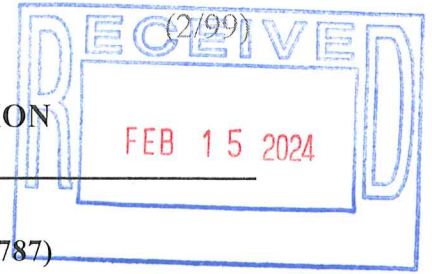


KENTUCKY LEGISLATIVE ETHICS COMMISSION



STATEMENT OF FINANCIAL DISCLOSURE (KRS 6.787)

To be filed by: All members of the General Assembly, all candidates and nominees for election to the General Assembly, and major management personnel in the legislative branch of state government.

Please Include The Following Information For The Preceding Calendar Year:

Name: Karen Berg

Business address: 702 Capital Avenue, Annex Room 255 Frankfort Kentucky 40601

Business telephone: 502-564-8100

Home address: 608 Jarvis Lane Louisville Kentucky 40207

Title of public position, or office sought: State Senator, District 26

Other occupations of filer: MD - University of Louisville, School of Medicine, Dept. of Diagnostic Radiology

Occupation of spouse: N/A

NOTE: The Following Sections Do Not Require Disclosure Of Specific Dollar Amounts.

Positions held by filer in any business, partnership, corporation for profit, or corporation not for profit from which the filer receives compensation, and the name of the business, partnership, or corporation:

None

Positions held by filer's spouse in any business, partnership, corporation for profit, or corporation not for profit from which the filer's spouse receives compensation, and the name of the business, partnership, or corporation:

N/A

Names and addresses of all businesses, investments, or securities in which the filer, filer's spouse, or filer's minor children had at any time during the reporting year an interest of \$10,000 at fair market value, or 5% ownership interest or more:

see attachment

Sources and form of gross income of the filer (list sources by name):

University of Louisville Physicians
Legislative Research Commission

Sources and form of gross income of the filer's spouse (list sources by name):

N/A

Positions of a fiduciary nature in a business:

None

A designation as commercial, residential, or rural, and the location of all real property other than the filer's primary residence, in which there is an interest of \$10,000 or more held by the filer, filer's spouse, or filer's minor children:

1237 Hillsboro Mile #609, Hillsboro Beach, FL 33062
(residential)

Sources of gifts of money or property with a retail value of more than \$200 to the filer or the filer's immediate family, except those from a member of the filer's family. (Family means spouse, parent, sibling, child, mother-in-law, father-in-law, son-in-law, daughter-in-law, grandparent, or grandchild. Immediate family means unemancipated child residing in an individual's household, spouse, or a person claimed by the filer's spouse as a dependent for tax purposes.):

None

The name of any creditor owed more than \$10,000 **except** debts arising from the purchase of consumer goods. (Goods used or bought for use primarily for personal, family, or household purposes):

The name of any legislative agent who is:

1. A member of the filer's immediate family;
2. A partner of the filer, or a partner of a member of the filer's immediate family;
3. An officer or director of the filer's employer;
4. An employer of the filer or an employer of a member of the filer's immediate family;
5. A business associate of the filer or a business associate of a member of the filer's immediate family

None

The names of the filer's clients who are legislative agents or employers:

N/A

If you have held a professional license during the filing period, has a properly licensed partner of yours engaged in the practice of cases or other matters which you are prohibited from practicing under KRS 6.744? : Yes No Not Applicable

If yes, list the names of the clients represented and list the agencies before which the partner made an appearance. The filer need not identify which client was represented before a specific agency:

Clients

N/A

State Agency

N/A

NOTICES

1. Upon receipt by the Commission, a statement of financial disclosure shall be a public record available for copying.
2. Any person who fails to file a statement of financial disclosure or who fails to remedy a deficiency identified by the Commission in a timely manner may be fined an amount not to exceed \$100 per day up to a maximum total fine of \$1000.
3. Any person who files a statement of financial interests which they know to contain false information, or to omit required information, shall be guilty of a class A misdemeanor.

2/14/2024

Date

Karen Berg

Filer

Send completed statements to: The Kentucky Legislative Ethics Commission
22 Mill Creek Park
Frankfort, Kentucky 40601
FAX (502) 573-2929

If you have questions please call us at (502) 573-2863.

	Dr. Karen Berg As of February 14, 2024			
	US			
	ALLSPRING CORE BOND FUND INSTITUTIONAL			
	ALLSPRING SHORT-TERM HIGH INCOME FUND CLASS INST			
	COLUMBIA MORTGAGE OPPORTUNITIES FUND CLASS INSTITUTIONAL			
	DWS SHORT DURATION FUND- S			
	GUGGENHEIM TOTAL RETURN BOND FUND CLASS INSTITUTIONAL			
	JP MORGAN SHORT DURATION BOND FUND CLASS I			
	MORGAN STANLEY FINANCE MLN COMMODITY BASKET 07/19/2024			
	VICTORY CORE PLUS INTERMEDIATE BOND FUND CLASS INSTL			
	VICTORY HIGH YIELD FUND CLASS Y			
	WISDOMTREE FLOATING RATE TREASURY ETF			
	Global			
	BLACKROCK STRATEGIC INCOME OPPORTUNITIES PORTFOLIOINSTITUTIONAL			
	International			
	CANADIAN IMPERIAL BANK 03.100% 040224 DTD040219 FC100219 NTS B/E			
	US			
	ABBOTT LABS			
	ABBVIE INC COM			

ACCENTURE PLC IRELAND CL A			
ADOBE INC. (DELAWARE)			
ADVANCED MICRO DEVICES INC			
AFLAC INC			
ALPHABET INC CL A			
AMER ELECTRIC POWER CO			
AMERIPRISE FINANCIAL INC			
ANALOG DEVICES INC			
APPLE INC			
AUTOMATIC DATA PROCESSNG INC			
AZEK CO INC CL A			
BLACKROCK INC			
BOFA FINANCE LLC L/O TA-CYN IWN SPY 09/19/2024			
BROADCOM INC			
CADENCE DESIGN SYSTEM			
CITIGROUP GLOBAL MARKETS L/O TA-CYN RTY SPX 08/21/2028			
COCA COLA CO COM			
CONSUMERS DISCRETIONARY SELECT SECTOR SPDR FUND ETF			
COSTCO WHOLESALE CORP			
DEERE AND CO			
DOLLAR TREE INC			
EMERSON ELECTRIC CO			
EOG RESOURCES INC			
EXXON MOBIL CORP			
HEALTH CARE SELECT SECTOR SPDR FUND ETF			
HOME DEPOT INC			

HONEYWELL INTL INC			
INTEL CORP			
INTL BUSINESS MACH			
INTL FLAVORS&FRGRNCS			
JOHNSON & JOHNSON COM			
JPMORGAN CHASE & CO			
KENVUE INC			
LILLY ELI & CO			
MARSH & MCLENNAN COS INC			
MCDONALDS CORP			
MERCK & CO INC COM			
MICROSOFT CORP			
MONDELEZ INTL INC			
MORGAN STANLEY			
NEXTERA ENERGY INC COM			
NIKE INC CL B			
ORACLE CORP			
PEPSICO INC			
PHILIP MORRIS INTL INC			
PHILLIPS 66			
PNC FINANCIAL SERVICES GROUP			
PROCTER & GAMBLE CO			
PROLOGIS INC COM			
REPUBLIC SERVICES INC			
SALESFORCE, INC.			

SMUCKER J M CO NEW			
STARBUCKS CORP			
TAKE-TWO INTERACTIVE SOFTWARE			
TEXAS INSTRUMENTS			
TJX COS INC NEW			
UNION PACIFIC CORP			
UNITED PARCEL SERVICE INC CL B			
UNITEDHEALTH GROUP INC			
VANGUARD INFORMATION TECHNOLOGY ETF			
VANGUARD SMALL-CAP ETF			
VERTEX PHARMACEUTICAL INC			
VISA INC CL A			
WALT DISNEY CO (HOLDING CO) DISNEY COM			
WEST PHARMACEUTICAL SERVICES INC			
YUM! BRANDS INC			
International			
CHUBB LTD CHF			
LINDE PLC NEW EUR			
TAIWAN SEMICONDUCTOR MFG CO LTD ADR			
TRANE TECHNOLOGIES PLC			
Non-Traditional			
BELDRE CAPITAL FUND LLC			
NEUBERGER BERMAN LONG SHORT FUND CLASS INSTL			
The information listed above does not supersede the client statements			