector ROTN - SECT (self)

Sara-Elizabeth Cottrell
Candidate for Kentucky State Representative, District 41
KLEC Statement of Financial Disclosure
Answers for Question 3

Security / securities	Address
Value Advantage Fund A - JVAAX (held in spouse's IRA) Growth Advantage Fund A - VHIX (held in spouse's IRA) JP Morgan Equity Income Fund Class I - HLIEX (self)	JP Morgan Funds PO Box 219143 Kansas City, MO 64121-9143
New World Fund - NFFFX (self)	American Funds P.O. Box 6007 Indianapolis, IN 46206-6007
Columbia Dividend Income Fund-AD - CVIRX (self)	Columbia Threadneedle Fund Services 225 Franklin St. Boston, MA 02110
Fidelity Adv Int'l Capital APP CL Z - FIIDZX (self)	Fidelity Investments 100 Crosby Parkway, KC1H Covington, KY 41015
Hartford Core Equity Fund Y - HGIYX (self)	Hartford Mutual Funds PO Box 219060 Kansas City, MO 64121-9060
Janus Balanced Fund Class I - JBALX (self)	Janus Funds P.O. Box 219109 Kansas City, MO 64121-9109
John Hancock Discipline D Value Mid Cap Fund Class I - JVMIX (self)	John Hancock Funds 197 Clarendon St. Boston, MA 02116
Tweedy Browne GLBVAL - TBGVX (self)	Tweedy, Browne Fund Inc. P.O. Box 534468. Pittsburgh, PA 15253-4468
MFS Growth Fund I - MFEIX (self)	MFS Investment Management 111 Huntington Avenue Boston, MA 02199 USA
Northern LTS FD TR IV Main Sector ROTN - SECT (self)	Main Management Fund Advisors 601 California Street, Suite 620 San Francisco, California 94108